

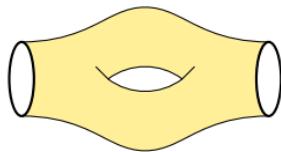
# Ciliated maps, minimal models coupled to gravity and topological gravity

Okinawa Institute of Science and Technology  
CFT, Probability, Gravity  
Séverin Charbonnier – Université de Genève

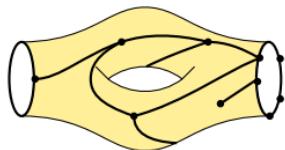
August 2nd 2023

# Introduction: Approaches to 2d quantum gravity

## Discretisation



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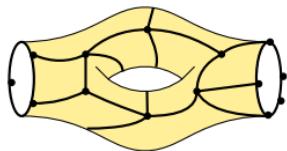
→ Count [maps](#). Generating functions

$F_g$ .

Partition function

$$Z = \exp \left( \sum_{g \geq 0} \hbar^{g-1} F_g \right)$$

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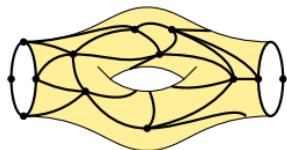
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$\overline{\mathcal{M}}_{g,n}$ : {stable Riemann surfaces, genus  $g$ ,  $n$  marked points} /  $\sim$ .

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Observables  $\psi_i \in H^2(\overline{\mathcal{M}}_{g,n}, \mathbb{Q})$ : Chern classes.

Intersection numbers:

$$\langle \tau_{d_1} \dots \tau_{d_n} \rangle_g := \int_{\overline{\mathcal{M}}_{g,n}} \psi_1^{d_1} \dots \psi_n^{d_n}$$

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[Witten '90] conjecture; [Konsevich '91] theorem. Both approaches are consistent:  $Z = Z^\psi \Rightarrow Z^\psi$  solution of KdV integrable hierarchy.

## 1 Ciliated maps: definitions and enumeration results

- Ciliated maps
- Topological Recursion
- Enumeration results

## 2 Large maps from ciliated maps and minimal models

- Asymptotics of large maps
- Singular spectral curve and minimal models
- KPZ exponents

## 3 Topological gravity associated to ciliated maps

- Topological gravity and intersection theory
- Ciliated maps and Witten's class

## 4 Ciliated maps and free probabilities

[BCEG '21]: jw R. Belliard, B. Eynard, E. Garcia-Failde

[BCG '21]: jw G. Borot, E. Garcia-Failde

[BCGLS '21]: jw G. Borot, E. Garcia-Failde, F. Leid, S. Shadrin

[CCGG '22]: jw N. Chidambaram, E. Garcia-Failde, A. Giacchetto

# Table of contents

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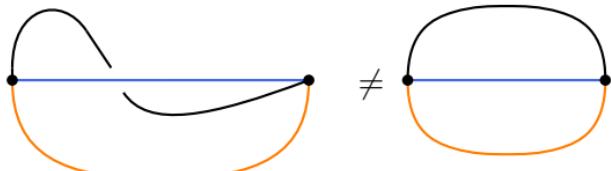
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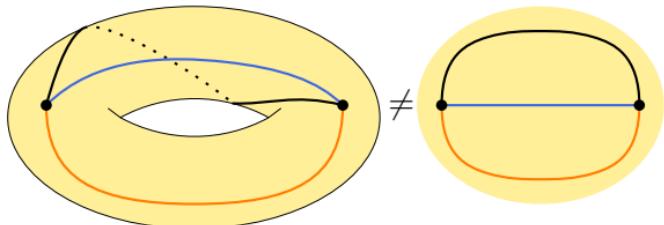
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A **map** is a graph  $G$  where each vertex is endowed with a cyclic ordering of the incident half-edges.



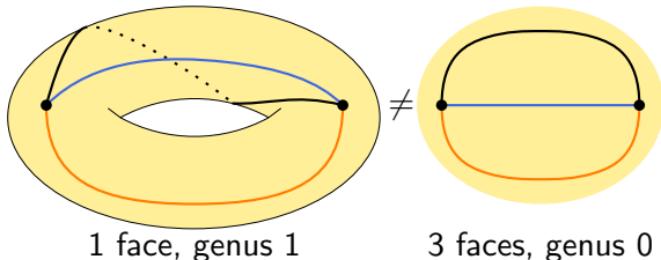
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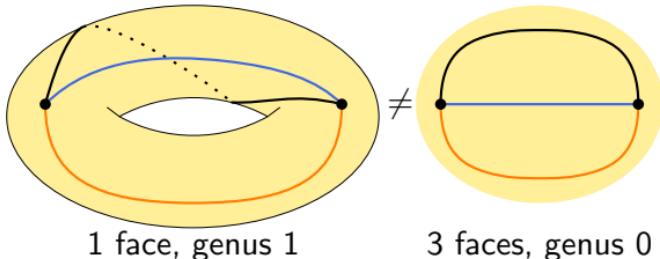
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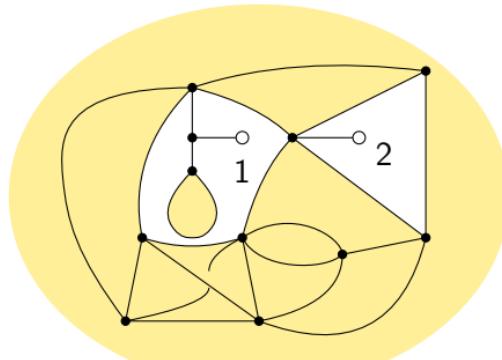
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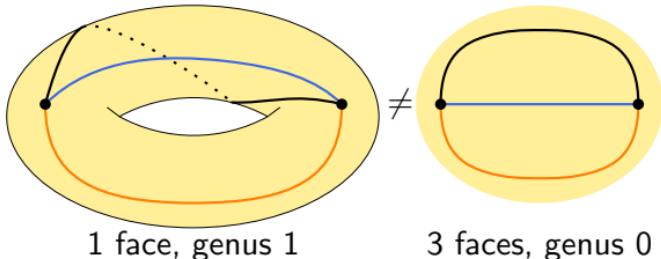
**Model of maps:** specify [constraints](#)



Ciliated maps

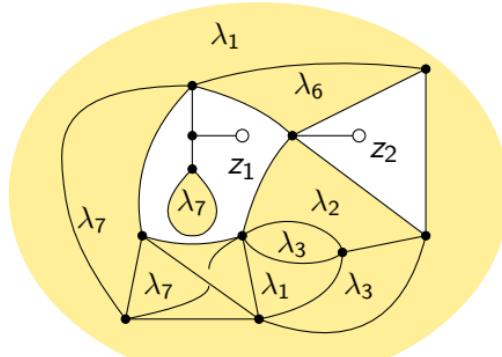
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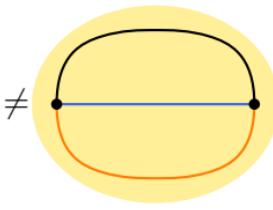
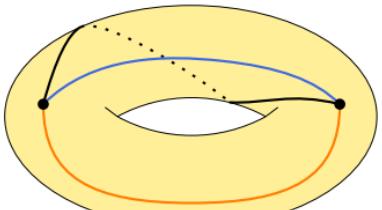
**Model of maps:** specify **constraints**, **decorations**



