

OIST

Machine Learning Summer School, March 8, 2024, OIST

# What Can We Further Learn from the Brain for AI?

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Neural Computation Unit [groups.oist.jp/ncu](https://groups.oist.jp/ncu)

Okinawa Institute of Science and Technology Graduate University





- OCNC
- Travel/Visa info for 2024
- Design
- Code of Conduct
- Past Courses

**OCNC 2004**

[OCNC 2005](#)

[OCNC 2006](#)

[OCNC 2007](#)

[OCNC 2008](#)

[OCNC 2009](#)

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# OCNC2004



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## Okinawa Computational Neuroscience Course 2004

The aim of Okinawa Computational Neuroscience Course is to provide opportunities for young researchers with theoretical backgrounds to learn up-to-date neurobiological findings, and those with experiment backgrounds to have hands-on experience in computational modeling. This may also be a good opportunity for theoretical and experimental neuroscientists to meet together and enjoy attractive nature and culture of **Okinawa**, the southernmost island prefecture of Japan.

This course is the second of a series of tutorial courses that the Cabinet Office of the Japanese Government is sponsoring as a precursory activity for the Okinawa Institute of Science and Technology.

The sponsor will provide lodging expenses during the course and support for travel to Okinawa.



# OIST Neural Computation Unit

## Modeling

Yukako Yamane  
Yuzhe Li  
Soheil Keshmiri  
Florian Larande  
Shuhe Hara  
Hideyuki Yoshimura  
Shutashu Tomonaga  
Yi-Shan Cheng  
Yusaku Kasai

## Robotics

Ekaterina Sangati  
Kristine Roque  
Yuji Kanagawa  
Tojo Rakotoaritina



(PhD Students)

## Neurobiology

Katsuhiko Miyazaki  
Kayoko W Miyazaki  
Hajime Yamanaka  
Anupama Chaud  
Sergey Zobnin  
Miles Desforges  
Yuma Kajihara  
Jianning Chen  
Naohiro Yamauchi  
Terezie Sedlinska

## Administration

Kikuko Matsuo  
Misuzu Saito

## Professor

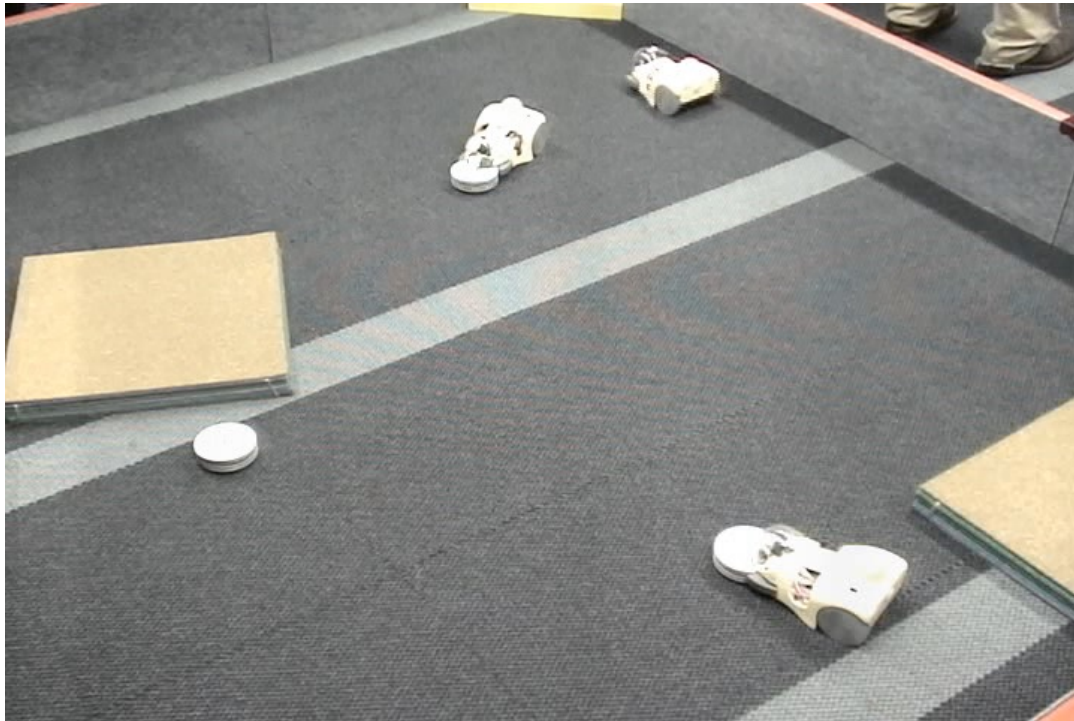
Kenji Doya



# OIST Neural Computation Unit

**Create flexible learning systems**

- robot experiments



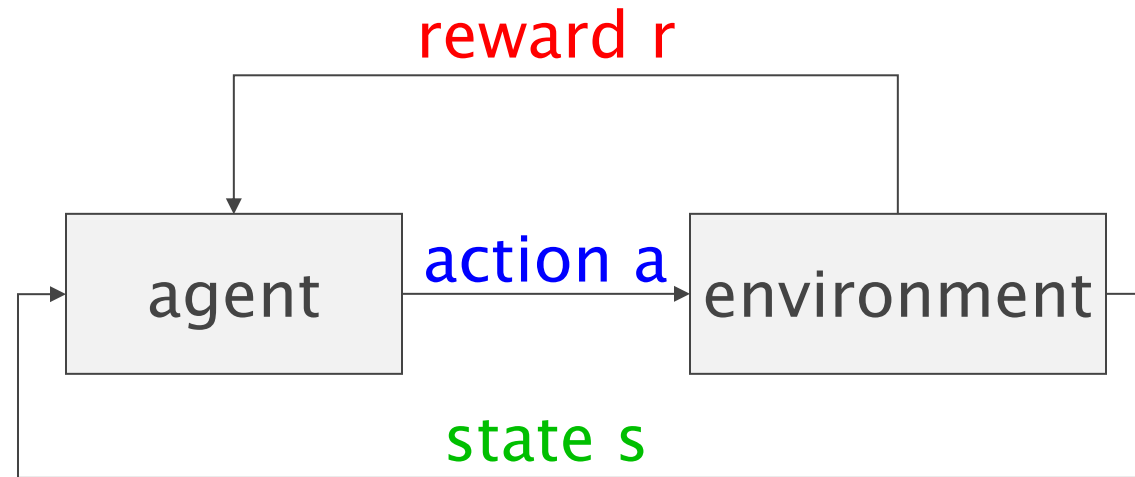
**Reveal brain's learning mechanisms**

- neurobiology





# Reinforcement Learning



**Learn action policy:  $s \rightarrow a$  to maximize rewards**

- Efficient algorithms for artificial agents
- Circuit and molecular mechanisms in the brain





# AI and Brain Science

To make intelligent machines by electronics,  
we should not bother biological constraints.

There's a superb implementation of intelligence  
in the brain, so why don't we learn from that.

AI in 20th century: program human expertise

AI in 21st century: learn from big data

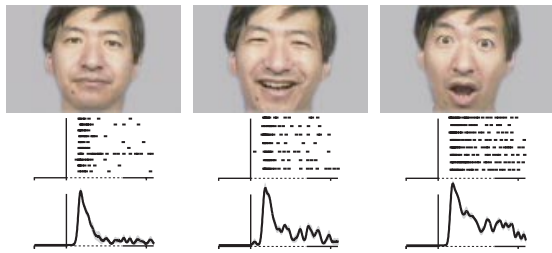
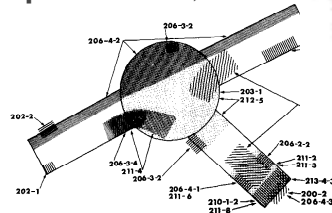
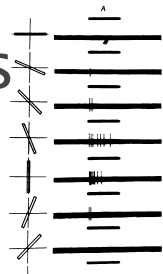
Brain-like implementation like *Deep Learning*  
gives the best performance.



# Coevolution in Pattern Recognition

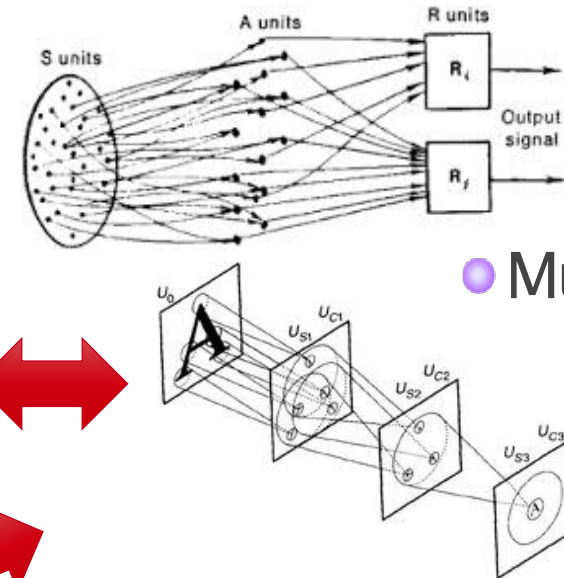
## Brain Science

- Feature detectors (Hubel & Wiesel 1959)
- Experience dependence (Blakemore & Cooper 1970)
- Place cell (O'Keefe 1976)
- Face cell (Bruce, Desimone, Gross 1981)

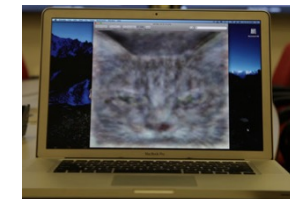
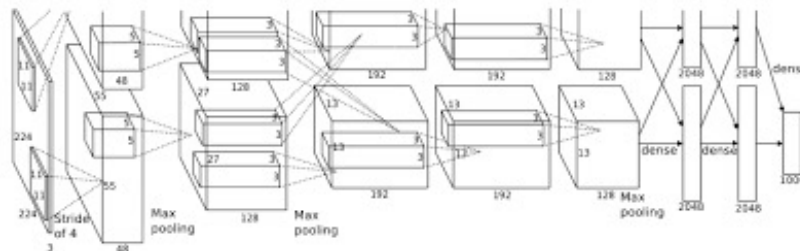


(Sugase et al. 1999)

## Artificial Intelligence



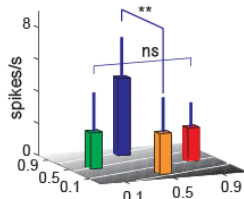
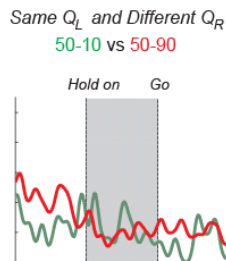
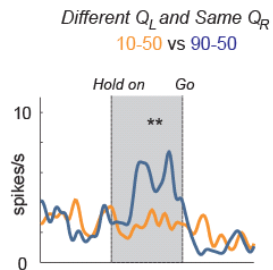
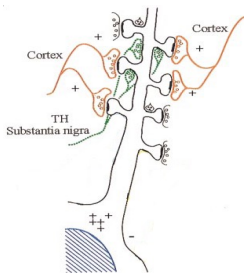
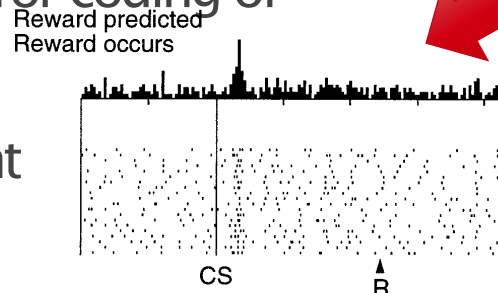
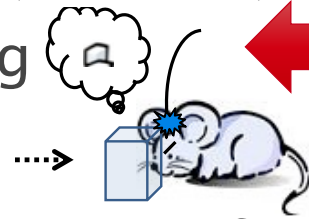
- Perceptron (Rosenblatt 1962)
- Multi-layer learning (Amari, 1967)
- Neocognitron (Fukushima 1980)
- ConvNet (Krizhevsky, Sutskever, Hinton, 2012)
- GoogleBrain (2012)



# Coevolution in Reinforcement Learning

## Brain Science

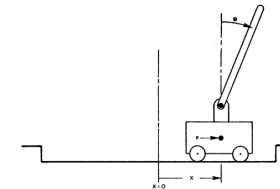
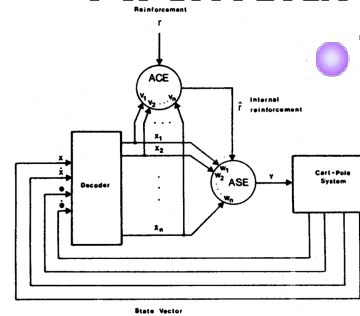
- Classic conditioning (Pavlov 1903)
- Operant conditioning (Thorndike 1898, Skinner 1938)
- Reward prediction error coding of dopamine neurons (Schultz et al. 1993, 1997)
- Dopamine-dependent synaptic plasticity (Wickens et al. 2000)



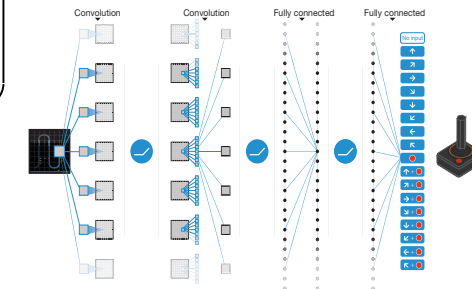
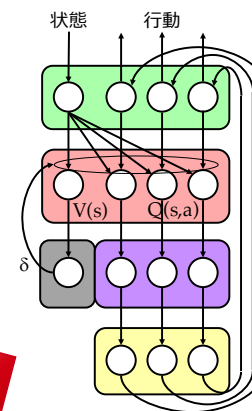
- Value coding in striatum (Samejima et al. 2005)

## Artificial Intelligence

- TD learning (Barto et al. 1983)



- Dopamine TD learning hypothesis (Barto et al. 1995, Montague et al. 1996)



- Deep Q network (Mnih et al. 2015)





## NEWS

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2020-04-01 [Dr.Hiroaki Gomi's group's study is featured in eLife Press Release](#)

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2020-01-06 [Prof. Kenji Doya received JNNS Academic Award and APNNS Outstanding Achievement Award](#)





# What Should We Further Learn from the Brain?

## Energy Efficiency

## Data Efficiency

- World Models and Mental Simulation
  - Modularity and Compositionality
    - Meta-learning

## Autonomy and Sociality

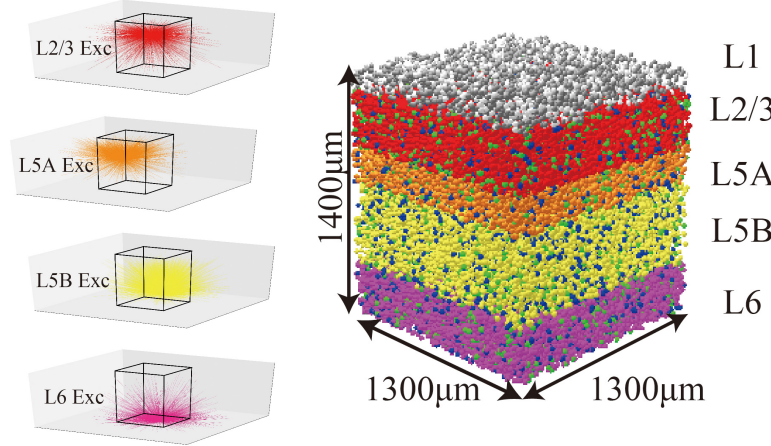


# Simulating Whole Human Brain Is Now Possible

frontiers  
in Neuroinformatics (2019)

## Large-Scale Simulation of a Layered Cortical Sheet of Spiking Network Model Using a Tile Partitioning Method

Jun Igarashi<sup>1\*</sup>, Hiroshi Yamaura<sup>2</sup> and Tadashi Yamazaki<sup>2\*</sup>

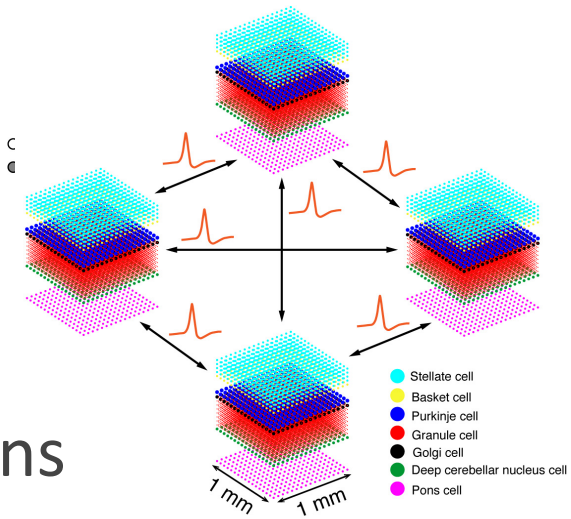
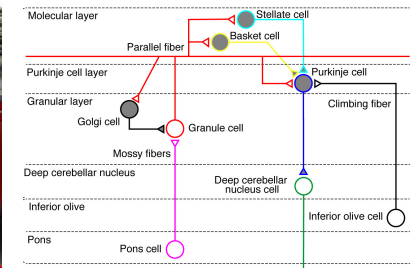


- 6 billion neurons
- 25 trillion synapses

frontiers  
in Neuroinformatics (2020)

## Simulation of a Human-Scale Cerebellar Network Model on the K Computer

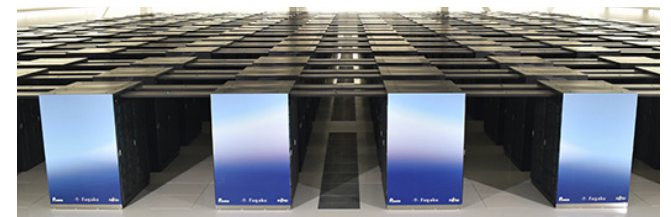
Hiroshi Yamaura<sup>1†</sup>, Jun Igarashi<sup>2†</sup> and Tadashi Yamazaki<sup>1\*</sup>



