OIST Institutional Repository Seminar
July 31, 2019
13:30 – 14:00
B503

Library Section
Open Access (Green and Gold)

**Article**

- **Green Route**
  - (often manuscript)
  - Institutional Repository
  - Disciplinary Repository

- **Gold Route**
  - (journal article)
  - All OA, No Fees
  - Hybrid (OA with fees and non OA)
  - All OA, Fees Mandatory
The Purpose of OISTIR:

Make University’s intellectual output accessible worldwide so as to maximize the scientific impact of its researches and raise the institutional research profile.
Dataflow in JAIRO Cloud (OISTIR)

Access from all over the world

Harvest using OAI-PMH

Accumulate domestic repository's metadata

Provide metadata to IRDB

Provide metadata to NII

Deposit contents

Participating Institutions

ABC University

Data for the submission of the full-text dissertation

Data for DOI registration

IRDB

National Diet Library

OISTIR

 Participating Institutions

1.JAIRO Cloudとは_20150701 Version 1.3 Partially Edited
https://community.repo.nii.ac.jp/?action=pages_view_main&active_action=repository_view_main_item_detail&item_id=70&item_no=1&page_id=24&block_id=100

©
Open Access Policy
Overview

**Definition:** "Open Access" is to make the Works available at no charge to the public for unrestricted use of research and scholarship.

**Ways to make your article Open Access:**
1) OISTIR
2) Subject Repositories
3) Publisher's Website (Gold Open Access)

Contents of OISTIR:

- **Mandatory Deposit:**
  - Academic articles
  - Doctoral dissertations

- **Voluntary-Based Deposit**
  - Articles published before January 1, 2017
  - Books and book chapters
  - Conference proceedings
  - Seminar materials

**Publication Date**
On or after January 1, 2017

**Depositors:**
- Authors employed by OIST
- JSPS fellow

**Version of Article:**
① Publisher Version
② When ① is not possible, Author’s Final Version (Accepted Manuscript)

Only article Information and link to publisher site will be deposited in OISTIR
Versions of Articles during the publishing process

ver.0.1 2006/12/22 Mie University

Publisher

Referee

Editor

Author

Author’s format (text + chart etc.)

Published version Layout

Publisher's version

Pre-print

Post-print

Transfer copy rights

Paper journals

PDF

Off print

Change of layout, edit typographical errors ※Possible to have additional proofreading.

Final version which was accepted by publisher

Final draft post-refereeing

PDF

Author's final version

proofreading

1~3 times

accept

EJ

DL
OIST’s Basic Approach to Open Access

OIST Authors and Library Staff must collaborate to make necessary actions for Open Access

Authors fulfill their obligations for Open Access

- Submit Deposit License
- Contact non-OIST co-authors about depositing the article in OISTIR
- Submit the appropriate version of the article (most likely Author’s Final Version [Accepted Manuscript])
  ➢ Submission [Web Form] is available

Library Staff provide support for Open Access

- Check each publisher’s copyright and archiving policy on behalf of authors
- Deposit the Works into OISTIR
- Host IR Seminars.
- Have briefings with faculty members and RUAs
- Provide Open Access Report
What are Creative Commons licenses?
Open Access Policy
Deposit License

All OIST authors must submit two (2) copies of the stamped or signed Deposit License; a one-off and comprehensive license, to the Library during the term of employment.

☑ The authors agree to have all OIST research works published on or after January 1, 2017 deposited in OISTIR

☑ The authors acknowledge and agree that in accordance with the IR Operational Guideline, they are responsible for obtaining prior written approvals from their non-OIST co-authors before the articles are deposited in OISTIR

Copyright Act of Japan

Art. 21: Reproduction Right, Art. 23: Public Transmission Right
Art. 65: Exercise of joint copyright
Workflow for Depositing Articles in OISTIR

When depositing articles without CC-BY license, or Accepted Manuscripts

1. Submit AM from Web Form
2. Action Request email
3. CC email
4. Request for Deposit email

Publication Data Flow
Actions for Depositing Articles

Web of Science
Library
FAO
Research Unit
Admin Staff
OIST Authors
Non-OIST Co-Authors

Deposit confirmation email
2 weeks after 3 is sent
## Publisher’s Self-Archiving Policy Examples

<table>
<thead>
<tr>
<th>Publisher Name</th>
<th>Posting Publisher Version</th>
<th>Posting Author’s Final Version</th>
<th>Embargo</th>
<th>Others</th>
</tr>
</thead>
<tbody>
<tr>
<td>American Physical Society (APS)</td>
<td>○</td>
<td>○</td>
<td>-</td>
<td>Add CC-BY-NC-ND License information on Author’s Final Version (Accepted Manuscript)</td>
</tr>
<tr>
<td>Elsevier</td>
<td>☒</td>
<td>○</td>
<td>12-48 months</td>
<td>Add CC-BY-NC-ND License information on Author’s Final Version (Accepted Manuscript)</td>
</tr>
<tr>
<td>Nature Research</td>
<td></td>
<td></td>
<td></td>
<td>© &lt;year&gt;. This manuscript version is made available under the CC-BY-NC-ND 4.0 license <a href="http://creativecommons.org/licenses/by-nc-nd/4.0">http://creativecommons.org/licenses/by-nc-nd/4.0</a></td>
</tr>
<tr>
<td>Springer Nature</td>
<td>☒</td>
<td>○</td>
<td>12 months</td>
<td></td>
</tr>
<tr>
<td>Wiley</td>
<td>☒</td>
<td>○</td>
<td>12-24 months</td>
<td></td>
</tr>
</tbody>
</table>
Open Access Percentage

Faculty Assembly has approved to use Open Access Percentage as an indicator for the faculty evaluation.