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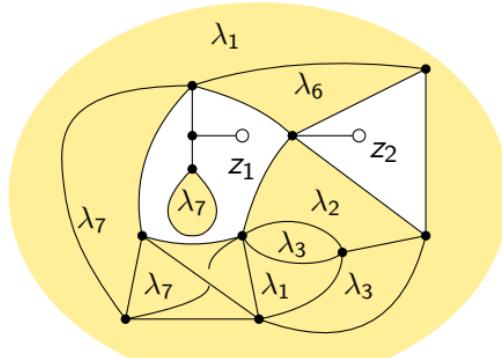
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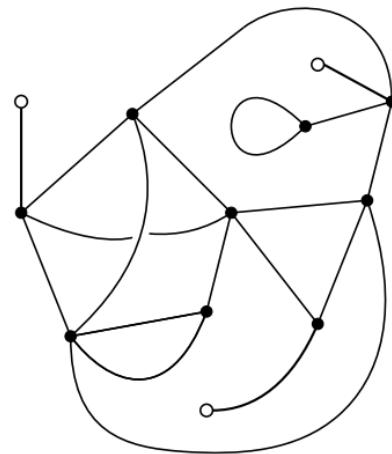


Ciliated maps

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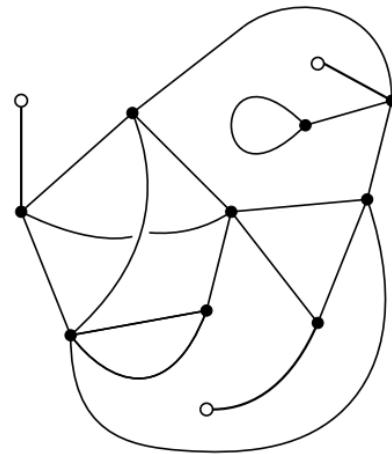


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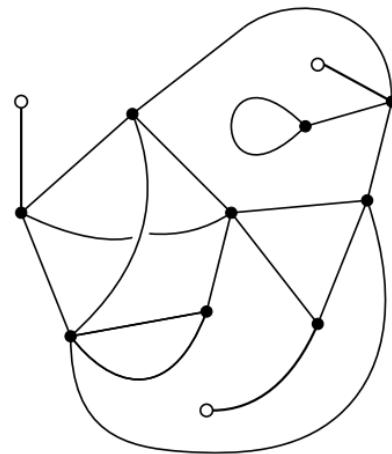


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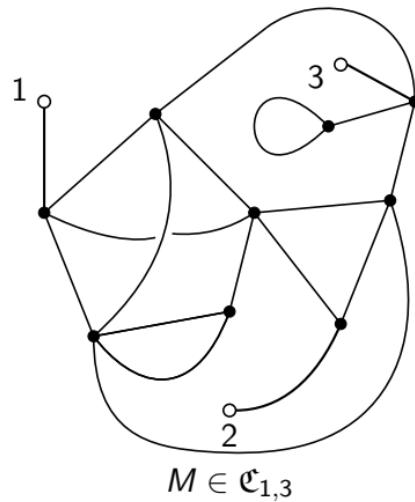
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## Ciliated maps of type $(g, n)$

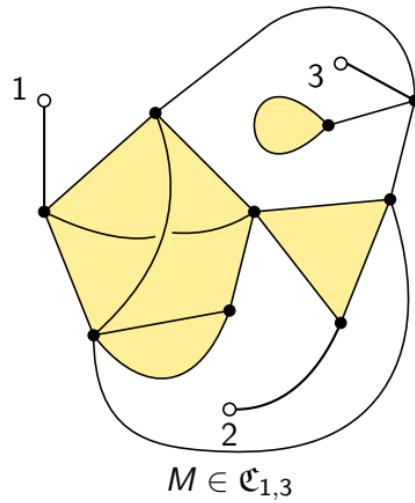
Let  $g \geq 0$ ,  $n \geq 0$ .  $M$  is a ciliated map of **type  $(g, n)$**  ( $M \in \mathfrak{C}_{g,n}$ ) if it is connected, of genus  $g$ , and has  $n$  **labelled** white vertices.

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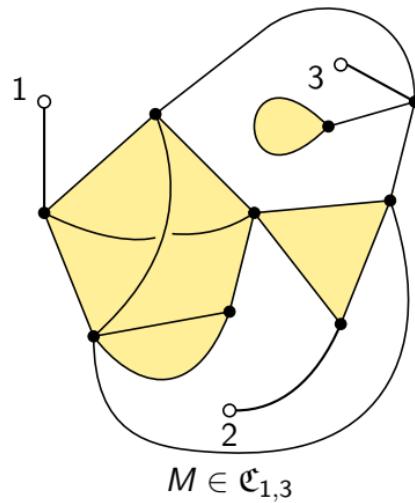
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Faces adjacent to white vertices: **marked faces**. Other faces: **internal**.

# Ciliated maps: decorations and weight

## Decorations

Let  $M \in \mathfrak{C}_{g,n}$ , decorate the faces  $f$  of  $M$  with parameters  $a_f$ :

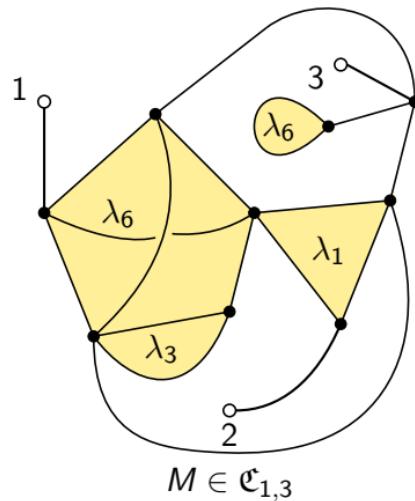


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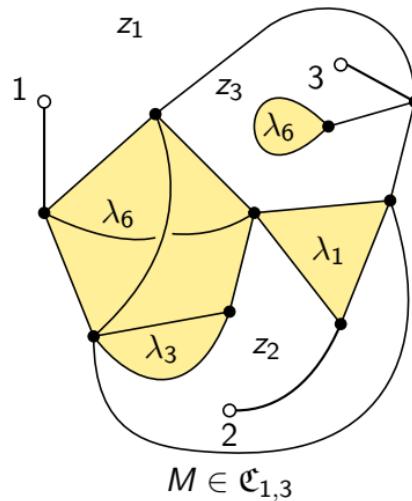
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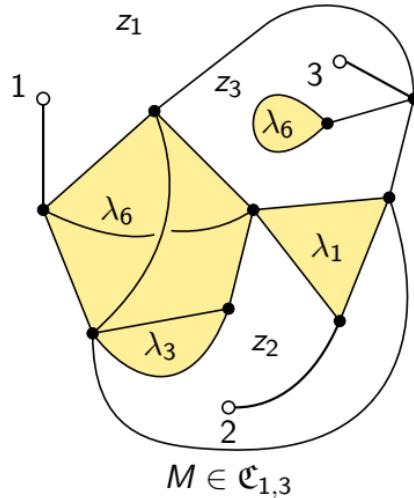


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Potential:  $V(u) = \sum_{j=1}^{r+1} \frac{v_j}{j} u^j$ .