- 68 billion neurons
- 5 trillion synapses

## ■ Cortex + Cerebellum on Fugaku (2021)

- 96 billion neurons
- 57 trillion synapses

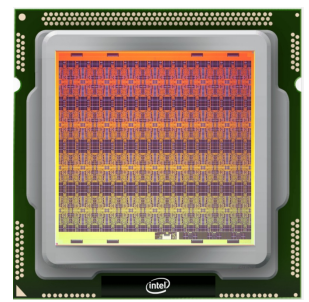
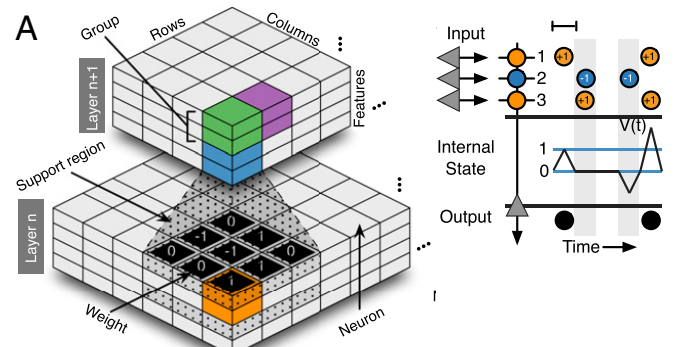




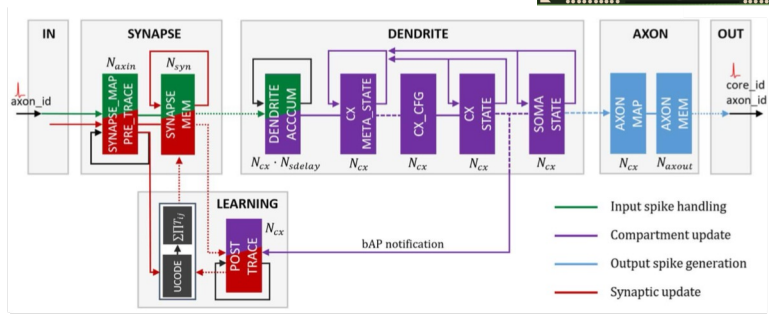
# Neuromorphic Chips

## Convolutional networks for fast, energy-efficient neuromorphic computing

Steven K. Esser<sup>a,1</sup>, Paul A. Merolla<sup>a</sup>, John V. Arthur<sup>a</sup>, Andrew S. Cassidy<sup>a</sup>, Rathinakumar Appuswamy<sup>a</sup>, Alexander Andreopoulos<sup>a</sup>, David J. Berg<sup>a</sup>, Jeffrey L. McKinstry<sup>a</sup>, Timothy Melano<sup>a</sup>, Davis R. Barch<sup>a</sup>, Carmelo di Nolfo<sup>a</sup>, Pallab Datta<sup>a</sup>, Arnon Amir<sup>a</sup>, Brian Taba<sup>a</sup>, Myron D. Flickner<sup>a</sup>, and Dharmendra S. Modha<sup>a</sup>



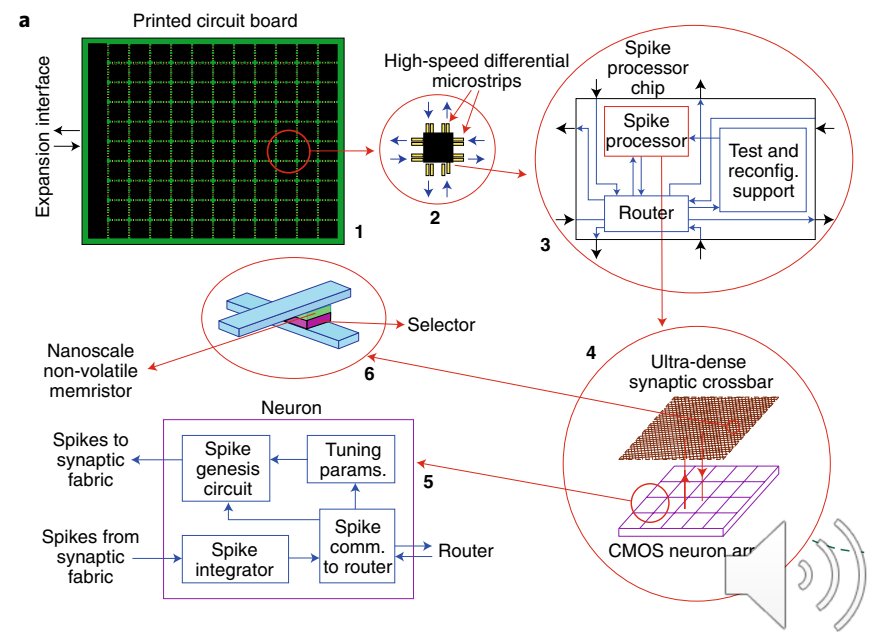
## Loihi: A Neuromorphic Manycore Processor with On-Chip Learning



## news & views

ARTIFICIAL NEURAL NETWORKS

## Memristors fire away





# What Should We Further Learn from the Brain?

Energy Efficiency

**Data Efficiency**

- World Models and Mental Simulation
  - Modularity and Compositionality
    - Meta-learning

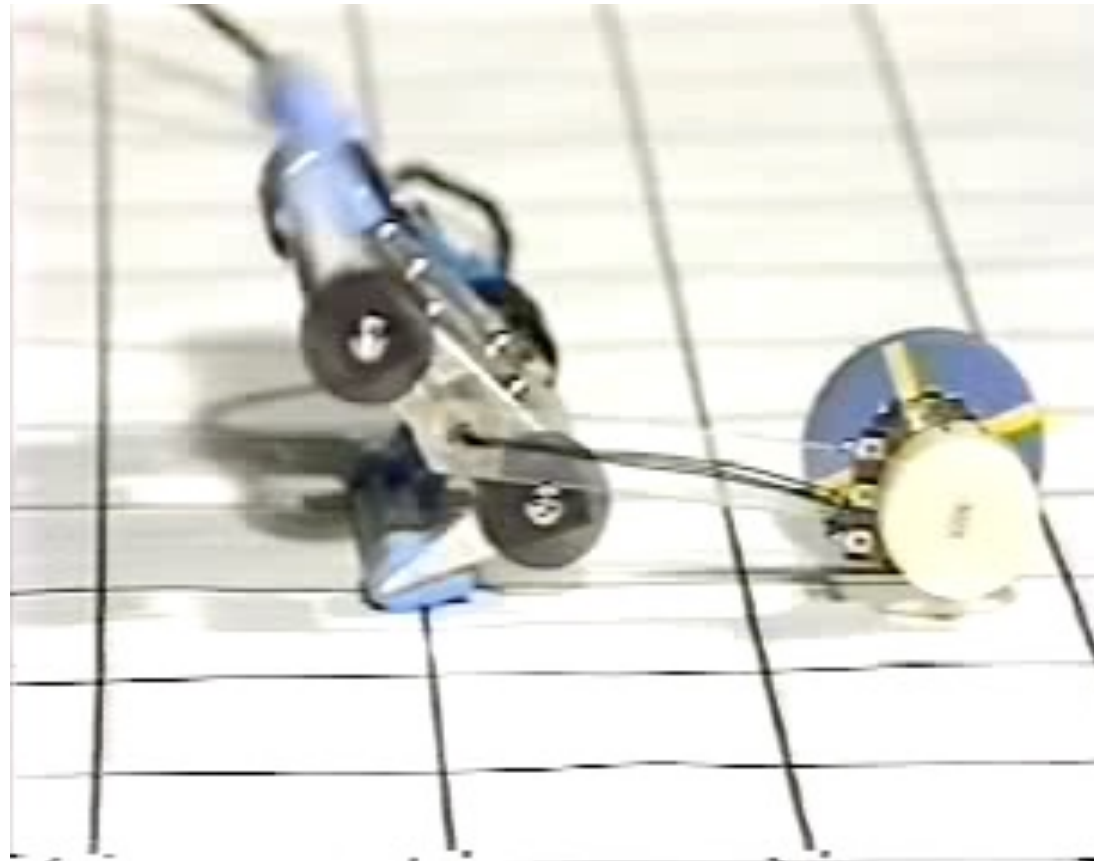
Autonomy and Sociality



# Learning to Walk

(Doya & Nakano, 1985)

- Explore actions (cycle of 4 postures)
- Learn from performance feedback (speed sensor)





# Reinforcement Learning

## ■ Predict reward: *value function*

- $V(s) = E[ r(t) + \gamma r(t+1) + \gamma^2 r(t+2) \dots \mid s(t)=s ]$

- $Q(s,a) = E[ r(t) + \gamma r(t+1) + \gamma^2 r(t+2) \dots \mid s(t)=s, a(t)=a ]$

## ■ Select action

- *greedy*:  $a = \operatorname{argmax} Q(s,a)$

- *Boltzmann*:  $P(a \mid s) \propto \exp[ \beta Q(s,a) ]$

*How to implement these steps?*

## ■ Update prediction: *temporal difference (TD) error*

- $\delta(t) = r(t) + \gamma V(s(t+1)) - V(s(t))$

- $\Delta V(s(t)) = \alpha \delta(t)$

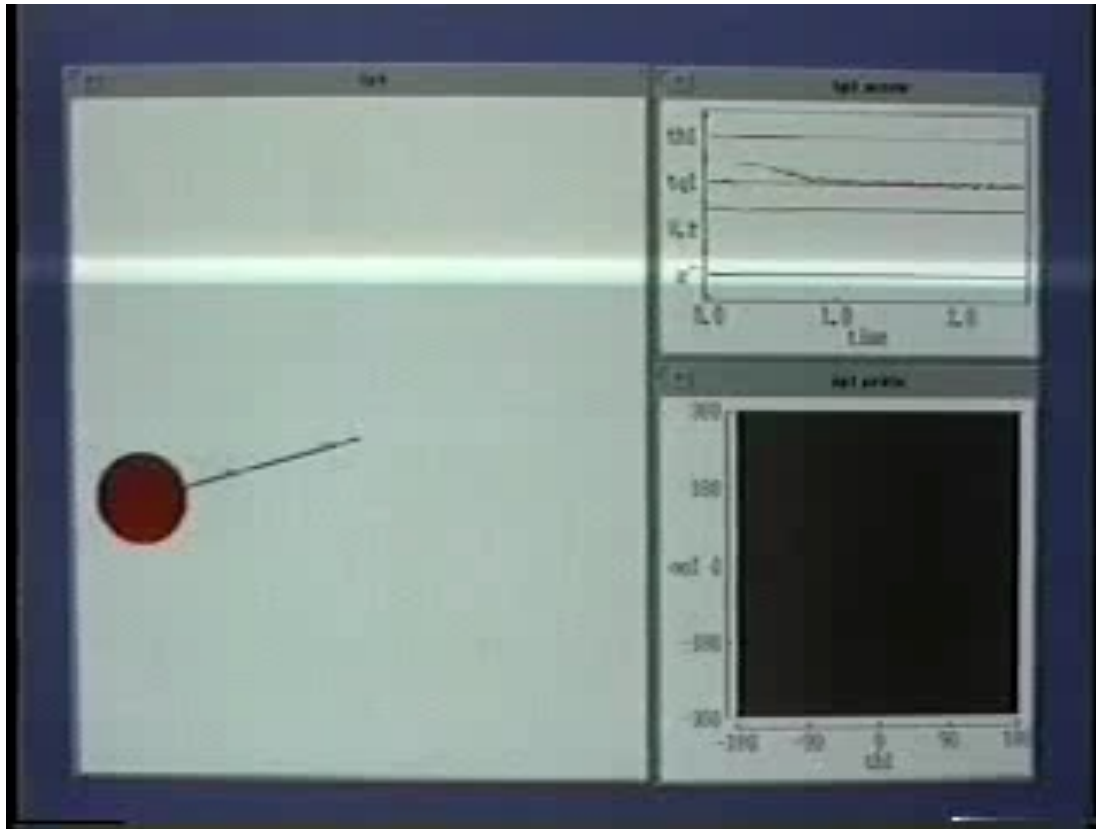
- $\Delta Q(s(t),a(t)) = \alpha \delta(t)$

*How to tune these parameters?*



# Pendulum Swing-Up

- state: angle  $\theta$ , angular velocity  $\omega$
- reward function: potential energy:  $\cos \theta$



$\omega$

$\theta$

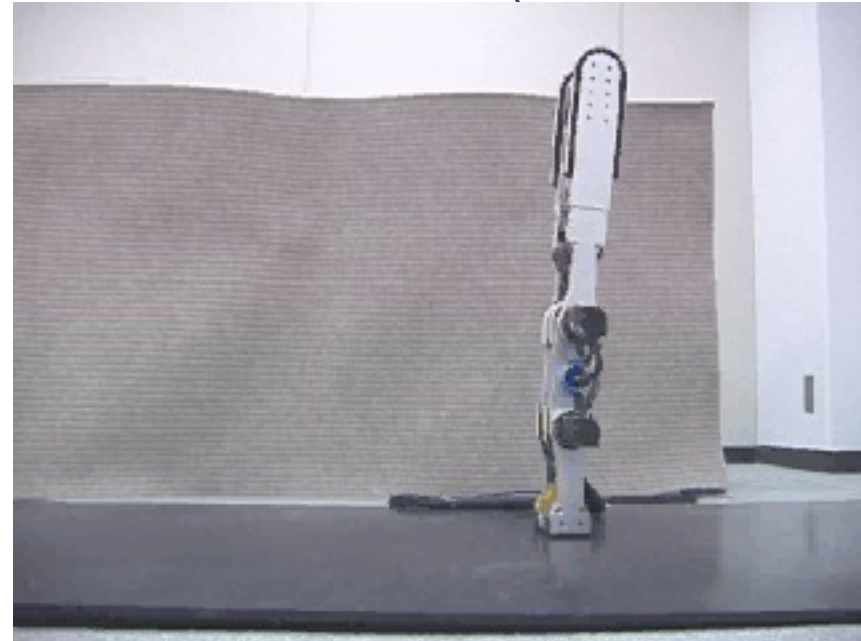
- Value function





# Learning to Stand Up

(Morimoto & Doya, 2001)



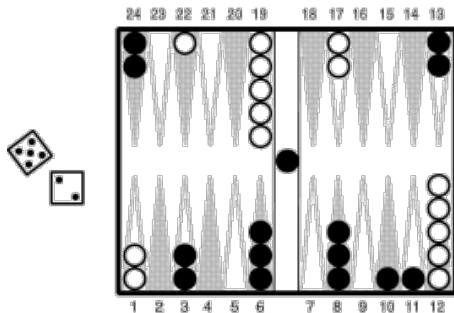
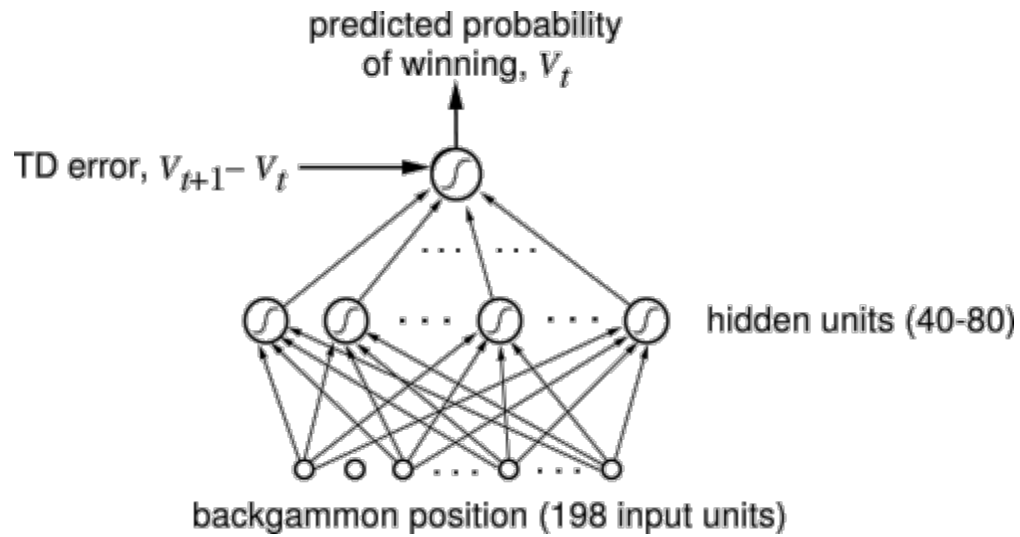
- Learning from reward and punishment
  - reward: height of the head
  - punishment: bump on the floor



# TD Learning and Backprop

## ■ TD Gammon

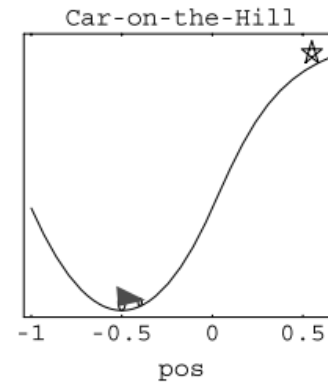
(Tesauro 1992, 1994)