- Check Deposit License Submission
- Present Open Access Percentage

Open Access Percentage: The percentage of the articles open to the public via OISTIR in the total number of articles eligible for Open Access

\[
\text{OA} \% = \frac{\text{Number of articles Open via OISTIR}}{\text{Number of articles eligible for Open Access}}
\]
# Open Access Report

## Open Access Report

<table>
<thead>
<tr>
<th>Unit</th>
<th>Number of published articles</th>
<th>Number of articles not eligible for Open Access</th>
<th>Number of articles eligible for Open Access</th>
<th>Number of articles Open via OISTIR</th>
<th>Number of articles not Open Access because the author’s action hasn’t been taken</th>
<th>Open Access %</th>
</tr>
</thead>
<tbody>
<tr>
<td>A Unit</td>
<td>10</td>
<td>-</td>
<td>10</td>
<td>10</td>
<td>-</td>
<td>100%</td>
</tr>
<tr>
<td>B Unit</td>
<td>10</td>
<td>-</td>
<td>10</td>
<td>5</td>
<td>5</td>
<td>50%</td>
</tr>
<tr>
<td>C Unit</td>
<td>10</td>
<td>2</td>
<td>8</td>
<td>6</td>
<td>2</td>
<td>75%</td>
</tr>
</tbody>
</table>

### Deposit Status Report

<table>
<thead>
<tr>
<th>Unit</th>
<th>Article Title</th>
<th>OIST Authors</th>
<th>Status</th>
<th>Description of Actions needed by OIST Author</th>
<th>Open Status</th>
<th>Version of Article Required</th>
<th>Deposit License Submission</th>
<th>URL</th>
<th>Action Request Sent</th>
</tr>
</thead>
<tbody>
<tr>
<td>B Unit</td>
<td>Article A</td>
<td>Author A</td>
<td>Waiting for Author’s Action</td>
<td>Send Request for Deposit email to non-OIST co-authors. Submit Author’s Final Version (Accepted Manuscript)</td>
<td>Not Open</td>
<td>Author’s Final Version</td>
<td>☐</td>
<td>*****</td>
<td>06/25/18</td>
</tr>
</tbody>
</table>
# OISTIR Status

## Overall OIST Works Open Access Status

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of published articles</td>
<td>A 254</td>
<td>140</td>
</tr>
<tr>
<td>Number of articles not eligible for Open Access</td>
<td>B 22</td>
<td>25</td>
</tr>
<tr>
<td>Number of articles eligible for Open Access</td>
<td>C 232 (A – B)</td>
<td>115</td>
</tr>
<tr>
<td>Number of articles Open via OISTIR</td>
<td>D 212</td>
<td>107</td>
</tr>
<tr>
<td>Number of articles not Open Access because the author’s action hasn’t been taken</td>
<td>E 20</td>
<td>10</td>
</tr>
<tr>
<td>Open Access Percentage</td>
<td>F 91% (D÷C)</td>
<td>93%</td>
</tr>
</tbody>
</table>

## Number of Opened Records by Material Type (As of June, 2019)

<table>
<thead>
<tr>
<th>Type</th>
<th>Number of Items</th>
<th>OA %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic Articles</td>
<td>363</td>
<td>91%</td>
</tr>
<tr>
<td>PhD Dissertations</td>
<td>32</td>
<td>8%</td>
</tr>
<tr>
<td>Conference Proceedings</td>
<td>6</td>
<td>1%</td>
</tr>
<tr>
<td>Total</td>
<td>401</td>
<td>100%</td>
</tr>
</tbody>
</table>

Full text availability: 94%
## Contacts

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>General Inquiry:</td>
<td><a href="mailto:library@oist.jp">library@oist.jp</a></td>
</tr>
<tr>
<td>OISTIR:</td>
<td><a href="mailto:repository@oist.jp">repository@oist.jp</a></td>
</tr>
<tr>
<td>Research Data &amp; Material*</td>
<td><a href="mailto:data_archive@oist.jp">data_archive@oist.jp</a></td>
</tr>
</tbody>
</table>

*Management of Research Data & Materials: all researchers have the responsibility to preserve the research data used for publishing articles. For more information, contact [data_archive@oist.jp](mailto:data_archive@oist.jp)
Question?