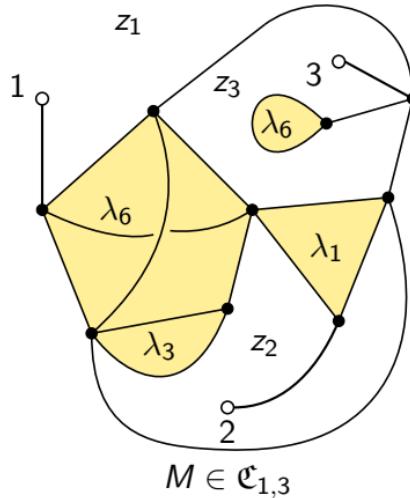
Object	Picture	Weight
Edge		$\mathcal{P}(a_1, a_2) = \frac{a_1 - a_2}{V'(a_1) - V'(a_2)}$
White vertex		1
Black vertex		$\mathcal{V}_k(a_1, \dots, a_k) = \operatorname{Res}_{u=\infty} \frac{V'(u)du}{\prod_{j=1}^k (u-a_j)}$

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Weight of a ciliated map:

$$\prod_{\text{faces}} t \prod_{\text{edges}} \mathcal{P}(a, b)$$

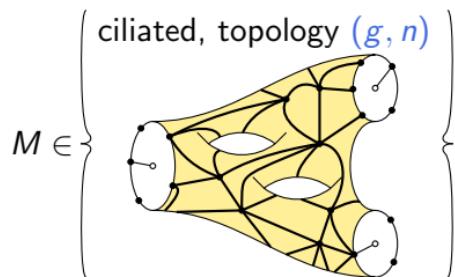
$$\prod_{\text{black vertices}} \mathcal{V}_k(a_1, \dots, a_k)$$

## Generating functions

Weighted enumeration of decorated ciliated maps of type  $(g, n)$ :

$$C_{g,n}(z_1, \dots, z_n; \underline{\lambda}; \underline{v}) = \sum_{M \in \mathfrak{C}_{g,n}} \frac{\text{weight}(M)}{\#\text{Aut}(M)}$$

weight( $M$ ): rational function in  $z_i, \lambda_j, v_k$ .

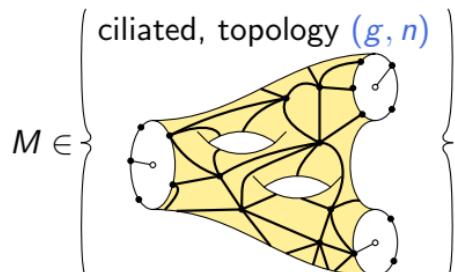


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Partition function  $Z$  (free energy  $F$ ):

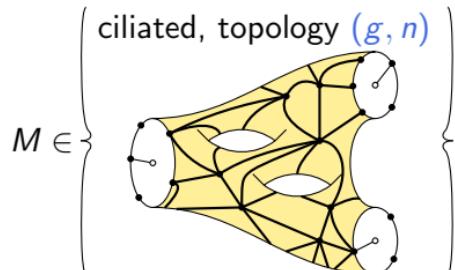
$$Z(\underline{\lambda}, \underline{\nu}; \hbar) = e^{F(\underline{\lambda}, \underline{\nu}; \hbar)} = \exp \left( \sum_{g \geq 0} \hbar^{g-1} C_{g,0} \right), \quad \hbar = \frac{t^2}{N^2}$$

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## Goals:

- Compute the  $C_{g,n}$ 's or the partition function.
- Specialise the parameters  $\underline{\lambda}, \underline{\nu}$  to get CFT/Gravity.

# Topological Recursion

Topological Recursion (TR): procedure developed by Chekhov–Eynard–Orantin ('07)



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Topological Recursion (TR): procedure developed by Chekhov–Eynard–Orantin ('07)

## Input

Spectral Curve

$$\mathcal{S} = (\Sigma, x, y, \omega_{0,2})$$



## Output

Differentials  $(\omega_{g,n})_{g \geq 0, n \geq 0}$   
recursion on  $2g - 2 + n$

### Spectral Curve

$\Sigma$  : Riemann surface;

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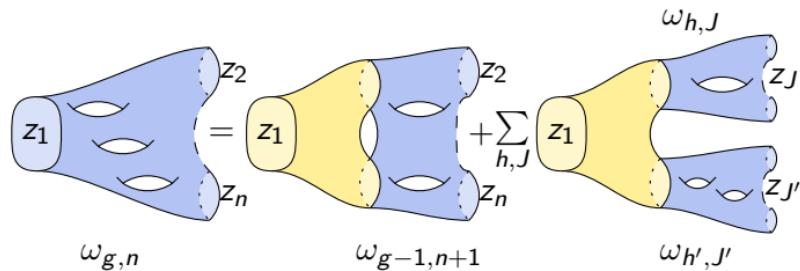
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$$\omega_{g,n}(z_1, I) = \sum_{a \in \Sigma, dx(a)=0} \text{Res}_{z=a} \frac{\frac{1}{2} \int_{\sigma_a(z)}^z \omega_{0,2}(z_1, \cdot)}{(y(z) - y(\sigma_a(z))) dx(z)} \left( \begin{aligned} & \omega_{g-1,n+1}(z, \sigma_a(z), I) \\ & + \sum'_{\substack{h+h'=g \\ J \sqcup J'=I}} \omega_{h,1+J}(z, J) \omega_{h',1+J'}(\sigma_a(z), J') \end{aligned} \right)$$

$I = \{z_2, \dots, z_n\}$ ;  $\sigma_a : \Sigma \rightarrow \Sigma$  local involution around  $a$ .

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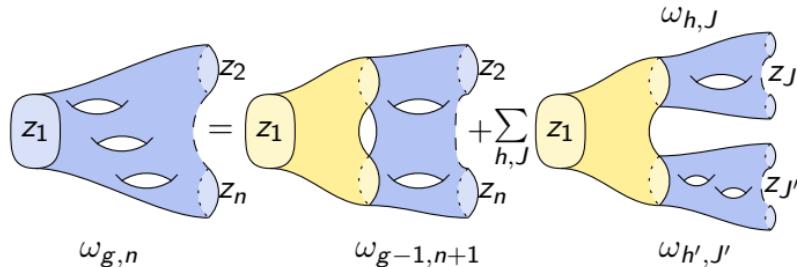
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 $\mathcal{S} = (\Sigma, x, y, \omega_{0,2})$



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Various applications :

- Matrix models (hermitian, Kontsevich), map enumeration
- Enumerative geometry (Hurwitz numbers)
- Weil-Petersson volumes, intersection numbers (Witten–Kontsevich)
- Integrable hierarchies (KdV, KP)
- ...

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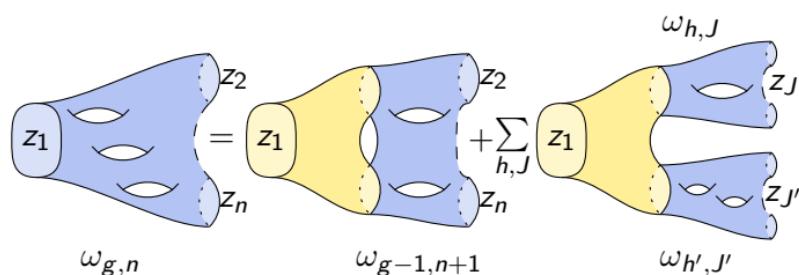
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**Goal:** prove that ciliated maps satisfy TR.

# Topological recursion for ciliated maps

[BCEG '21]: Tutte's equation for ciliated maps.