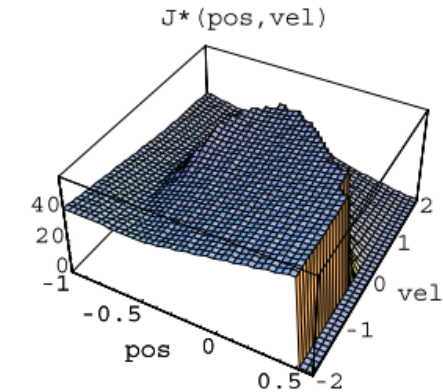
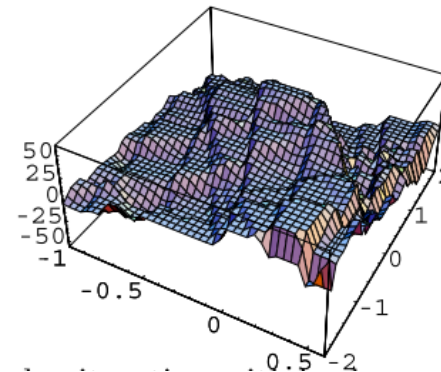
## ■ TD Learning can diverge

(Boyan & Moore, 1995)

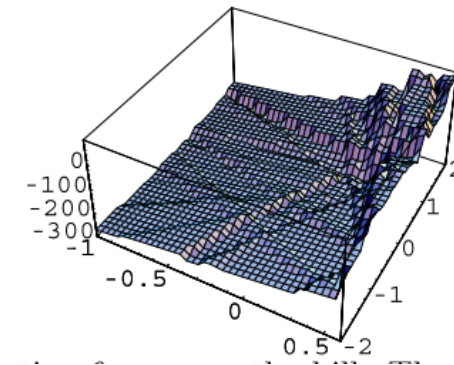
$$\bullet \delta(t) = r(t) + \gamma V(s(t+1)) - V(s(t))$$



Iteration 101



Iteration 201

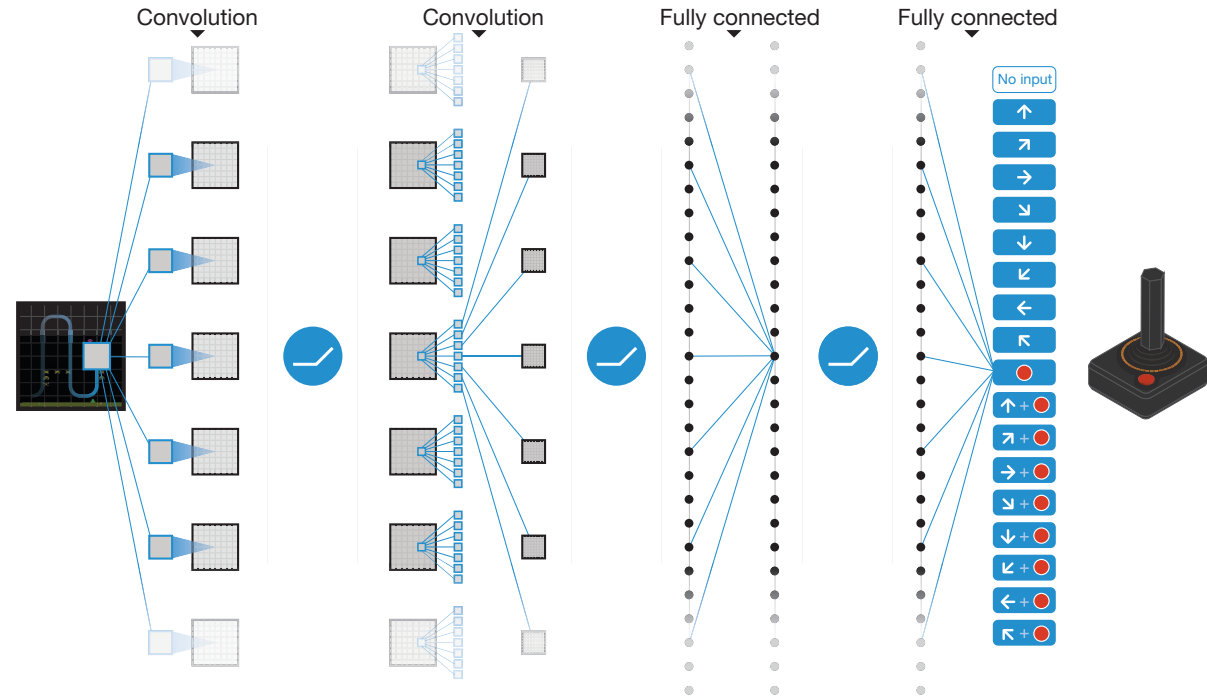
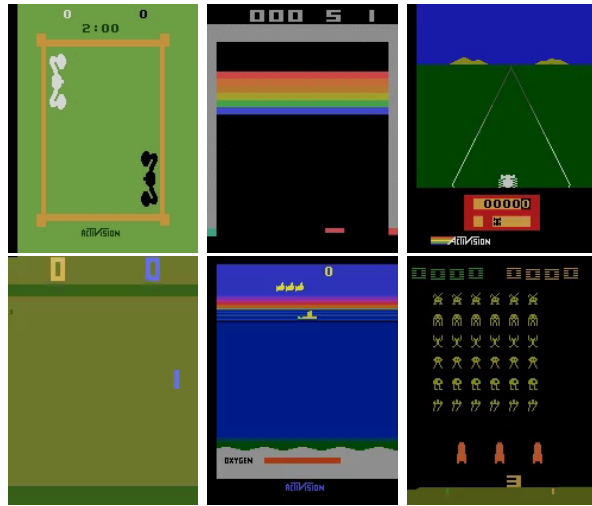




# Deep Q-Network

(Mnih et al. 2015)

## ■ Game screen as input

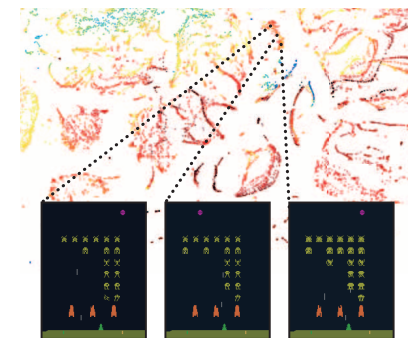


- *Experience replay*

- Fixing the *target network*

## ■ DNN captures important features

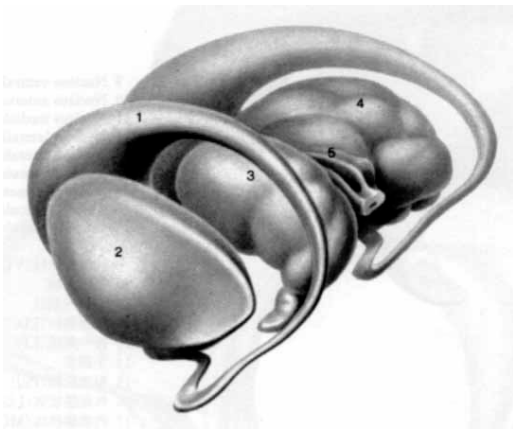
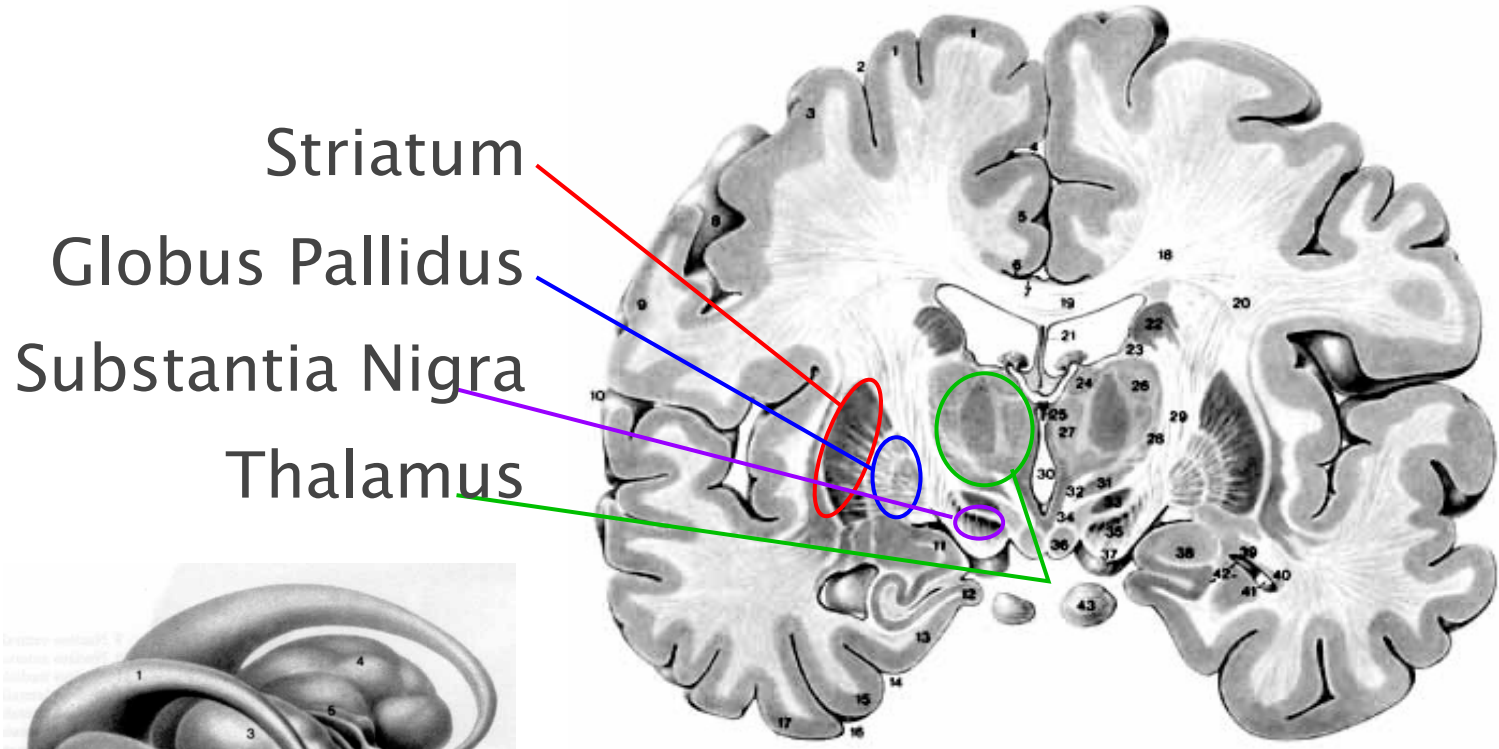
- human level in 29/49 Atari games





# Basal Ganglia

- Locus of Parkinson's and Huntington's diseases



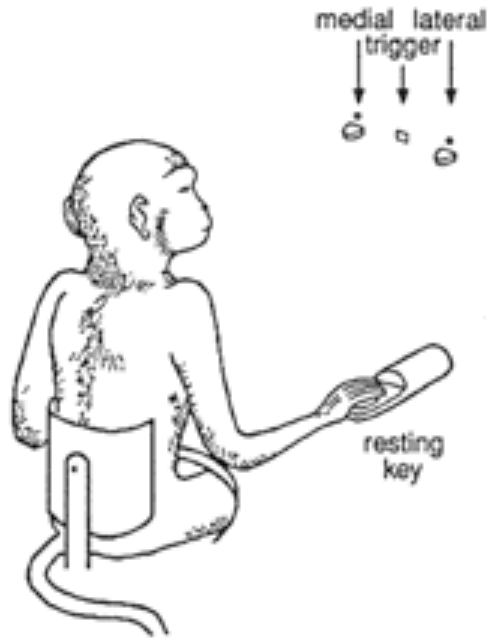
- What is their normal function??





# Dopamine Neurons Code TD Error

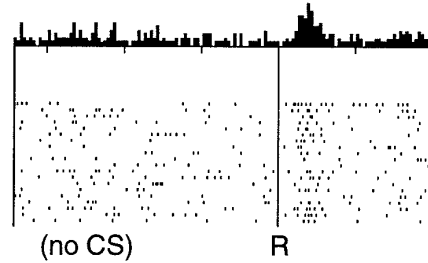
$$\delta(t) = r(t) + \gamma V(s(t+1)) - V(s(t))$$



(Schultz et al. 1997)

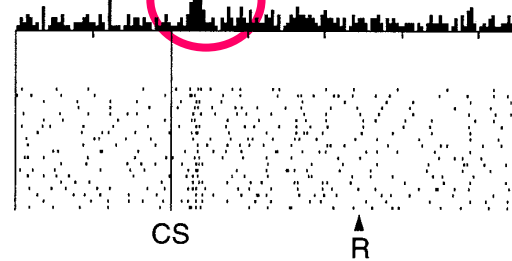
No prediction  
Reward occurs

unpredicted



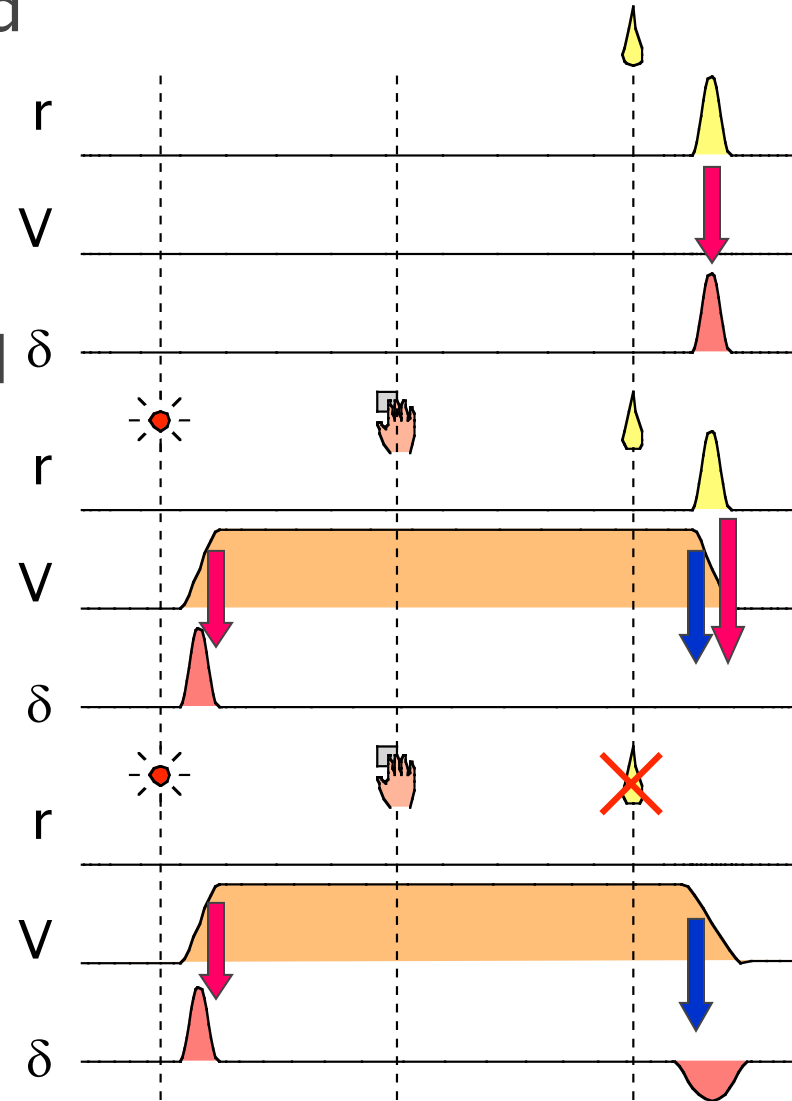
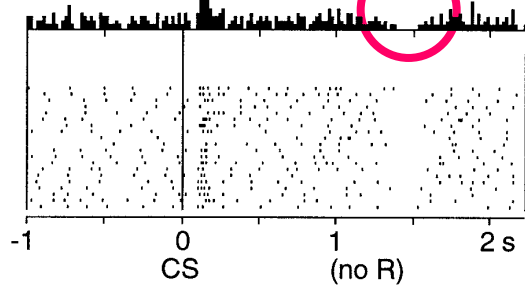
Reward predicted  
Reward occurs

predicted



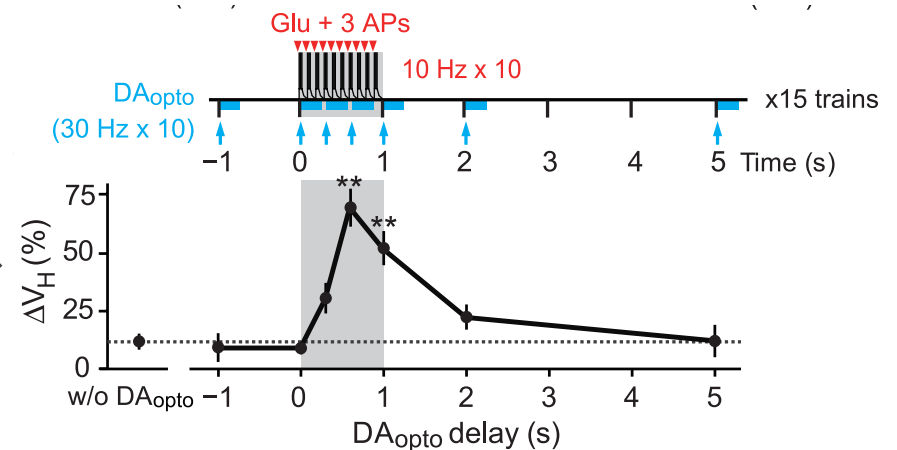
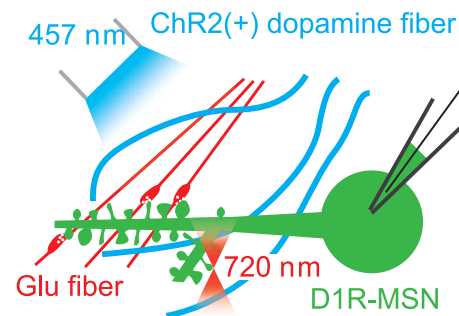
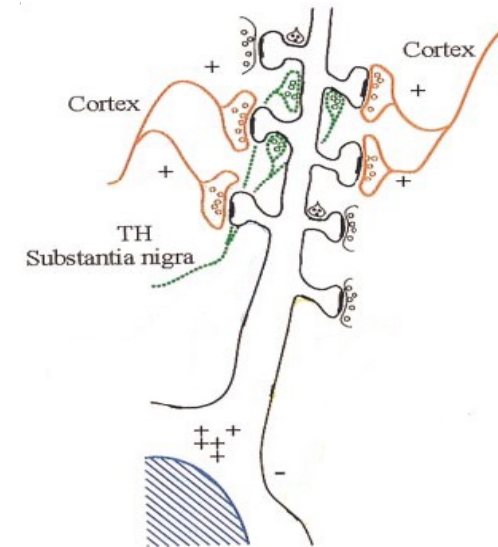
Reward predicted  
No reward occurs