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## Theorem [BCEG '21]

- Computation of  $C_{0,1}$  and  $C_{0,2} \Rightarrow$  spectral curve.
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- Ciliated maps
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## 4 Ciliated maps and free probabilities

## Specialise the parameters

- $\lambda_1 = \lambda_2 = \dots = \lambda_N = 0$ .
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$$C_{g,0}(t, t_3, \dots, t_{r+1}) = \sum_{M \in \mathfrak{C}_{g,0}} \frac{1}{\#\text{Aut} M} \prod_{\text{faces}} t \prod_{v \in \text{vertices}(M)} t_{\deg v} \in \mathbb{Q}[t_3, \dots, t_{r+1}][[t]]$$

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**Question:** how to access the large order behaviours?

# Large maps and singularity of spectral curve

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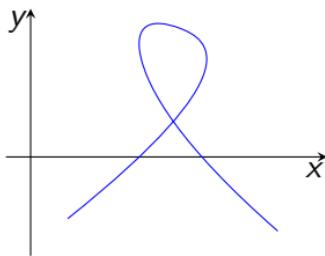
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At critical values  $t = t_c, t_3 = t_{3c}, \dots, t_{r+1} = t_{r+1c}$ : the spectral curve has a **cusp**:

$$x \sim (y - a)^{\frac{q}{p}} \quad \leftrightarrow \quad \text{Spectral curve of } (p, q) \text{ minimal model}$$



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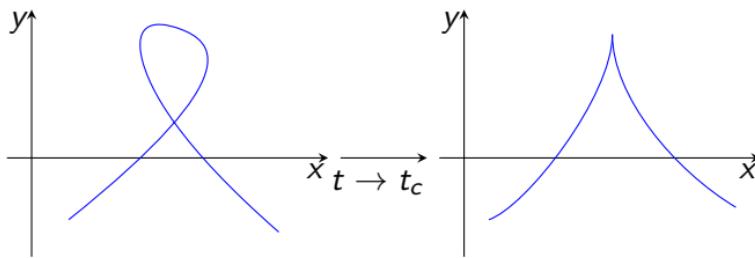
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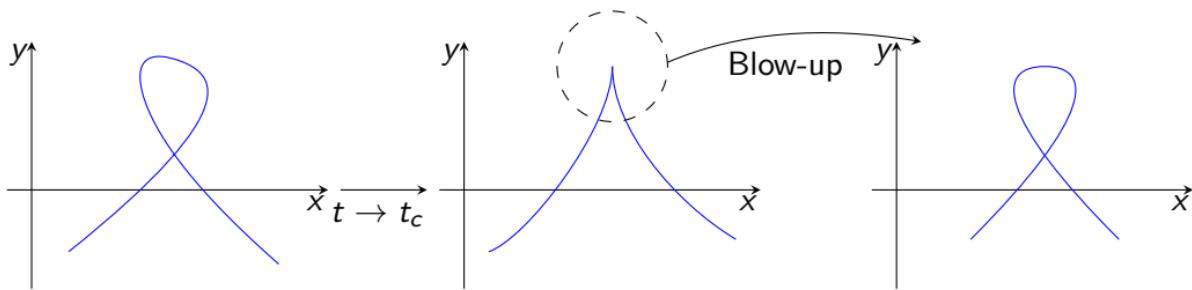
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# Large maps and $(2,2m+1)$ minimal model

For maps, critical spectral curves of the form

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## Pure gravity

$$V(u) = \frac{u^2}{2} - t_3 \frac{u^3}{3}$$

$$\text{Critical point: } tt_3^2 = \frac{1}{12\sqrt{3}}$$

Near criticality:

$$tt_3^2 = \frac{1}{12\sqrt{3}}(1 - \frac{3}{4}\epsilon^2)^2$$

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$(2,3)$  minimal model: **pure gravity**.

[Kontsevich–Witten]

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## Lee–Yang singularity

$$V(u) = \frac{u^2}{2} - t_4 \frac{u^4}{4} - t_6 \frac{u^6}{6}$$

$$\text{Critical point: } tt_4 = \frac{1}{9}, t^2 t_6 = -\frac{1}{270}$$

Near criticality:

$$tt_6 = -\frac{1}{270}(1 + (2u_0\epsilon)^3)$$

$$\begin{cases} x \sim -\frac{8}{5} \sqrt{\frac{t}{3}} \epsilon^{\frac{5}{2}} (\zeta^2 - 2u_0)^{\frac{5}{2}}_{\geq 0} + O(\epsilon^{\frac{7}{2}}) \\ y \sim \sqrt{3t}(2 + \epsilon(\zeta^2 - 2u_0)) + O(\epsilon^2) \end{cases}$$

$(2,5)$  minimal model: [Lee–Yang singularity](#).

Topological recursion for the critical spectral curve of  $(2, 2m+1)$  minimal model:

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**Remark:** central charge of  $(p, q)$  minimal model  $c = 1 - 6 \frac{(p-q)^2}{pq}$ .

Ex: pure gravity  $c = 0$ , Lee-Yang  $c = -\frac{22}{5}$ .

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Partition function

$$Z^W(\underline{t}; \hbar) := \exp \left( \sum_{g \geq 0, n \geq 1} \frac{\hbar^{g-1}}{n!} \sum_{a_i=0}^{r-2} \sum_{d_i \geq 0} \prod_{i=1}^n t_{d_i, a_i} \langle \tau_{d_1, a_1} \dots \tau_{d_n, a_n} \rangle_g \right)$$

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- $\sum_{k=1}^N \frac{1}{\lambda^j} = 0 \quad \forall j \in \{1, \dots, r+1\}.$

Spectral curve:  $x(z) = z^r$ ,  $y(z) = z + \frac{t}{r} \sum_{k=1}^N \frac{1}{\lambda_k^{r-1}(z - \lambda_k)}$ .

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- [CCGG '22] Associate a [Cohomological Field Theory](#) to spectral curve, identified with  $W^r$  [Pandharipande–Pixton–Zvonkine '19].

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- Ciliated maps
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- Enumeration results

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- Asymptotics of large maps
- Singular spectral curve and minimal models
- KPZ exponents

## 3 Topological gravity associated to ciliated maps

- Topological gravity and intersection theory
- Ciliated maps and Witten's class

## 4 Ciliated maps and free probabilities

## Ciliated maps and matrix model with external field

$H_N$ : hermitian matrices size  $N$ ;  $\lambda := \text{diag}(\lambda_1, \dots, \lambda_N)$  ([external field/source](#)).  $Z$  is also the partition function of a hermitian matrix model with external field:

$$Z(\underline{\lambda}, \underline{\nu}; \frac{t^2}{N^2}) = \frac{\int_{H_N} dM \exp \left( -\frac{N}{t} \text{Tr}(V(M + \lambda) - V(\lambda) - MV'(\lambda)) \right)}{\int_{H_N} dM \exp \left( -\frac{N}{2t} \sum_{i,j=1}^N \frac{M_{i,j} M_{j,i}}{\mathcal{P}(\lambda_i, \lambda_j)} \right)}$$

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Ciliated maps are [Feynman graphs](#) of this matrix model ( $\Lambda_i = V'(\lambda_i)$ ):

$$\sum_{g \geq 0} \left( \frac{N}{t} \right)^{2-2g-n} C_{g,n}(\lambda_{i_1}, \dots, \lambda_{i_n}) = \frac{t^n}{N^n} \frac{\partial}{\partial \Lambda_{i_1}} \cdots \frac{\partial}{\partial \Lambda_{i_n}} \log Z = \langle M_{i_1, i_1} \cdots M_{i_n, i_n} \rangle_c.$$

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## Remarks:

- $\langle \cdot \rangle_c$ : classical cumulant of the matrix model;
- the matrix model was actually the inspiration for the study of ciliated maps;
- the particular form of the weights  $\mathcal{V}_k$  come from Taylor expansion of  $V(M + \lambda)$  (divided difference).