omitted



# Dopamine-dependent Plasticity

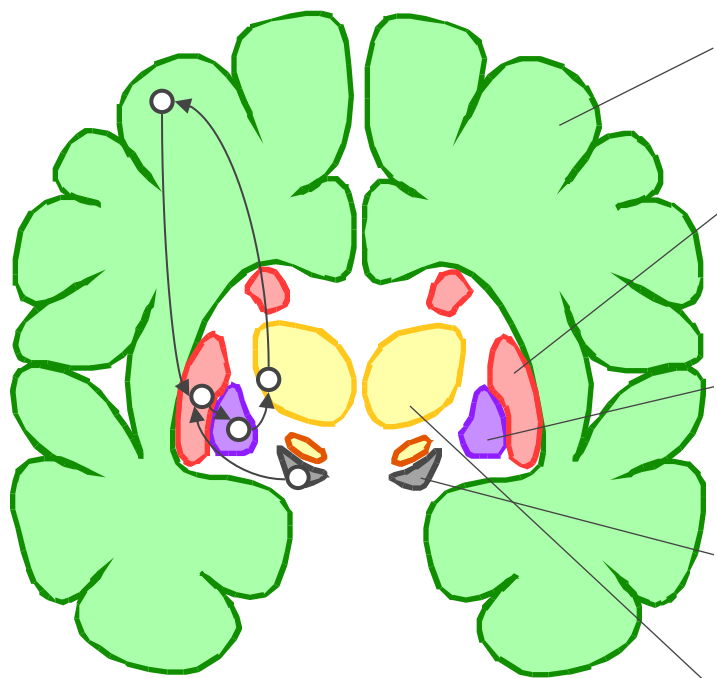
- Medium spiny neurons in striatum
  - glutamate from cortex
  - dopamine from midbrain
- Three-factor learning rule (Wickens et al.)
  - cortical input + spike  $\rightarrow$  LTD
  - cortical input + spike + dopamine  $\rightarrow$  LTP
  - input x output x reward
- Time window of plasticity (Yagishita et al., 2014)



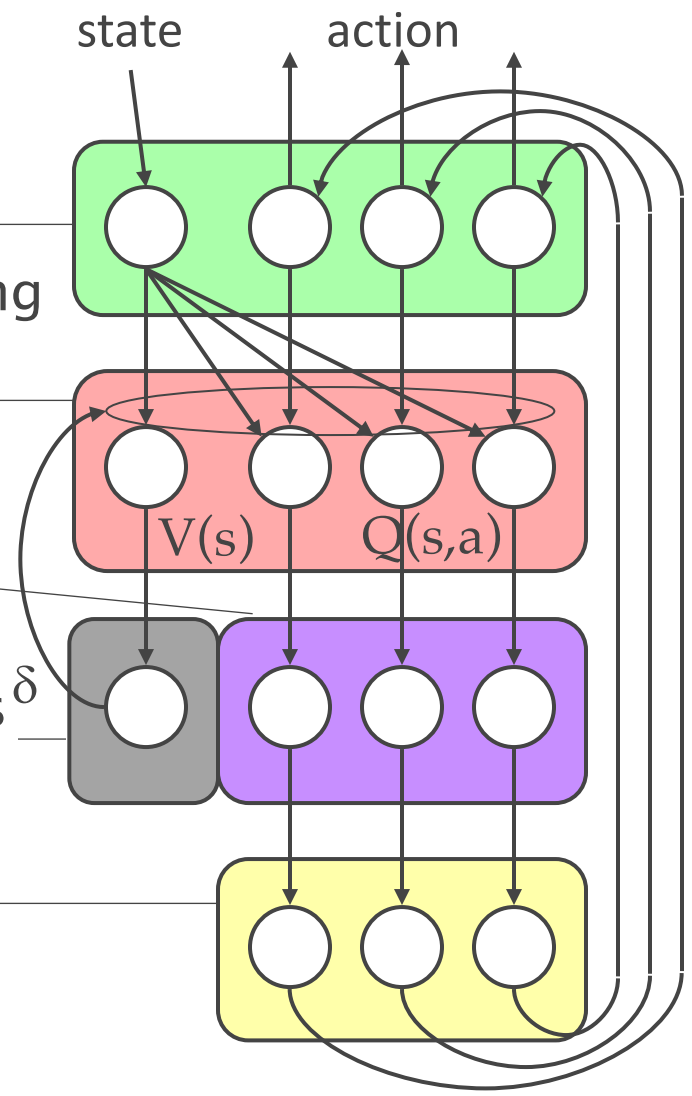


# Basal Ganglia for Reinforcement Learning?

(Doya 2000, 2007)



Cerebral cortex — state/action coding  
Striatum — reward prediction  
Pallidum — action selection  
Dopamine neurons  $\delta$  — TD signal  
Thalamus

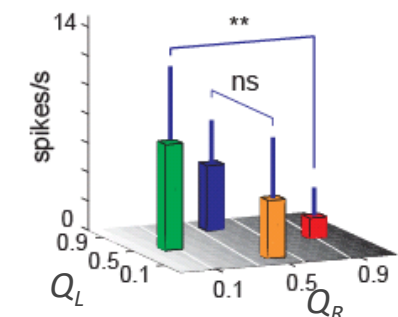
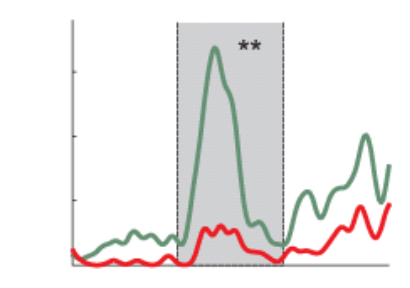
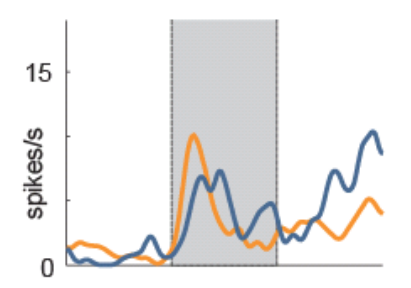
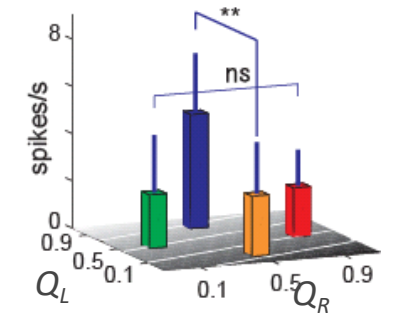
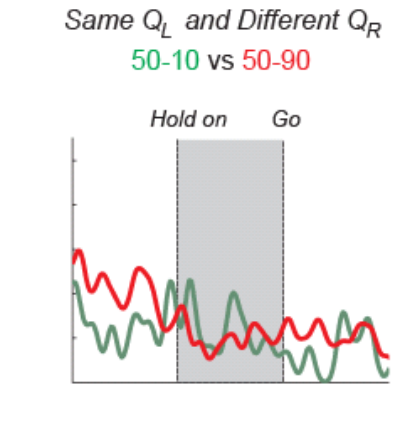
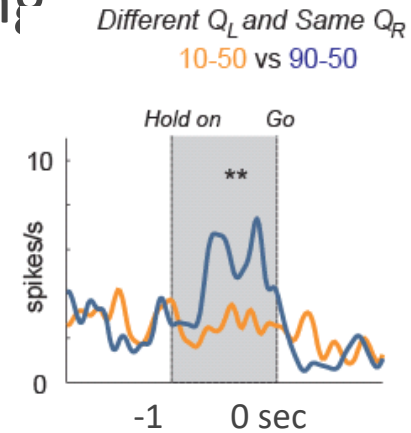
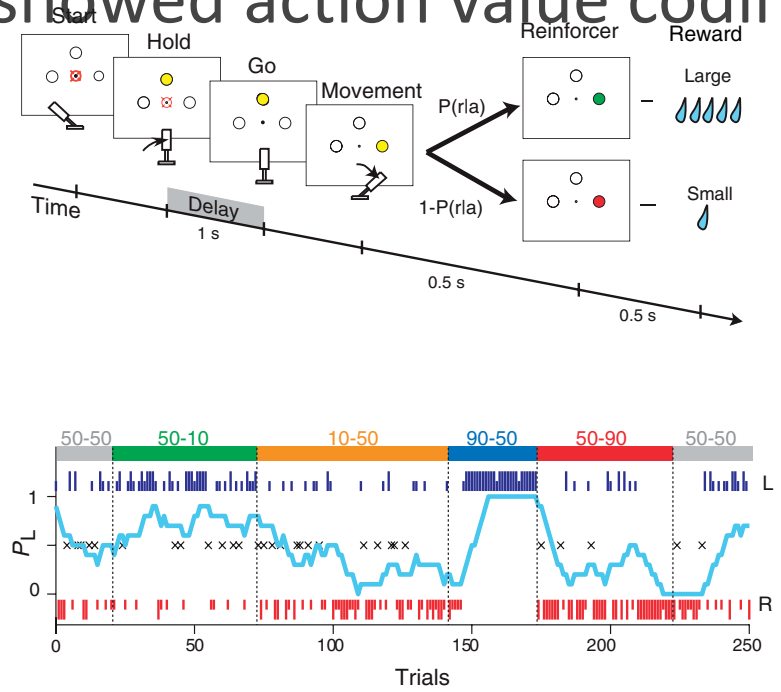




# Representation of Action-Specific Reward Values in the Striatum

Kazuyuki Samejima,<sup>1\*†</sup> Yasumasa Ueda,<sup>2</sup> Kenji Doya,<sup>1,3</sup> Minoru Kimura<sup>2\*</sup>

■ About half of task-responsive neurons in the anterior striatum showed action value coding





# Bayesian Inference of Action Values

(Samejima et al. 2004)

## ■ Hidden variables

- $x=(Q,\alpha,\beta,\gamma)$

- $p(x' | x)$ : **learning rule**

## ■ Observable variables

- $y=(s,a,r)$

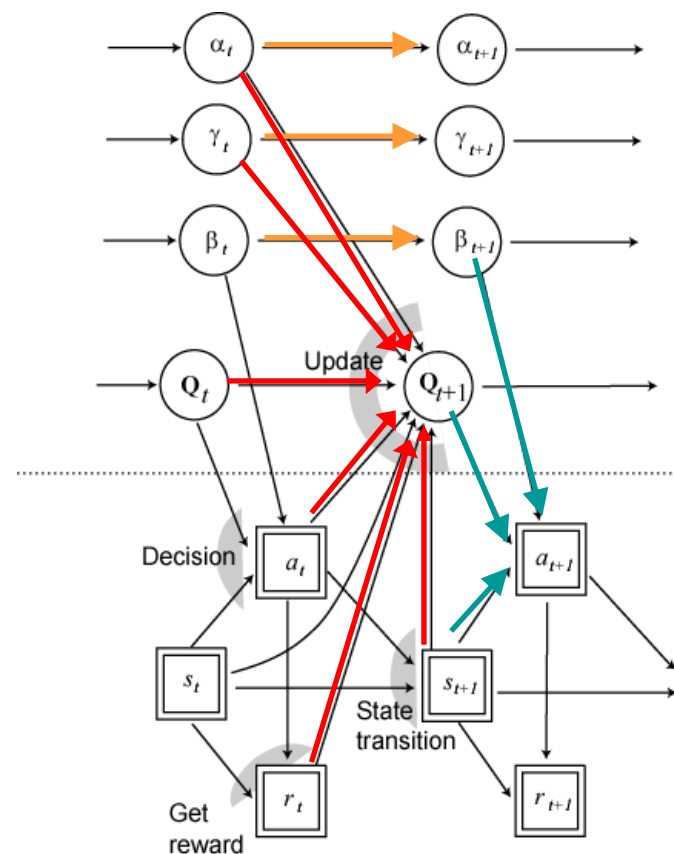
- $p(y | x)$ : **action policy**

## ■ Predictive prior

- $P(x_{t+1} | y_{1:t}) = \int P(x_{t+1} | x_t)P(x_t | y_{1:t})dx_t$

## ■ Posterior given observation $y_{t+1}$

- $P(x_{t+1} | y_{1:t+1}) \propto P(y_{t+1} | x_{t+1})P(x_{t+1} | y_{1:t})$





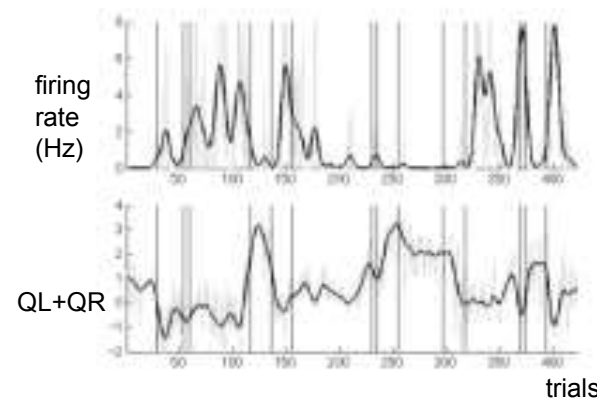
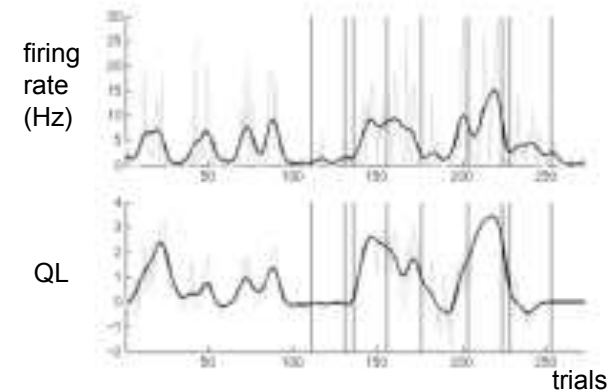
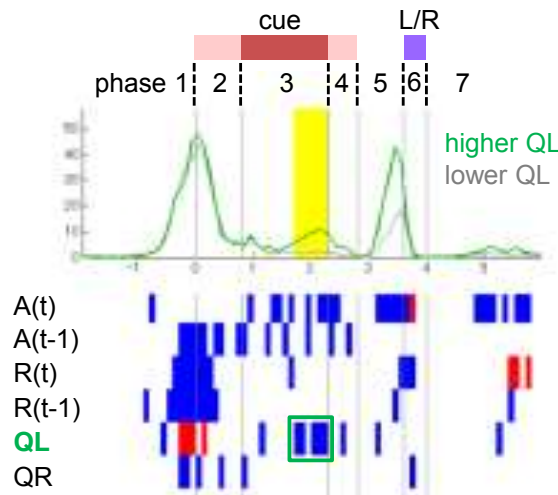
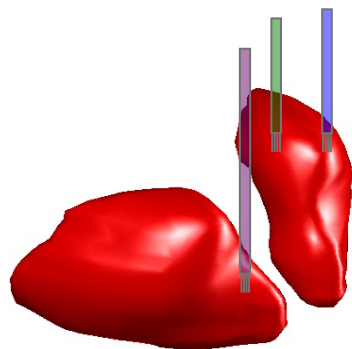
# Distinct Neural Representation in the Dorsolateral, Dorsomedial, and Ventral Parts of the Striatum during Fixed- and Free-Choice Tasks

Makoto Ito and Kenji Doya

The Journal of Neuroscience, 2015



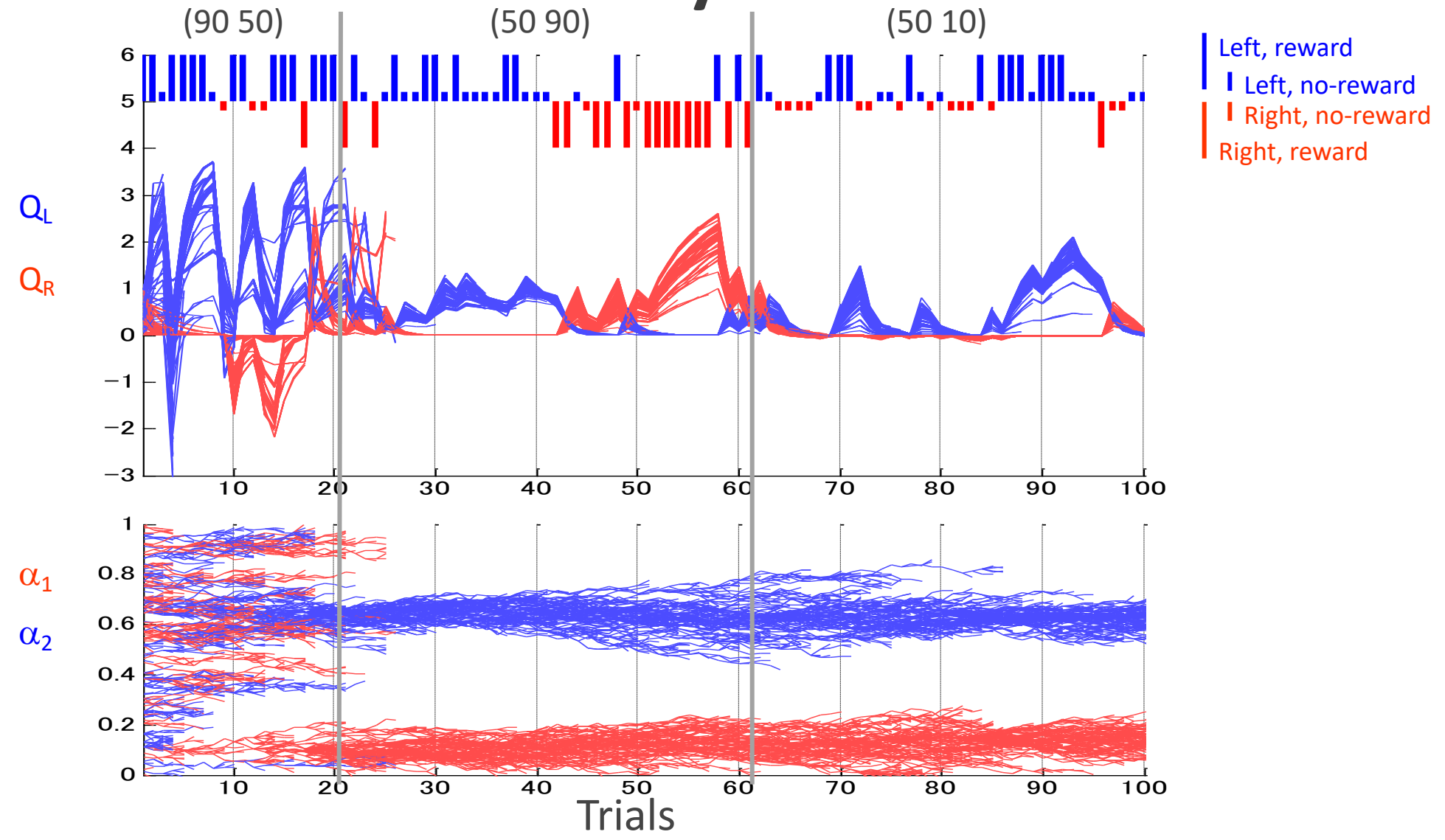
Left Center Right



- Dorsolateral
  - movements
- Dorsomedial
  - action value
- Ventral
  - state value



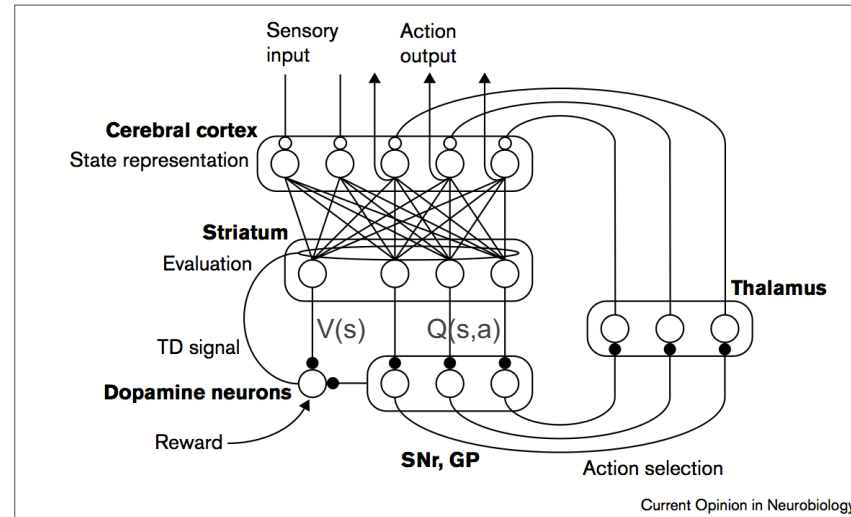
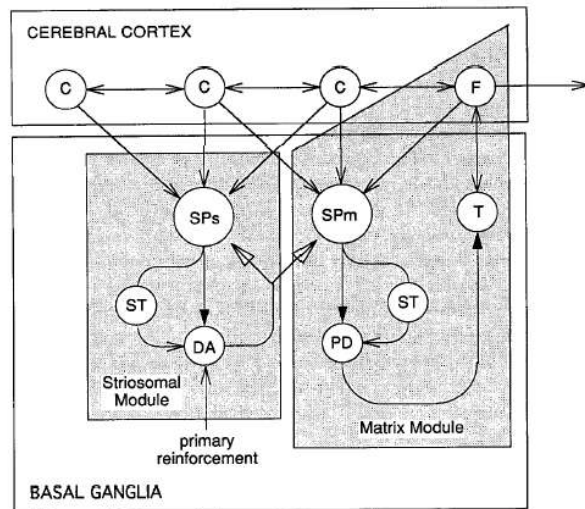
# Estimation by Particle Filter





# Striosome Neurons as Critic?

- Actor-critic (Houk et al., 1995) or state/action value (Doya, 2000)



- Do striosome neurons code state value?
  - Do matrix neurons code action or action value?
- Need cell-type specific recording
    - optolodes or calcium imaging



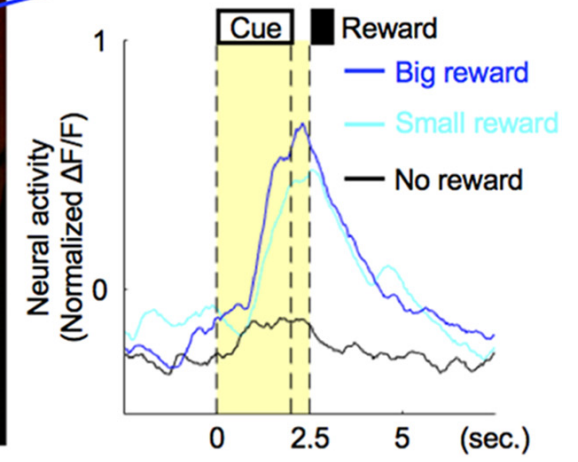
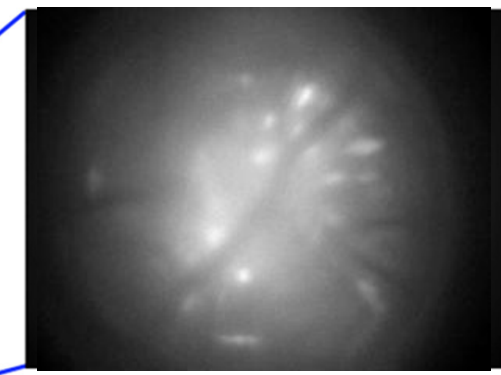
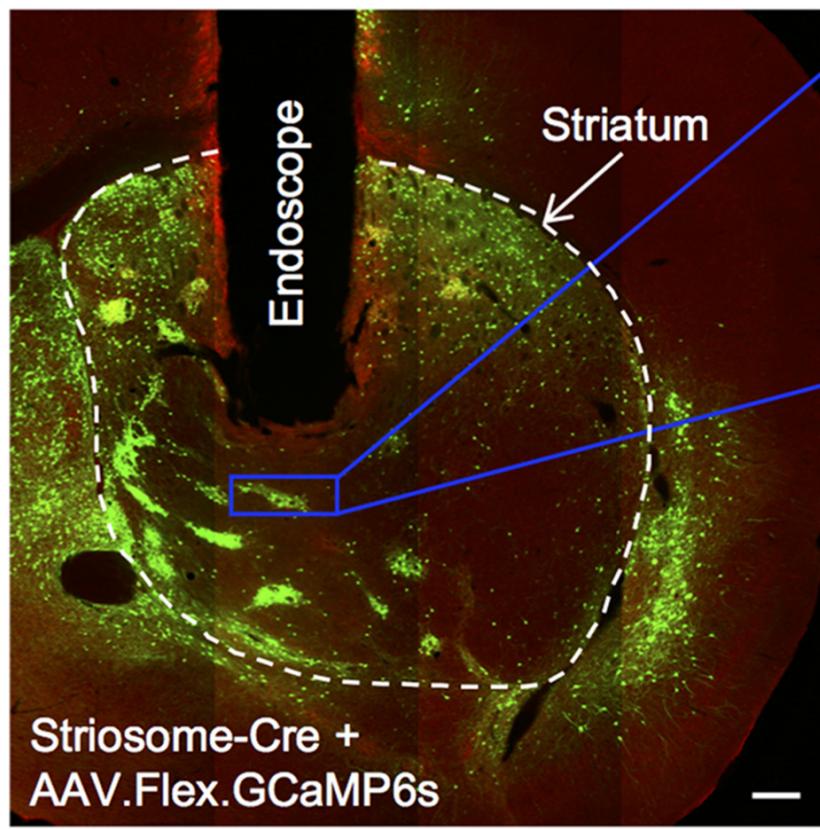
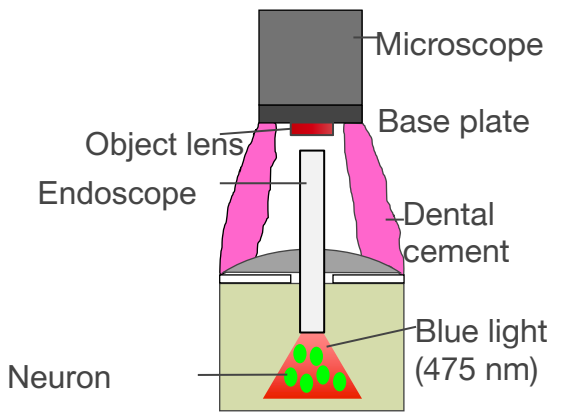
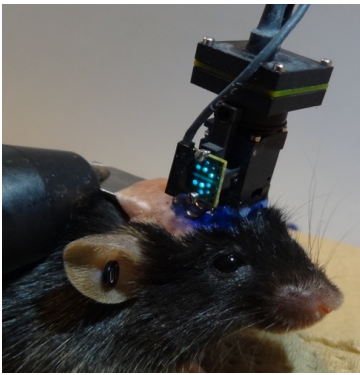
eNeuro (2018)

# Reward-Predictive Neural Activities in Striatal Striosome Compartments

Tomohiko Yoshizawa,<sup>1</sup> Makoto Ito,<sup>1,2</sup> and Kenji Doya<sup>1</sup>



## ■ Imaging striosome neuron activity by endoscope

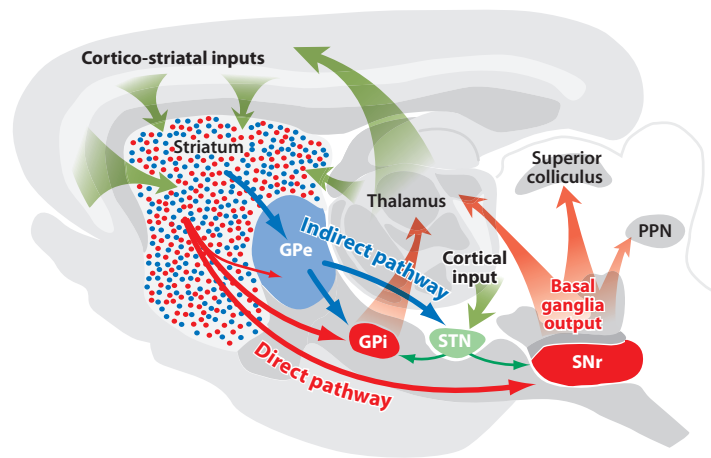


# Questions in Neural Reinforcement Learning

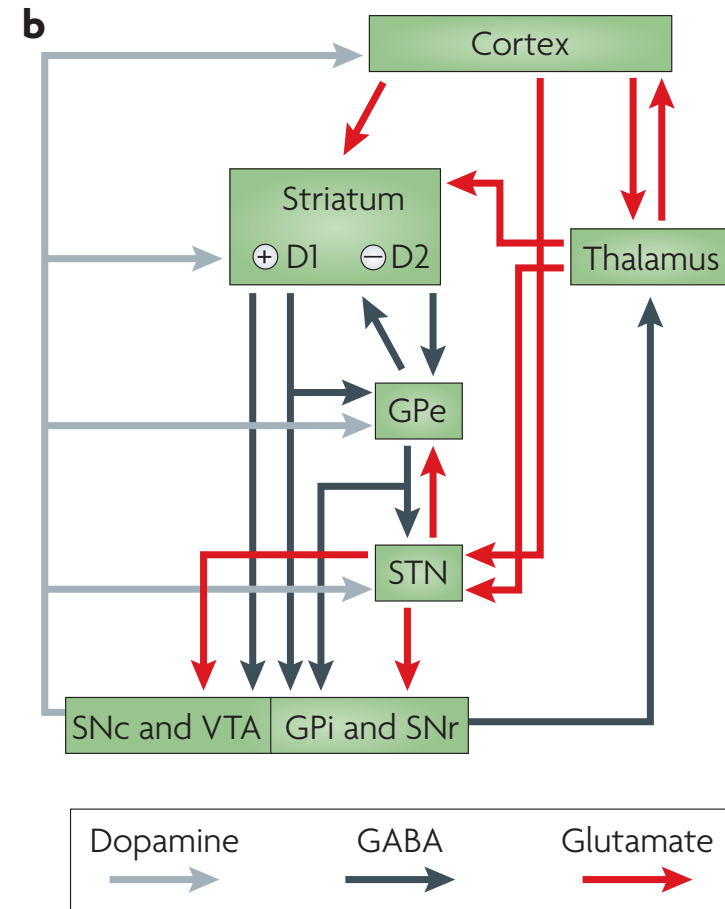
How is TD-like response computed by dopamine neurons?

Why should there be so many pathways?

- direct, indirect, hyperdirect
- striosome, matrix
- dorsal/ventral striatum, amygdala
- SNc and VTA dopamine neurons



(Gerfen 1992)



(Redgrave et al. 2010)



# Soft Actor-Critic

(Haarnoja et al. 2018)

- Stable, sample-efficient learning
- Learn state value, action value, and policy in parallel

- objective

$$J(\pi) = \sum_{t=0}^{T-1} \mathbb{E}_{(\mathbf{s}_t, \mathbf{a}_t) \sim \rho_\pi} [r(\mathbf{s}_t, \mathbf{a}_t) + \alpha \mathcal{H}(\pi(\cdot | \mathbf{s}_t))]$$

- state value  $V$

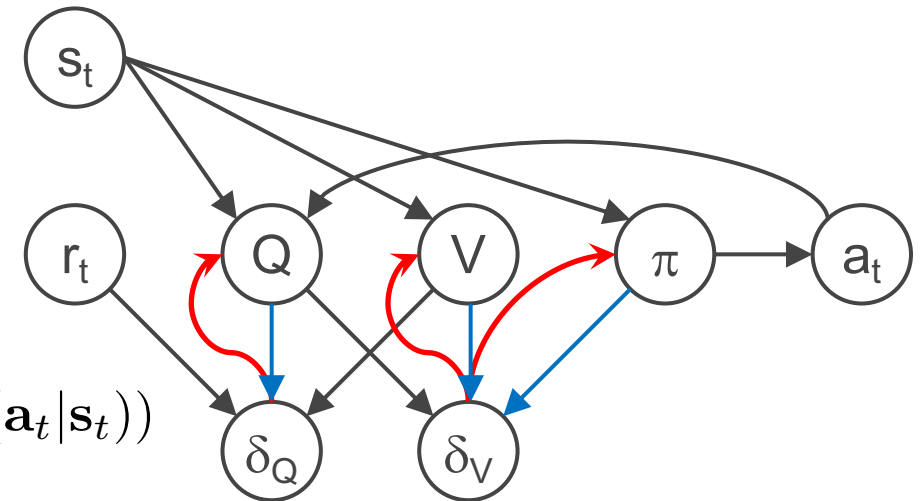
$$\hat{\nabla}_\psi J_V(\psi) = \nabla_\psi V_\psi(\mathbf{s}_t) (V_\psi(\mathbf{s}_t) - Q_\theta(\mathbf{s}_t, \mathbf{a}_t) + \log \pi_\phi(\mathbf{a}_t | \mathbf{s}_t))$$

- action value  $Q$

$$\hat{\nabla}_\theta J_Q(\theta) = \nabla_\theta Q_\theta(\mathbf{a}_t, \mathbf{s}_t) (Q_\theta(\mathbf{s}_t, \mathbf{a}_t) - r(\mathbf{s}_t, \mathbf{a}_t) - \gamma V_{\bar{\psi}}(\mathbf{s}_{t+1}))$$

- policy  $\pi$

$$\hat{\nabla}_\phi J_\pi(\phi) = \nabla_\phi \log \pi_\phi(\mathbf{a}_t | \mathbf{s}_t) (\log \pi_\phi(\mathbf{a}_t | \mathbf{s}_t) - Q_\theta(\mathbf{s}_t, \mathbf{a}_t) + V_\psi(\mathbf{s}_t))$$





# Model-free and Model-based RL

## Model-free RL

- Memorize action values
  - $Q(\text{state}, \text{action})$
- Reactive action
  - $P(a | s) \sim \exp[\beta Q(s, a)]$
- On-line learning by TD error
  - $\delta = \text{reward} + \gamma Q(s', a') - Q(s, a)$

**Simple, but slow learning**

## Model-based RL

- Learn internal models
  - $P(\text{next state} | \text{state}, \text{action})$
  - $R(\text{state}, \text{action})$
- Estimate current state
  - $P(s_t | o_t, a_{t-1}) \propto P(o_t | s_t) \sum_{s_{t-1}} P(s_t | s_{t-1}, a_{t-1}) P(s_{t-1})$
- Predict values
  - $Q(s, a) = \sum_{s'} P(s' | s, a) [R(s, a) + \gamma V(s')]$
  - $V(s) = \max_a \sum_{s'} P(s' | s, a) [R(s, a) + \gamma V(s')]$