# Free probability of the matrix model

Let  $\gamma_1, \dots, \gamma_n \in \mathfrak{S}_n$  be disjoint cycles, of total length  $L$ ; monomials  $\mathcal{P}_{\gamma_i} = \prod_{j=1}^{\ell(\gamma_i)} M_{(\gamma_i)_j, (\gamma_i)_{j+1}}$ .

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Two kinds of correlations functions from the matrix model:

## Theorem [Eynard–Orantin '08]

$\langle \text{Tr}M^{\ell_1} \dots \text{Tr}M^{\ell_n} \rangle_c$  are computed via topological recursion on the spectral curve

$$\begin{cases} x(\zeta) = \zeta + t \sum_{k=1}^N \frac{1}{Q'(\xi_k)(\zeta - \xi_k)} \\ y(\zeta) = Q(\zeta) \\ \omega_{0,2}(\zeta_1, \zeta_2) = \frac{d\zeta_1 d\zeta_2}{(\zeta_1 - \zeta_2)^2} \end{cases}$$

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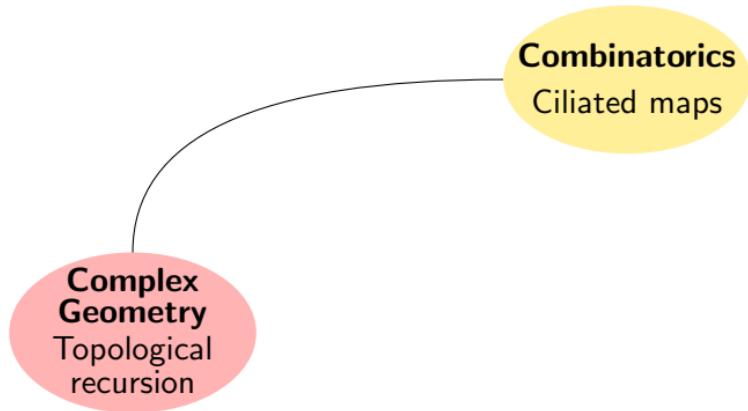
[BCGLS '21]: definition of **surfaced probability space**, generalising **higher order probability space**.

Coefficient of  $(\frac{N}{t})^{2-2g-n}$  in  $\langle \text{Tr}M^{\ell_1} \dots \text{Tr}M^{\ell_n} \rangle_c$ : **moments** of the surfaced probability space.

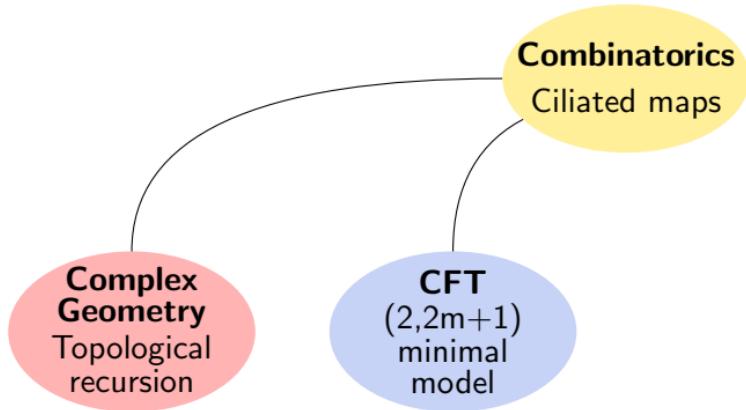
Coefficient of  $(\frac{N}{t})^{2-2g-n-L}$  in  $\langle \mathcal{P}_{\gamma_1} \dots \mathcal{P}_{\gamma_n} \rangle_c$ : **free cumulants** of the surfaced probability space.

Combinatorics  
Ciliated maps

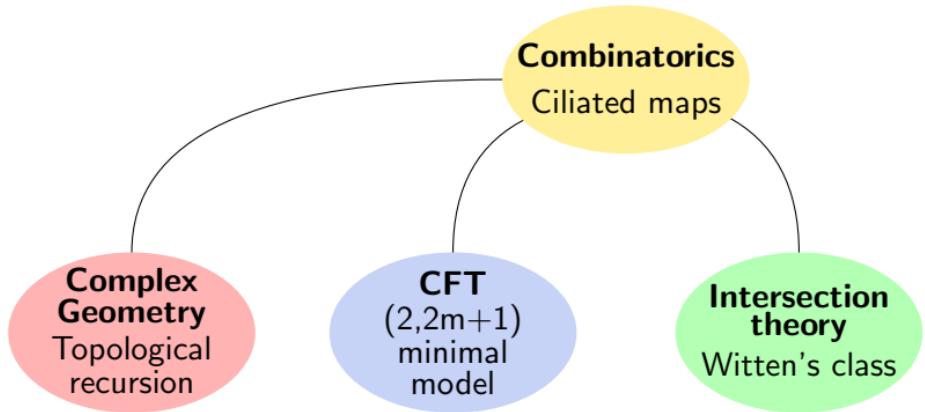
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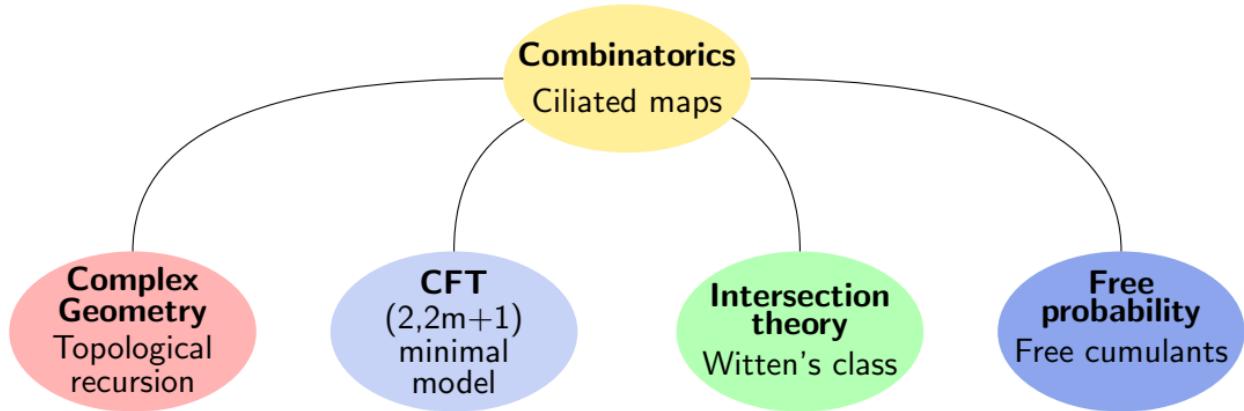