**Flexible, but heavy load**



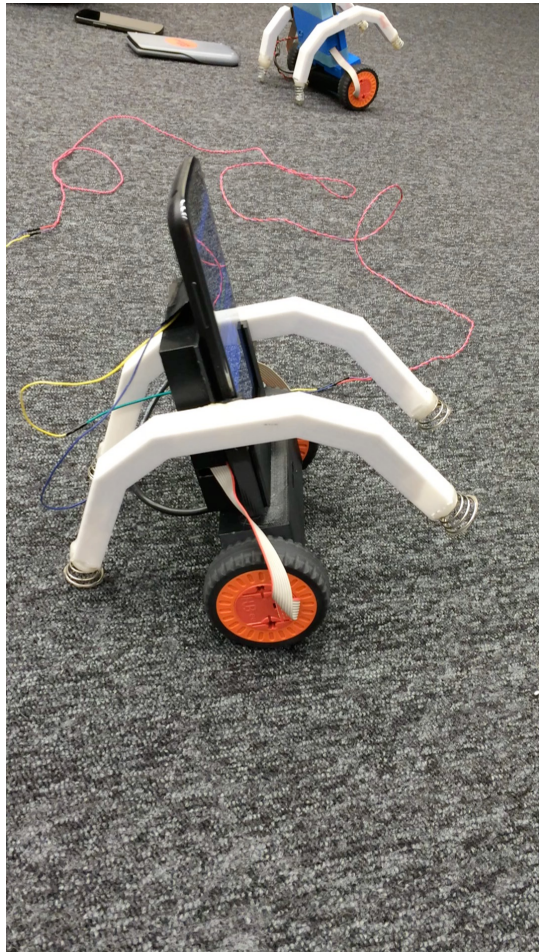


# Bounce Up and Balance by PILCO

(Paavo Parmas)



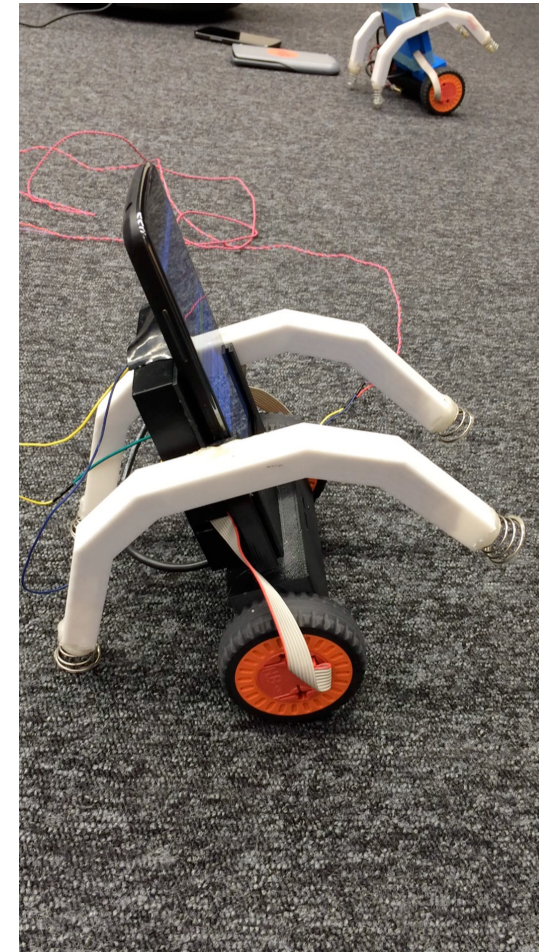
1st try



2nd try



8th try





# Mental Simulation

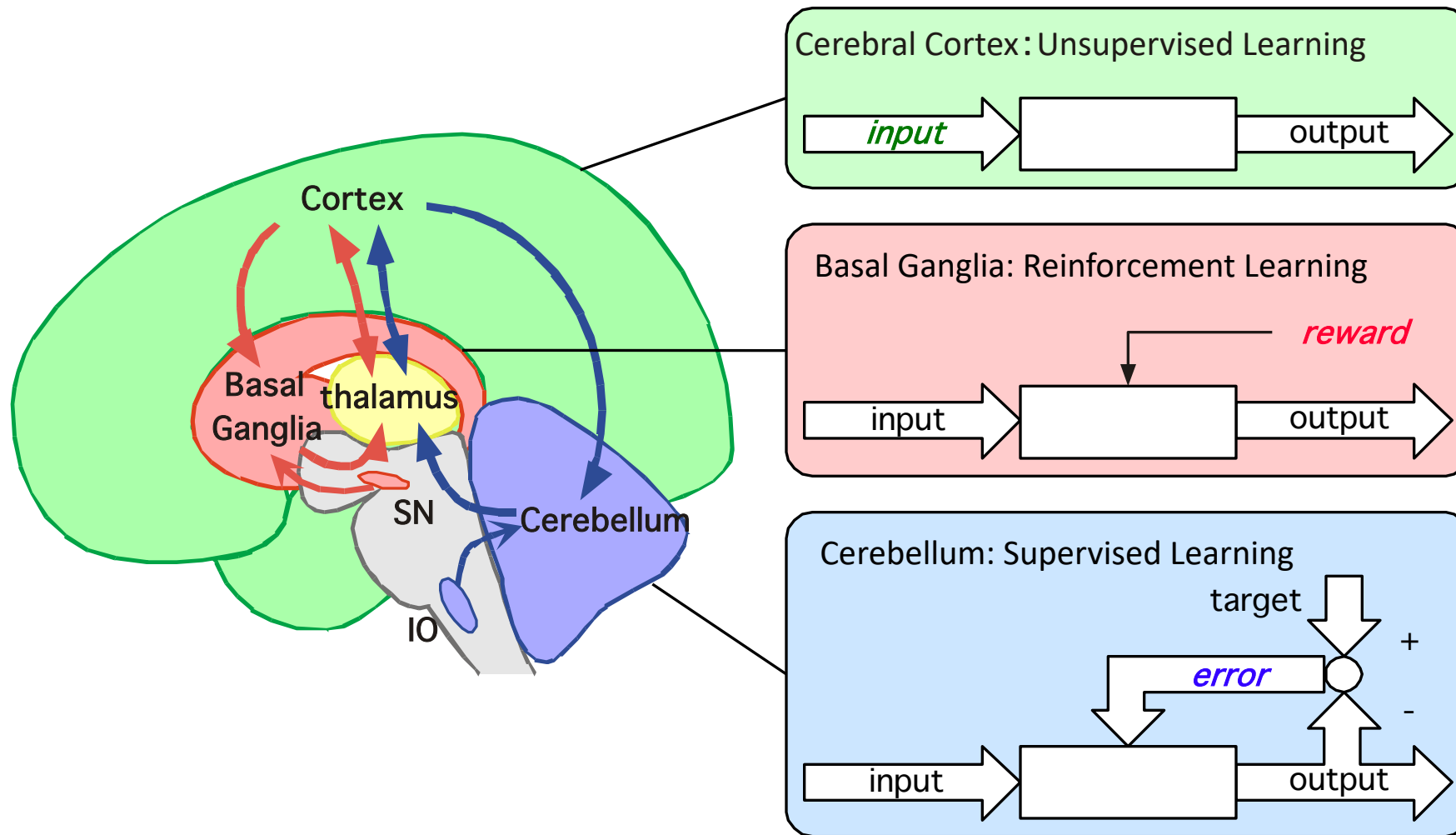
Brain's process using  
an action-dependent state transition model  
 $s'=f(s,a)$  or  $P(s' | s,a)$

- Estimate the present from past state/action
  - perception under noise/delay/occlusion
- Predicting the future
  - model-based decision, action planning
- Imagining in a virtual world
  - thinking, language, science,...



# Specialization by Learning Algorithms

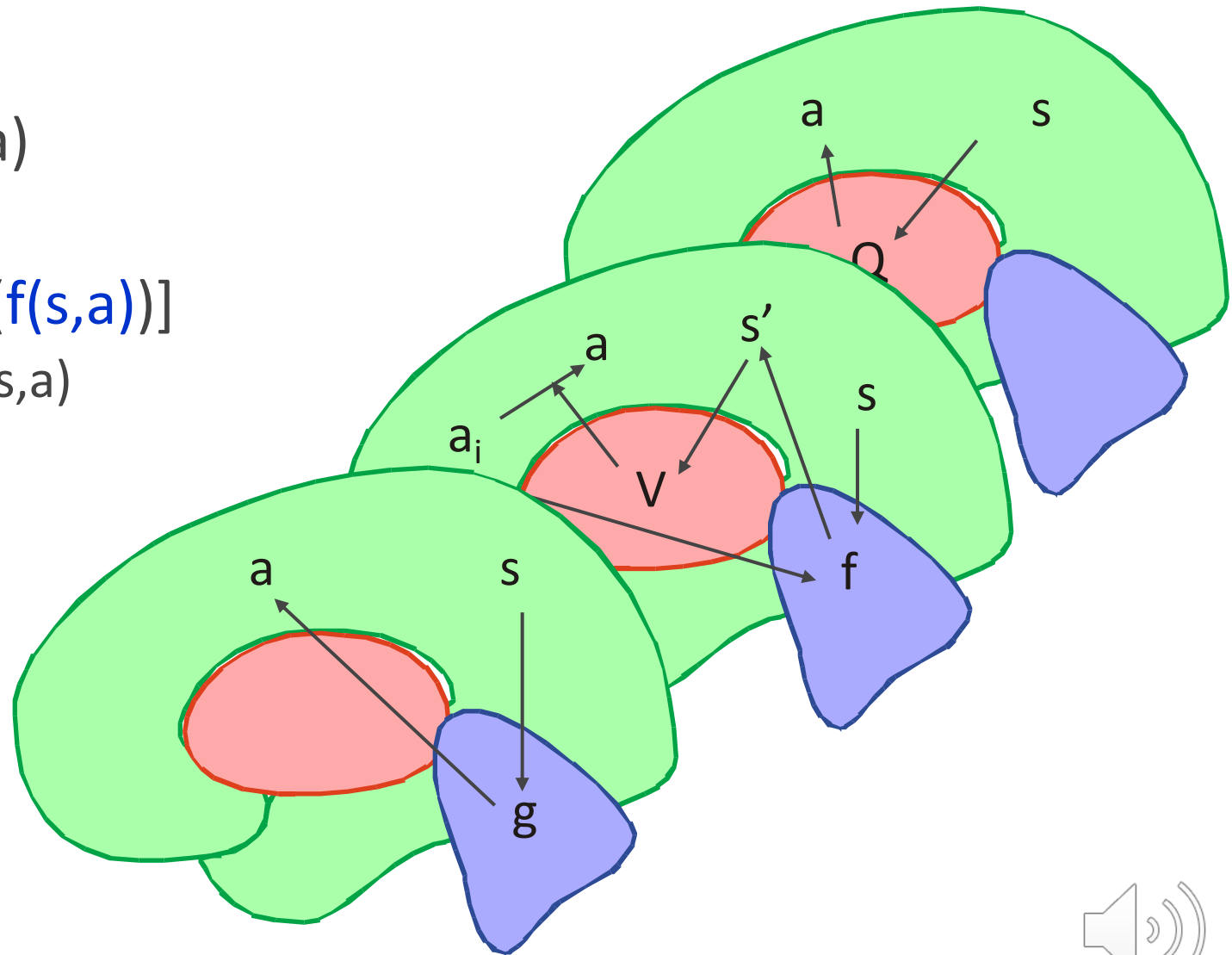
(Doya, 1999)





# Multiple Ways of Action Selection

- Model-free
  - $a = \operatorname{argmax}_a Q(s,a)$
- Model-based
  - $a = \operatorname{argmax}_a [r+V(f(s,a))]$   
forward model:  $s' = f(s,a)$
- Memory-based
  - $a = g(s)$





# SCIENTIFIC REPORTS



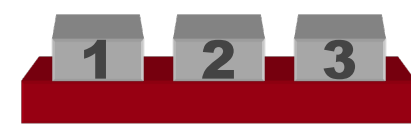
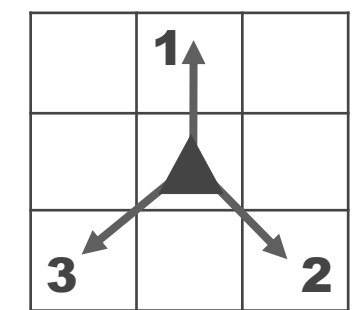
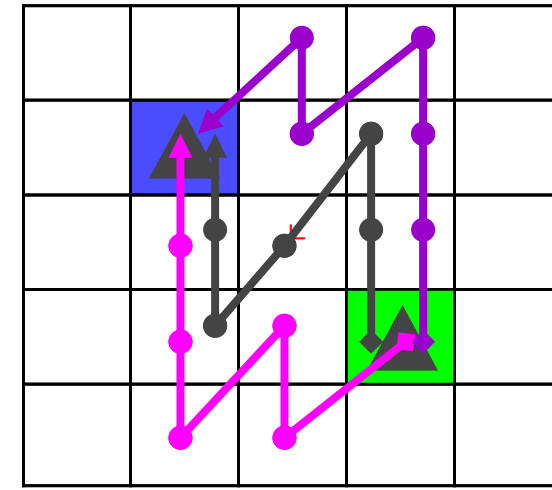
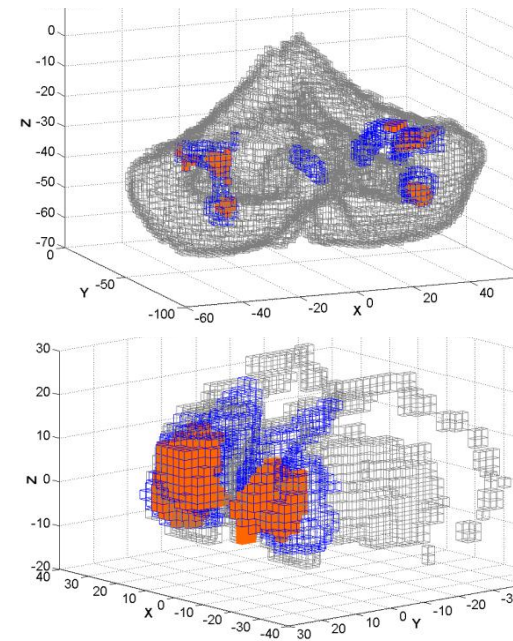
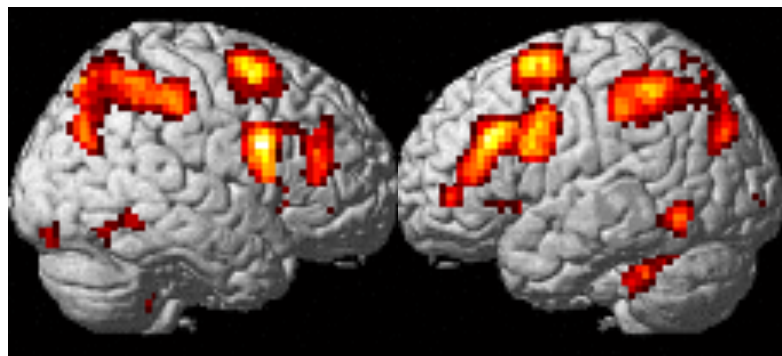
OPEN

## Model-based action planning involves cortico-cerebellar and basal ganglia networks

Received: 16 February 2016

Accepted: 19 July 2016

Alan S. R. Fermin<sup>1,2,3</sup>, Takehiko Yoshida<sup>1,2</sup>, Junichiro Yoshimoto<sup>1,2</sup>, Makoto Ito<sup>2</sup>, Saori C. Tanaka<sup>4</sup> & Kenji Doya<sup>1,2,3,4</sup>

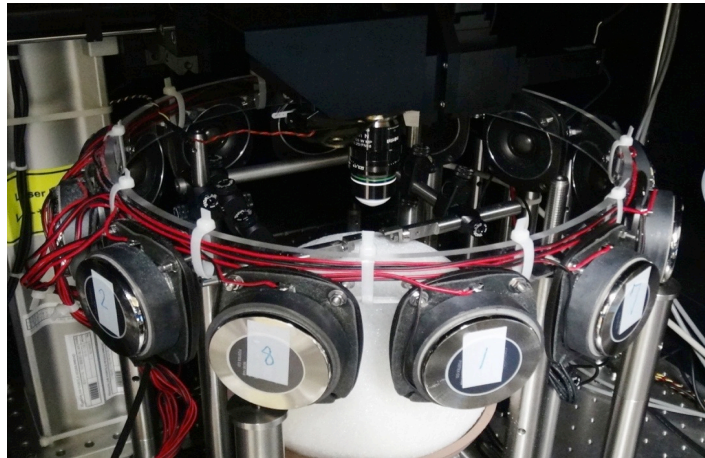
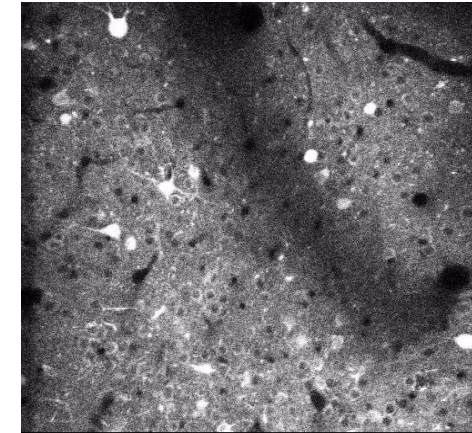




# Neural substrate of dynamic Bayesian inference in the cerebral cortex

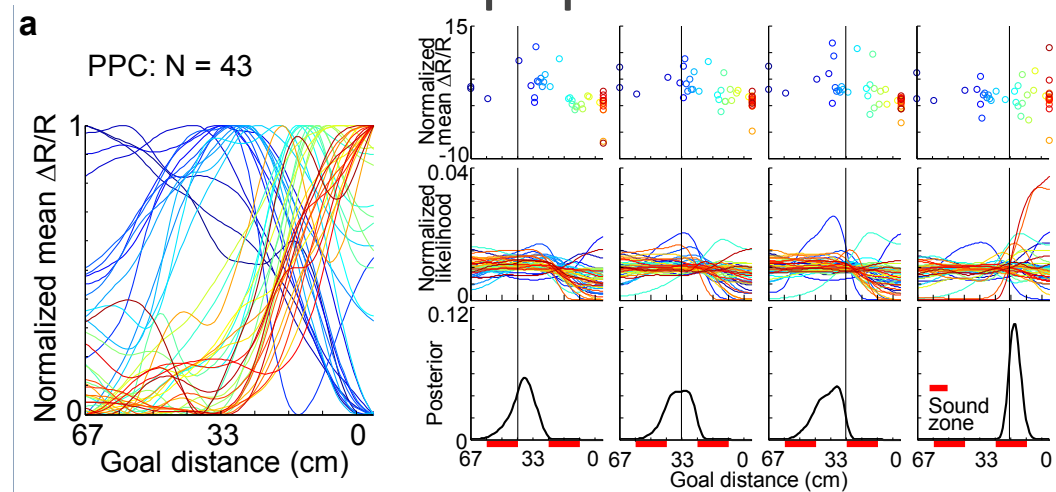
Akihiro Funamizu<sup>1,2</sup>, Bernd Kuhn<sup>2</sup> & Kenji Doya<sup>1</sup>

## ■ PPC two-photon imaging



## ■ Auditory virtual environment ● intermittent sensory input

## ■ Probabilistic population decoding



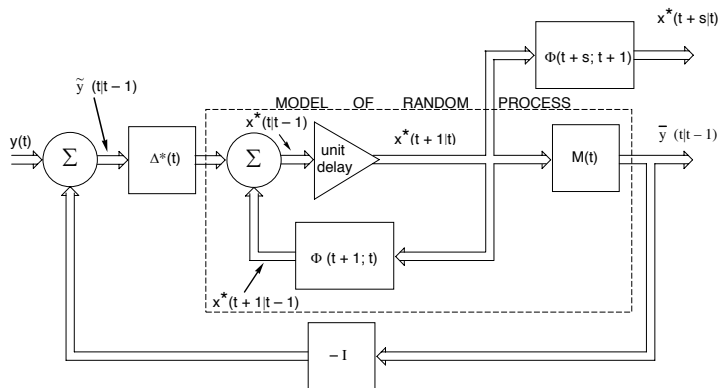
## ● predicting goal distance from action



# Duality of Inference and Control

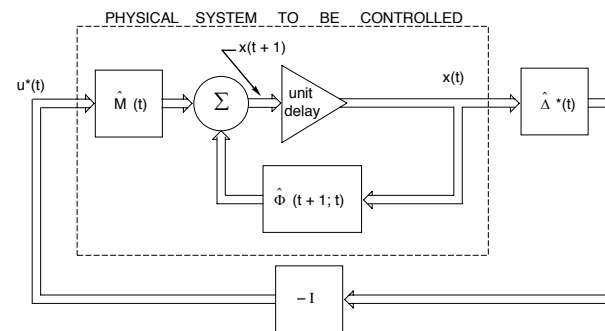
## Optimal filtering (Kalman 1960)

$$\Sigma_{k+1} = S + A\Sigma_k A^T - A\Sigma_k H^T (P + H\Sigma_k H^T)^{-1} H\Sigma_k A^T$$

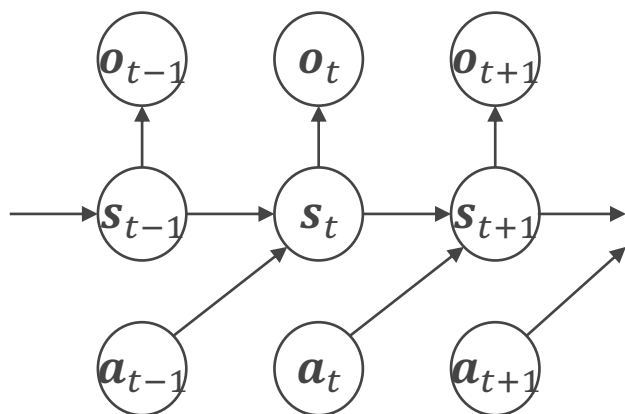


## Optimal control (Bellman et al. 1958)

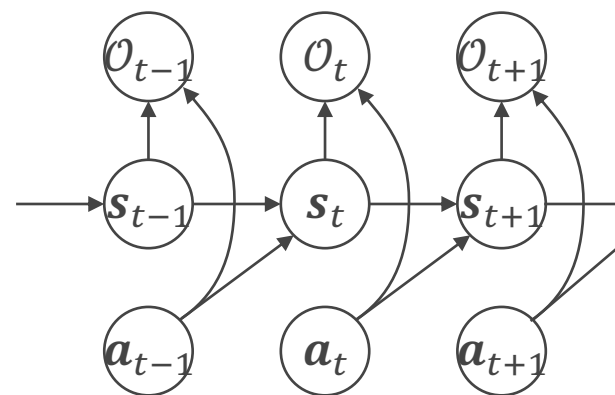
$$V_k = Q + A^T V_{k+1} A - A^T V_{k+1} B (R + B^T V_{k+1} B)^{-1} B^T V_{k+1} A$$



## Bayesian inference: log posterior



## Reinforcement learning: state value

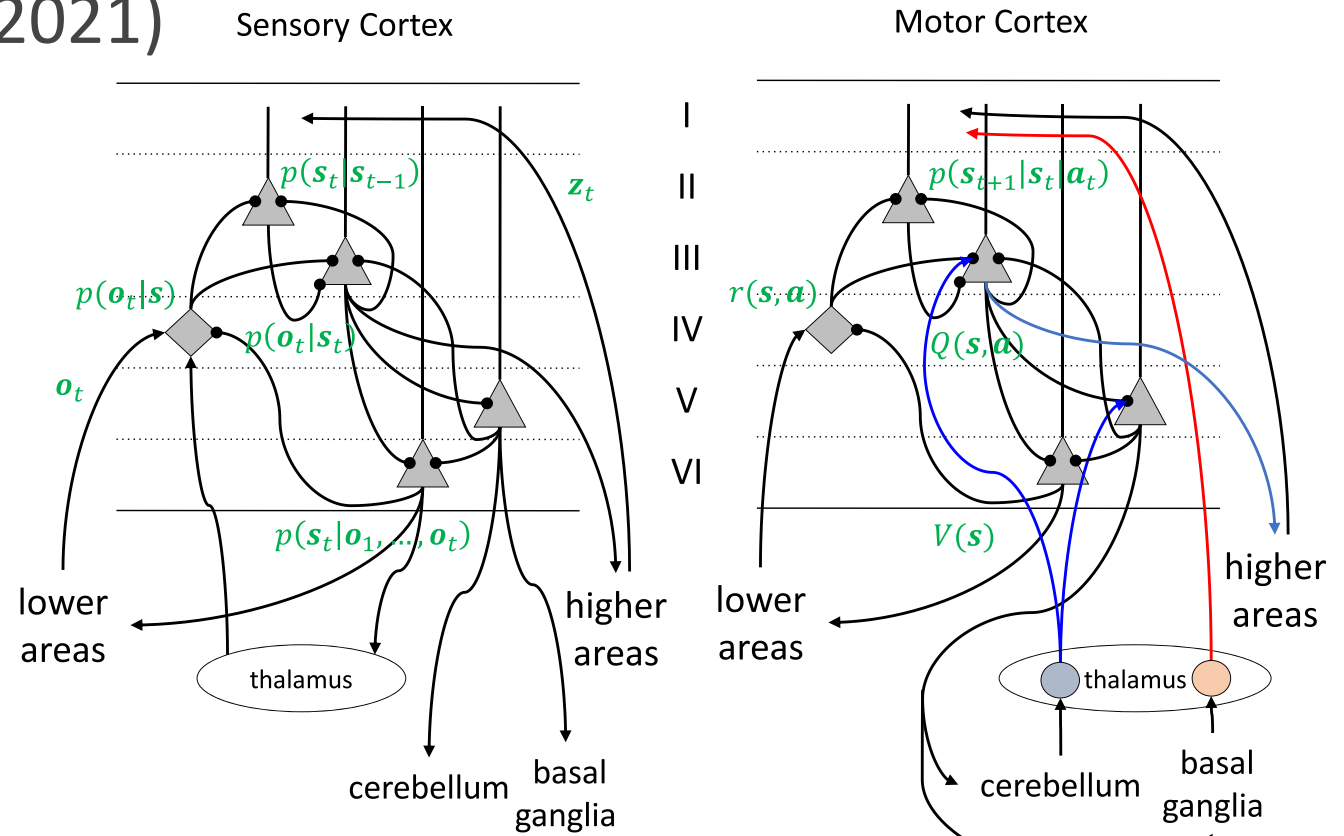


(Todorov 2007, 08; Toussaint 2009; Levine 2018)



# Canonical cortical circuits and the duality of Bayesian inference and optimal control

Kenji Doya (2021)



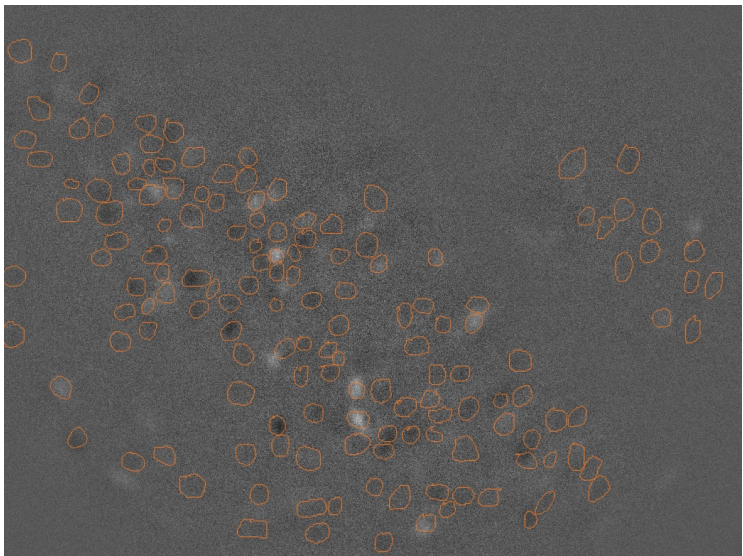
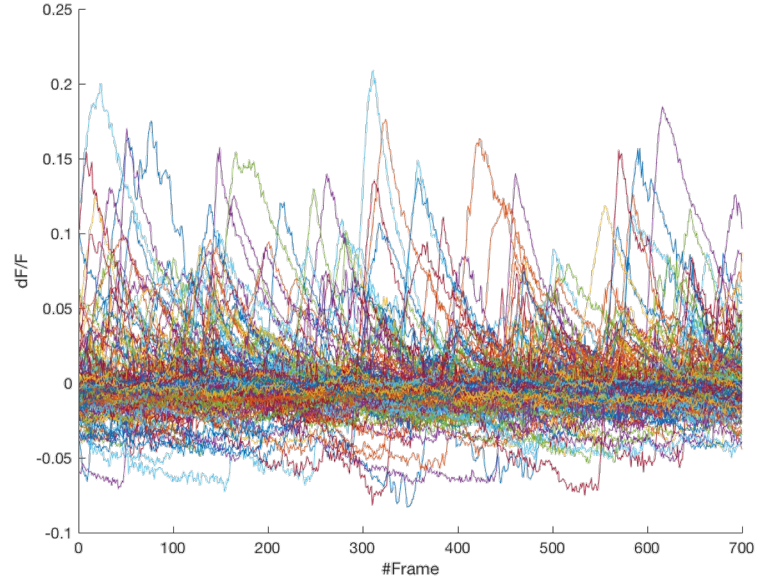
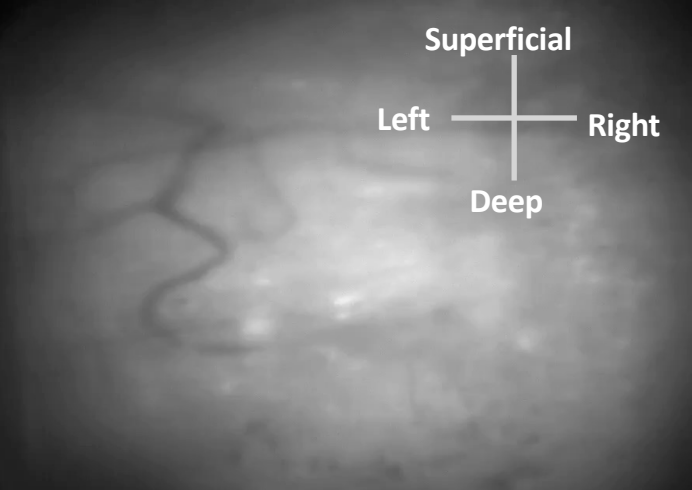
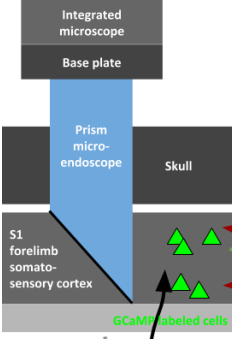
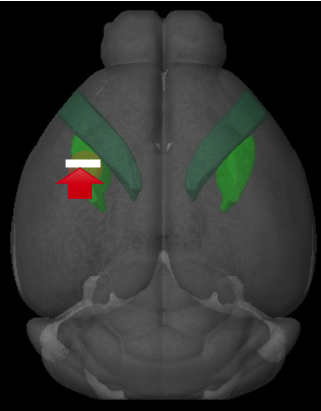
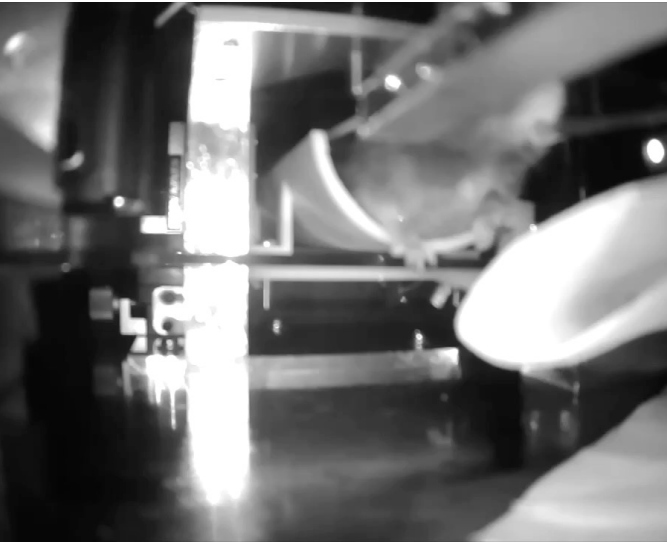
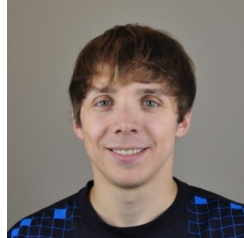
Inference	Cortex	Control
Top-down signal $\mathbf{z}_t$	L1 input	Top-down activation signal
Bottom-up signal $p(\mathbf{o}_t \mathbf{s}_t)$	L2/3 output	Action value $Q(\mathbf{s}, \mathbf{a})$
Predictive model $p(\mathbf{s}_t \mathbf{s}_{t-1})$	L2/3 connection	Predictive model $p(\mathbf{s}_{t+1} \mathbf{s}_t, \mathbf{a}_t)$
Bottom-up signal $\mathbf{o}_t$	L4 input	Optimality signal $O_t$
Likelihood $p(\mathbf{o}_t \mathbf{s})$	L4 output	Reward function $r(\mathbf{s}, \mathbf{a})$
Posterior $p(\mathbf{s}_t \mathbf{o}_1, \dots, \mathbf{o}_t)$	L5 output	State value $V(\mathbf{s})$
Top-down signal $\mathbf{s}_t$	L6 output	Action $p(\mathbf{a}_t \mathbf{s}_t)$