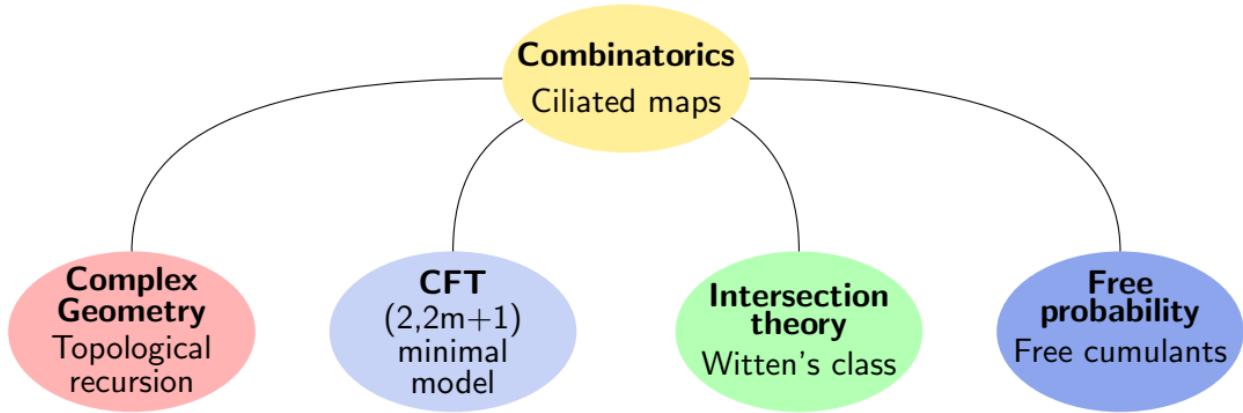
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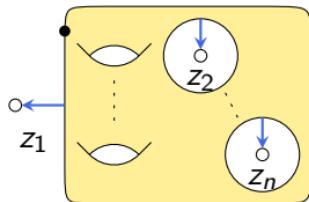




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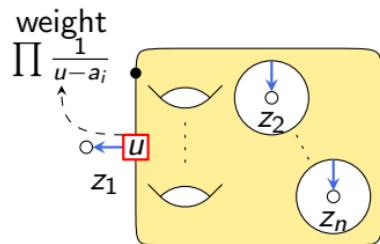
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- Main combinatorial tool: Tutte's equation (edge removal from the maps).



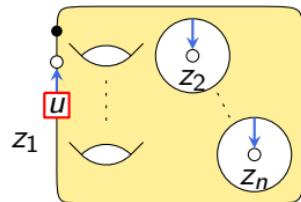
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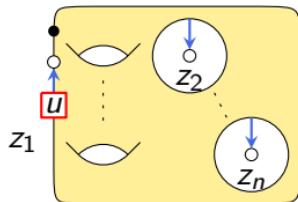
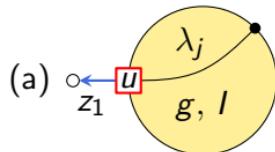
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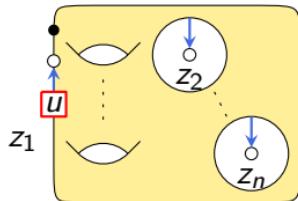
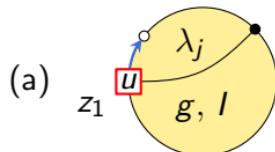
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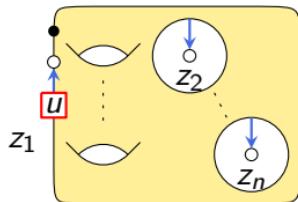
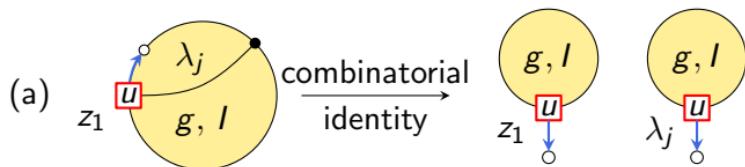
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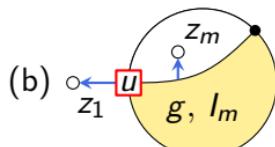
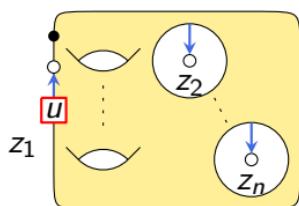
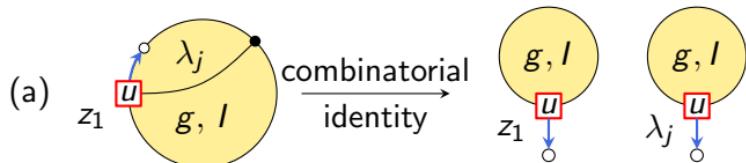


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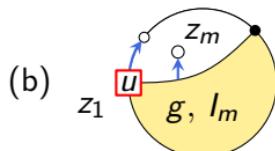
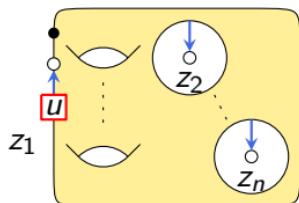
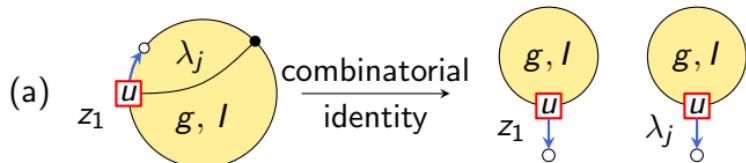


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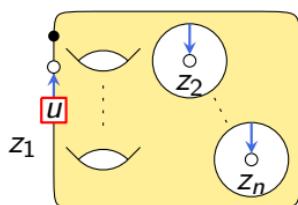
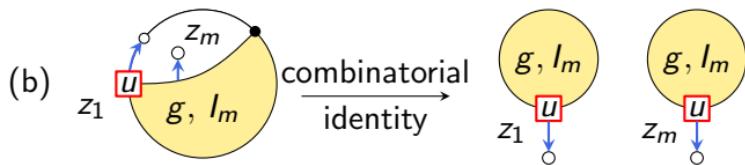
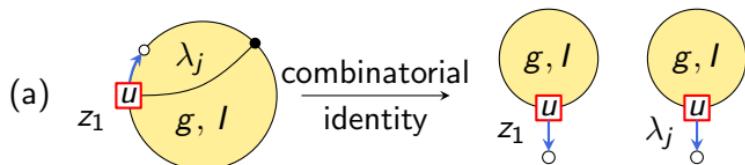


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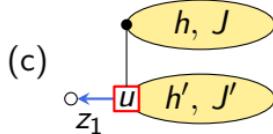
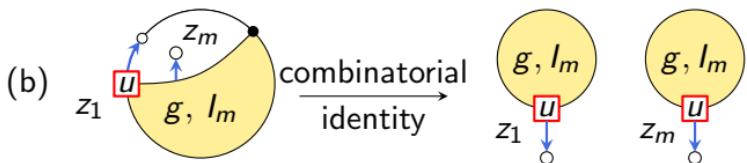
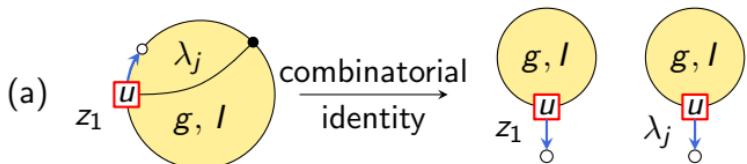
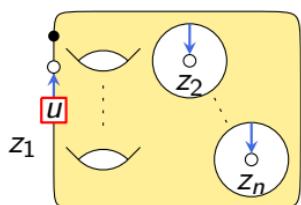


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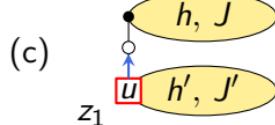
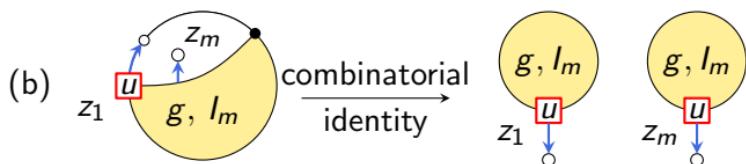
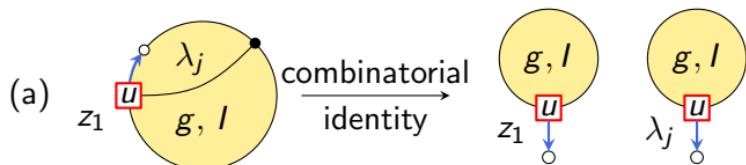
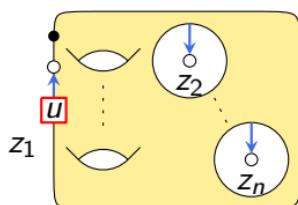


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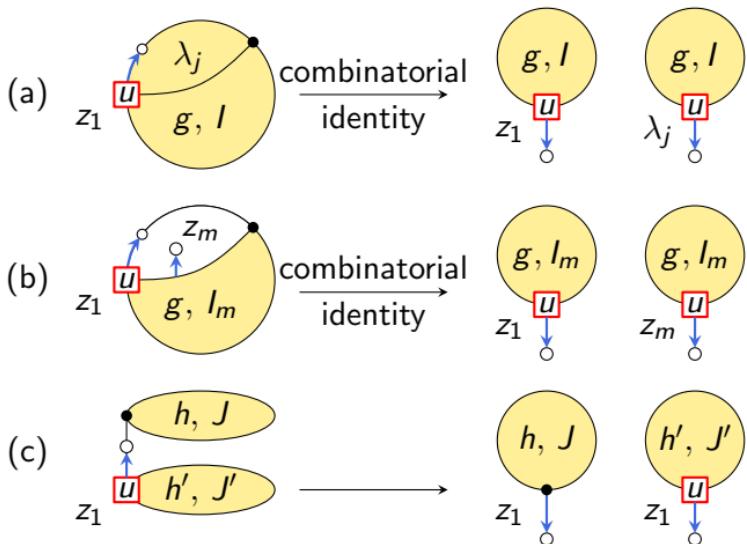
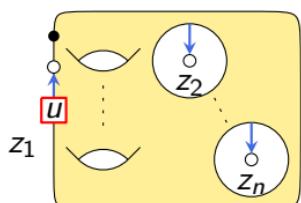


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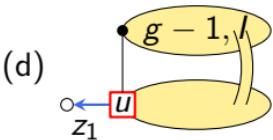
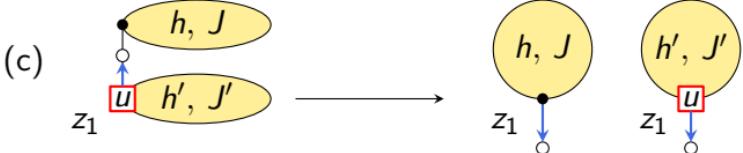
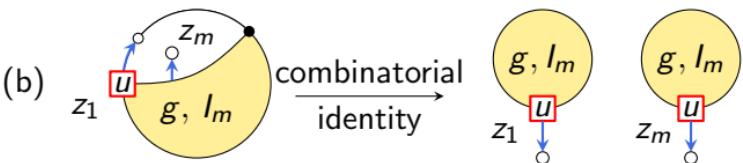
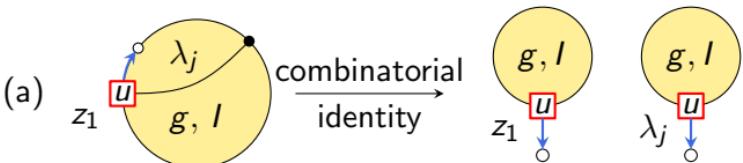
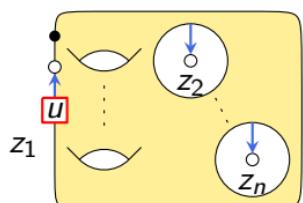


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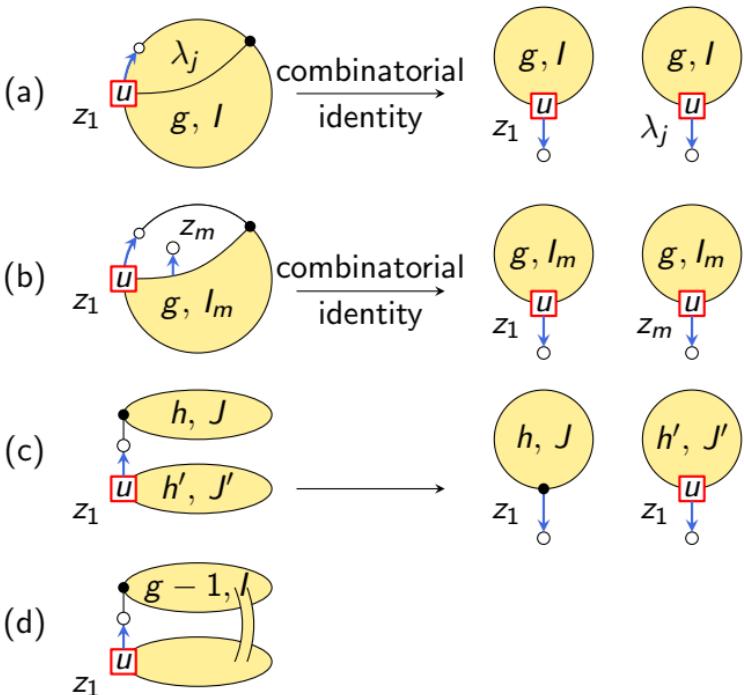
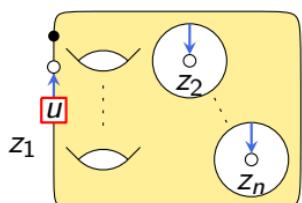


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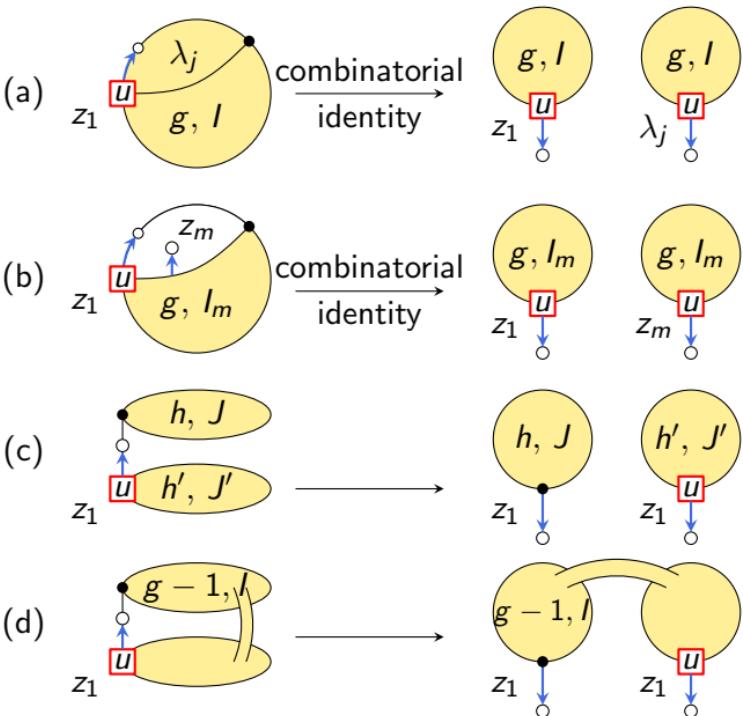
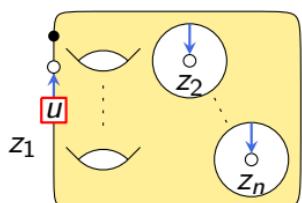


# Tutte's equation

- Main combinatorial tool: Tutte's equation (edge removal from the maps).

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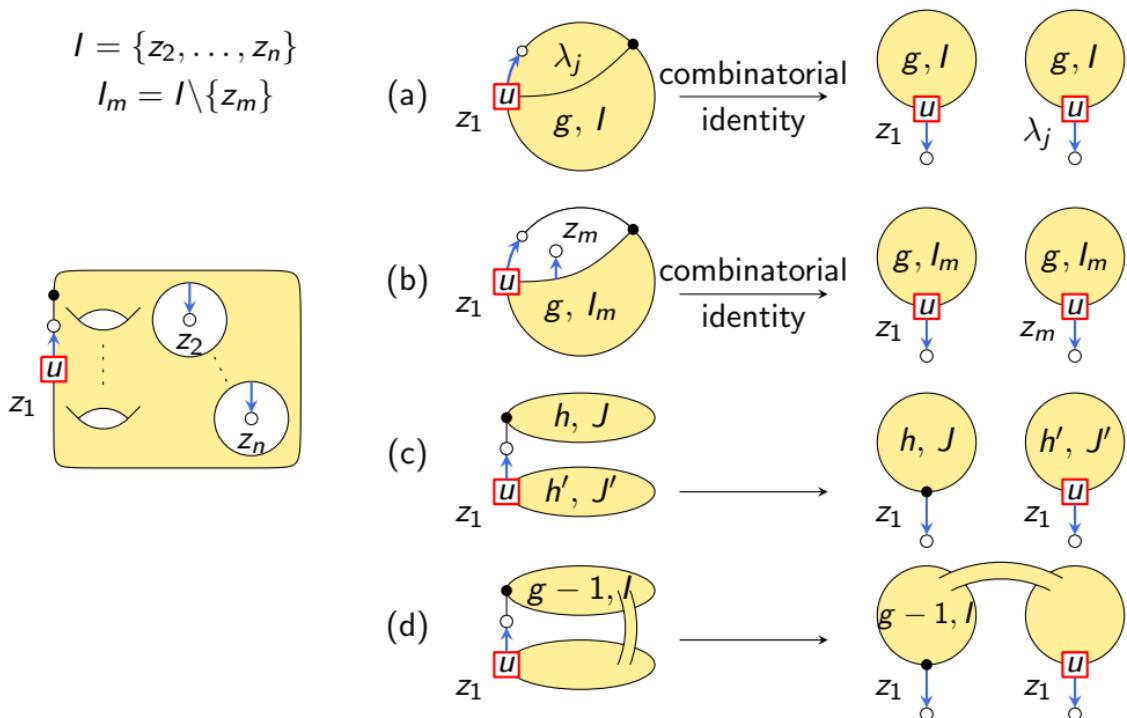


# Tutte's equation

- Main combinatorial tool: Tutte's equation (edge removal from the maps).

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- Analytical treatment (technical): structure of the poles, loop equations.

Back

# Spectral curve of fully simple maps

The spectral curve is given by:

$$\begin{cases} x(z) = Q(a + cz) = [V'(a + c(z + z^{-1}))]_{\geq 0} \\ y(z) = a + c(z + z^{-1}) \end{cases}$$

where  $a$  and  $c$  satisfy the following:

$$Q(a) = 0 \quad \text{and} \quad c = \frac{t}{Q'(a)}$$

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# Free energies from TR

Formula of TR: for  $g \geq 0$ ,  $n \geq 1$  and  $2g - 2 + n > 0$ .

$$\begin{aligned} \omega_{g,n}(z_1, I) = \sum_{a \in \Sigma, dx(a)=0} \text{Res}_{z=a} \frac{\frac{1}{2} \int_{\sigma_a(z)}^z \omega_{0,2}(z_1, \cdot)}{(y(z) - y(\sigma_a(z))) dx(z)} & \left( \omega_{g-1,n+1}(z, \sigma_a(z), I) \right. \\ & \left. + \sum'_{\substack{h+h'=g \\ J \sqcup J' = I}} \omega_{h,1+J}(z, J) \omega_{h',1+J'}(\sigma_a(z), J') \right) \end{aligned}$$

$I = \{z_2, \dots, z_n\}$ ;  $\sigma_a : \Sigma \rightarrow \Sigma$  local involution around  $a$ .

For  $g \geq 2$ :

$$C_{g,0} = \frac{1}{2 - 2g} \sum_{a \in \Sigma, dx(a)=0} \text{Res}_{z=a} \Phi(z) \omega_{g,1}(z)$$

where  $\Phi'(z) = -y(z)x'(z)$ .

Back

# Free probability basics

$\mathcal{A}$ : non commutative algebra.

[Voiculescu '80s] :

Non commutative probability space

Moments:

$$\phi : S[\mathcal{A}] \rightarrow \mathbb{C}$$

Free Cumulants:

$$\phi(\sigma)[\cdot] = \sum_{\pi \in NC(\sigma)} \kappa(\pi)[\cdot]$$

Freeness of  $A, B \subset \mathcal{A}$ .

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## Random matrices

$A_N$ : random hermitian, size  $N$ .

$$A_N \xrightarrow[N \rightarrow \infty]{} a.$$

Asymptotic expansion of cumulants:

$$\mathbb{E}_c \left[ \text{Tr}(A_N^k) \right] \underset{N \rightarrow \infty}{=} N \phi(\gamma_k)[a, \dots, a] + O(N^{-1})$$

$\mathcal{A}$ : non commutative algebra.

[Collins–Mingo–Śniady–Speicher '07] :

Higher order probability space

Moments:

$$\phi : PS[\mathcal{A}] \rightarrow \mathbb{C}$$

Free cumulants:

$$\phi = \zeta * \kappa$$

Higher order freeness of  $A, B \subset \mathcal{A}$ .

## Random matrices

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Asymptotic expansion of cumulants:

$$\mathbb{E}_c \left[ \text{Tr}(A_N^{k_1}), \dots, \text{Tr}(A_N^{k_n}) \right] \underset{N \rightarrow \infty}{=} N^{2-n} \phi(1_{\mathbf{k}}, \gamma_{k_1, \dots, k_n}) [a \dots, a] + O(N^{-n})$$

# Free probability basics

$\mathcal{A}$ : non commutative algebra.

[BCGLS '21] :

Surfaced probability space

Moments:

$$\phi : \mathbb{PS}[\mathcal{A}] \rightarrow \mathbb{C}$$

Free cumulants:

$$\phi = \zeta \circledast \kappa$$

Surfaced freeness  $A, B \subset \mathcal{A}$ .

Back

## Random matrices

$A_N$ : random hermitian, size  $N$ .

$$A_N \xrightarrow[N \rightarrow \infty]{} a.$$

Asymptotic expansion of cumulants:

$$\begin{aligned} \mathbb{E}_c \left[ \text{Tr}(A_N^{k_1}), \dots, \text{Tr}(A_N^{k_n}) \right] &\xrightarrow[N \rightarrow \infty]{} \\ \sum_{g \geq 0} N^{2-n-2g} \phi(1_{\mathbf{k}}, \gamma_{k_1, \dots, k_n}, g) [a \dots, a] \end{aligned}$$