# Prism Lens Imaging during Lever Pull Task

Yuzhe Li, Sergey Zobnin



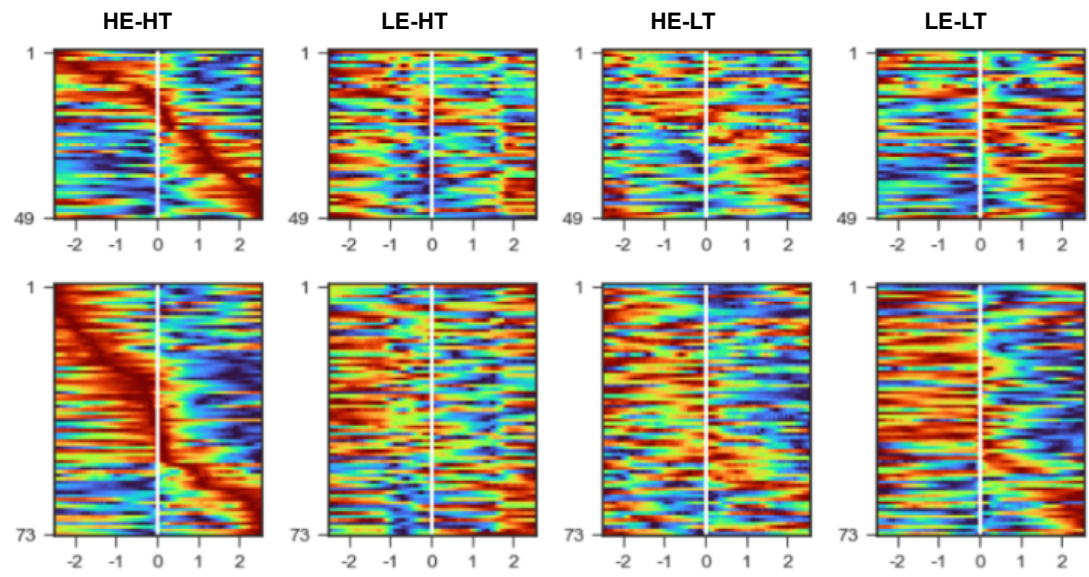




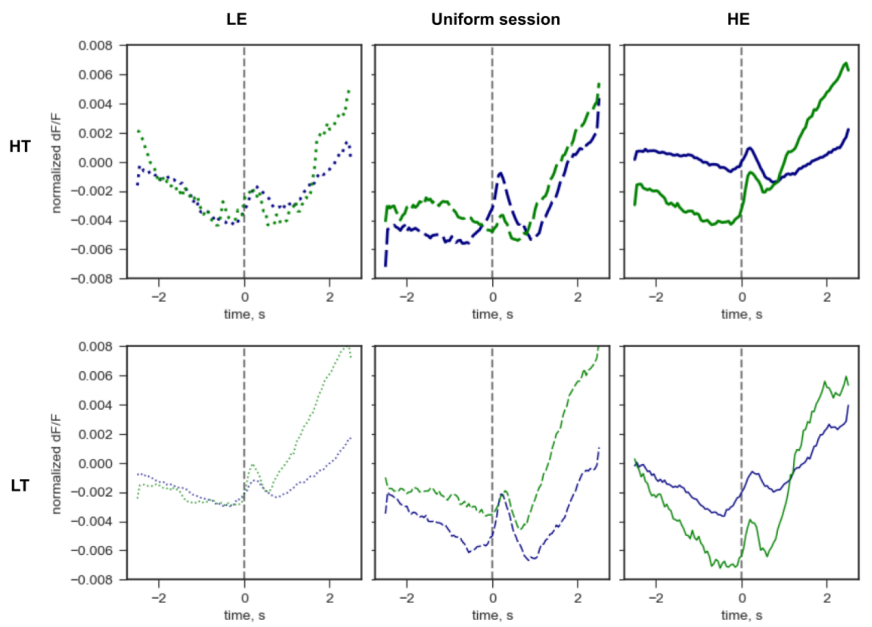
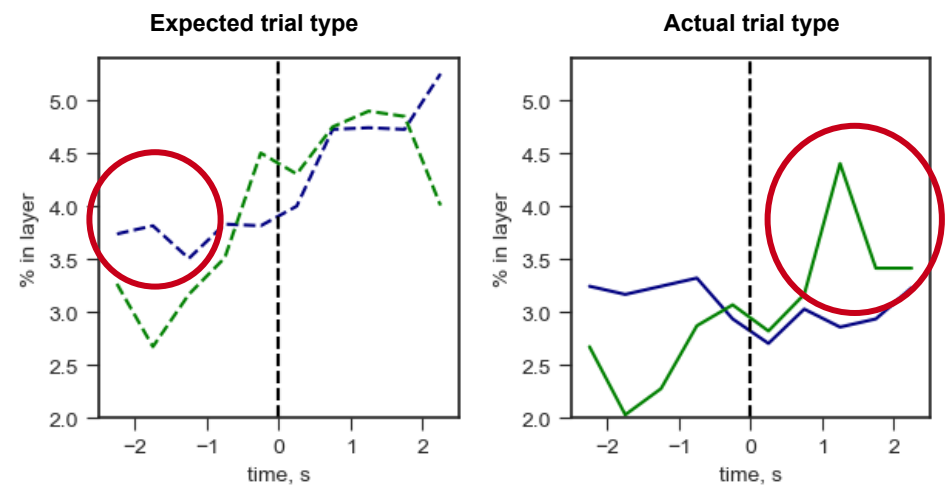
# Expected and Actual Trial Type Coding

superficial

deep



## Encoding analysis

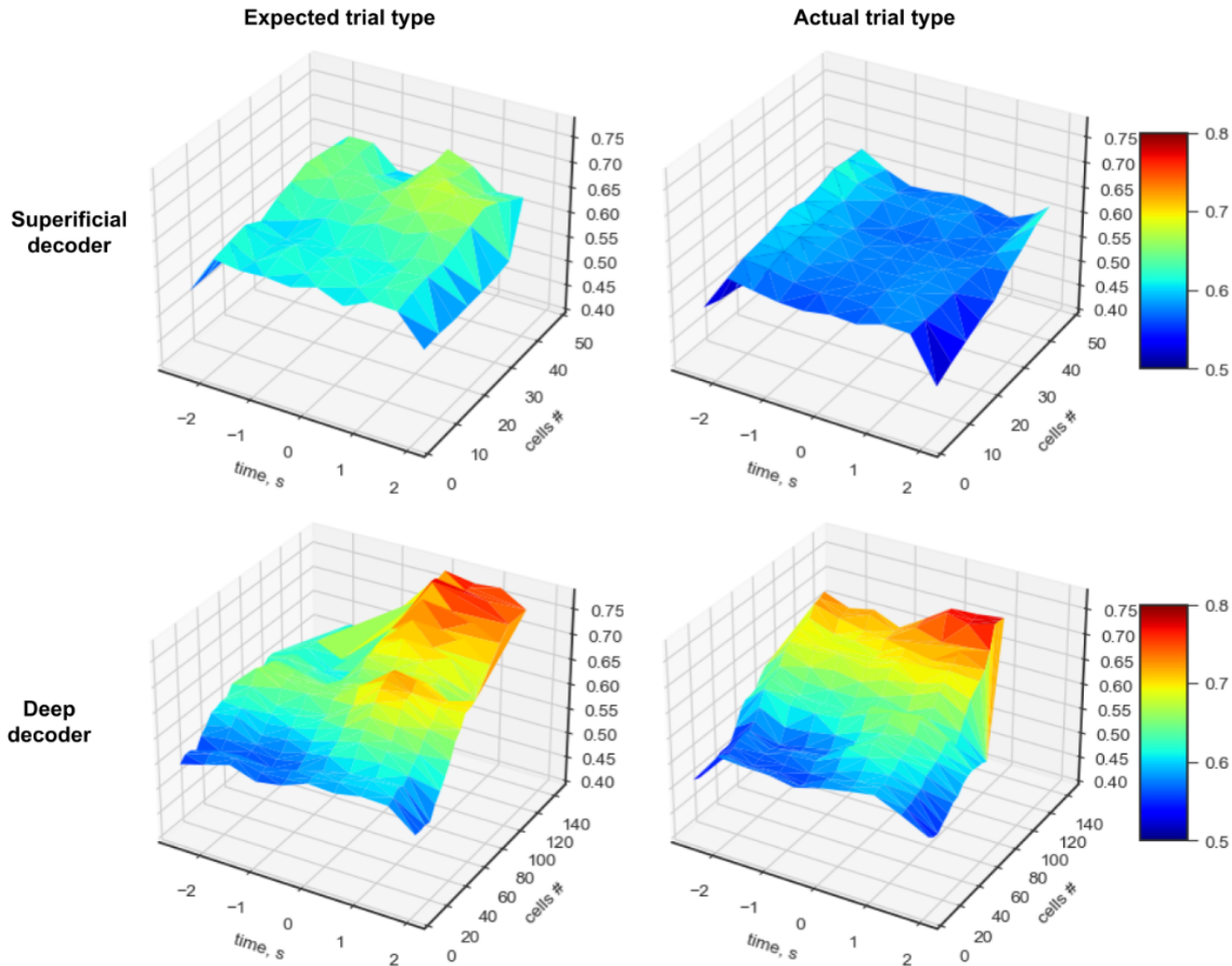


- More **deep** neurons code expected trial type before action
- More **superficial** neurons code actual trial type after action

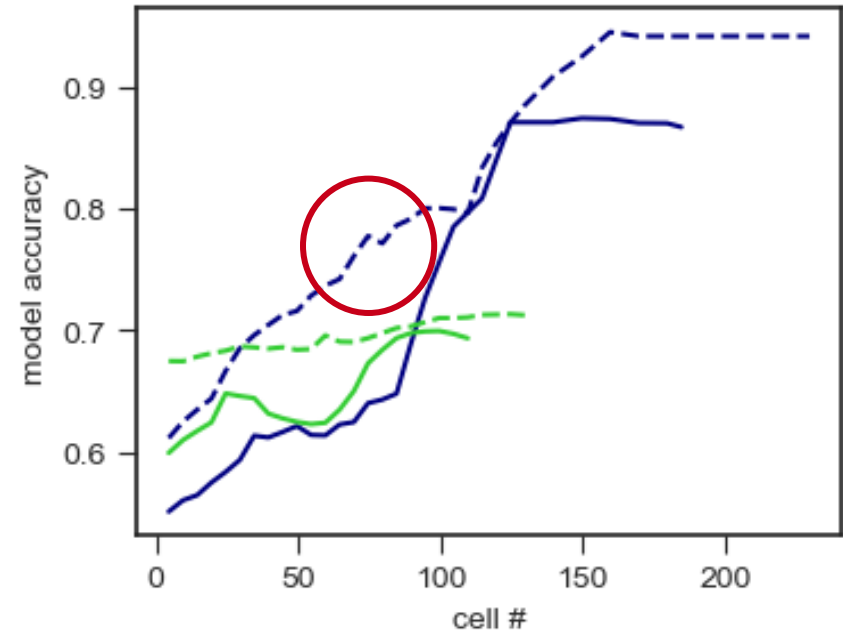


# Population Decoding

## At different time points



## Peak amplitude after pull



■ Better decoding of expected trial type from **deep** neurons



# Question:

**How can models and policies in separate brain areas be activated and connected as needed?**

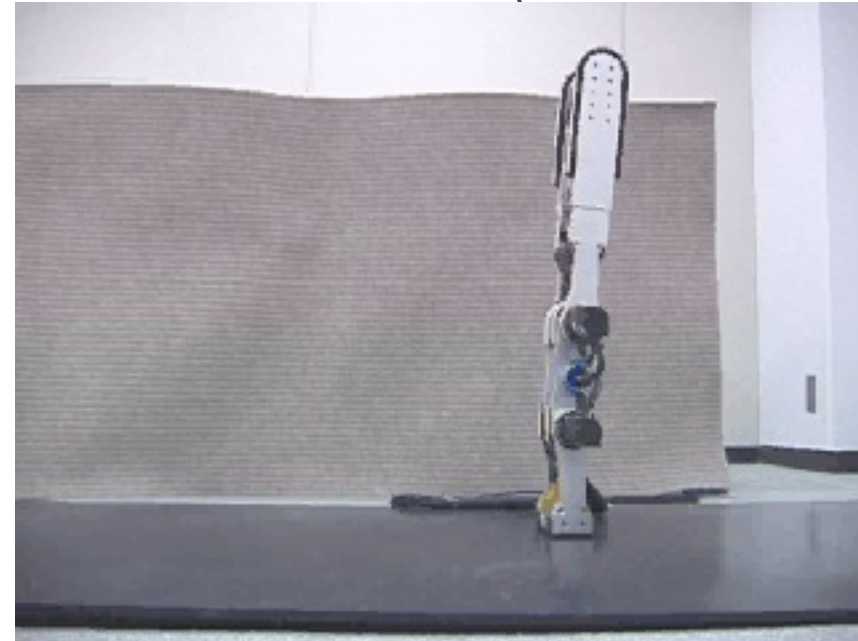
- fMRI study assumes that brain areas that perform required computations for given task are activated.
- But we don't know why that can be made possible!





# Learning to Stand Up

(Morimoto & Doya, 2001)



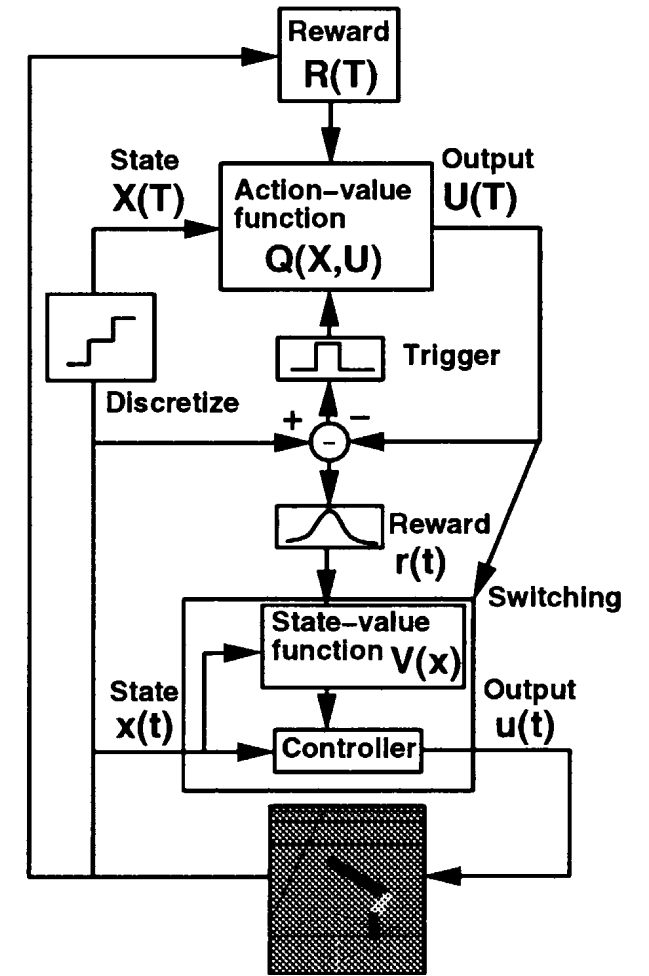
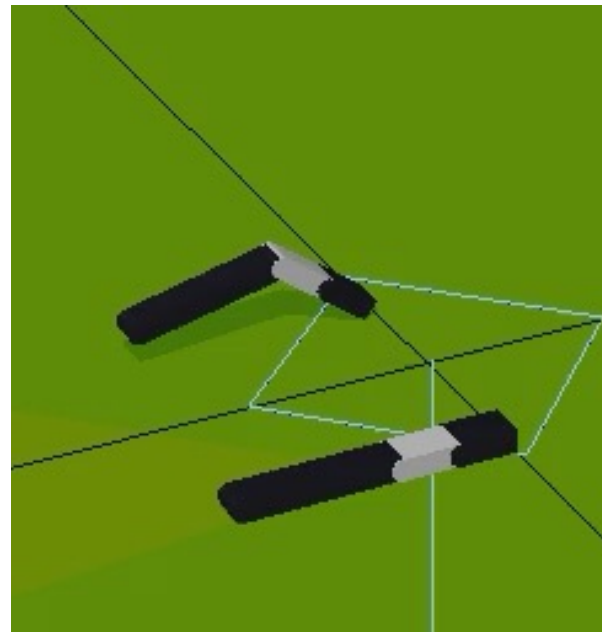
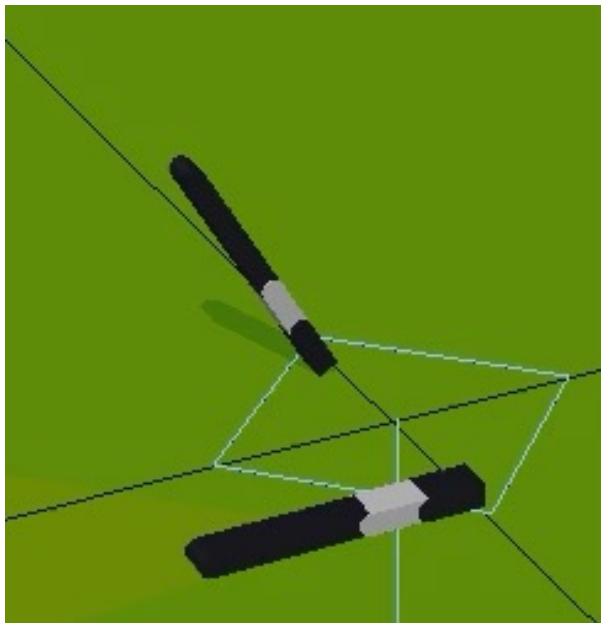
- Learning from reward and punishment
  - reward: height of the head
  - punishment: bump on the floor





# Hierarchical Reinforcement Learning

- **Upper level:** reward: task goal
  - state: joint angles, center of mass
  - action: desired postures
- **Lower level:** reward: achieving a subgoal
  - state: joint/pitch angles, angular velocity
  - action: motor torque



(Morimoto & Doya, 2001)

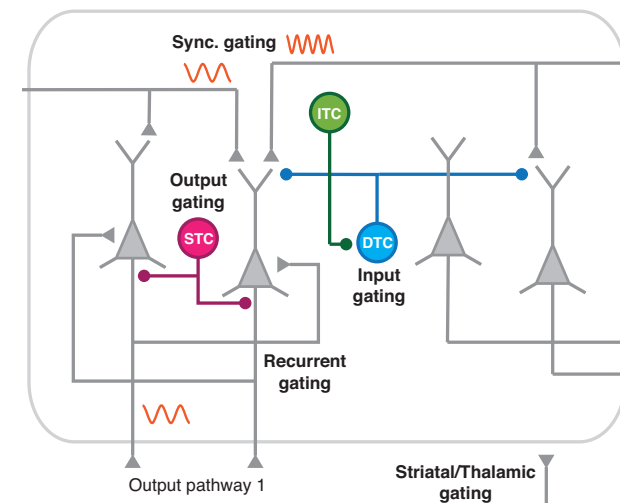
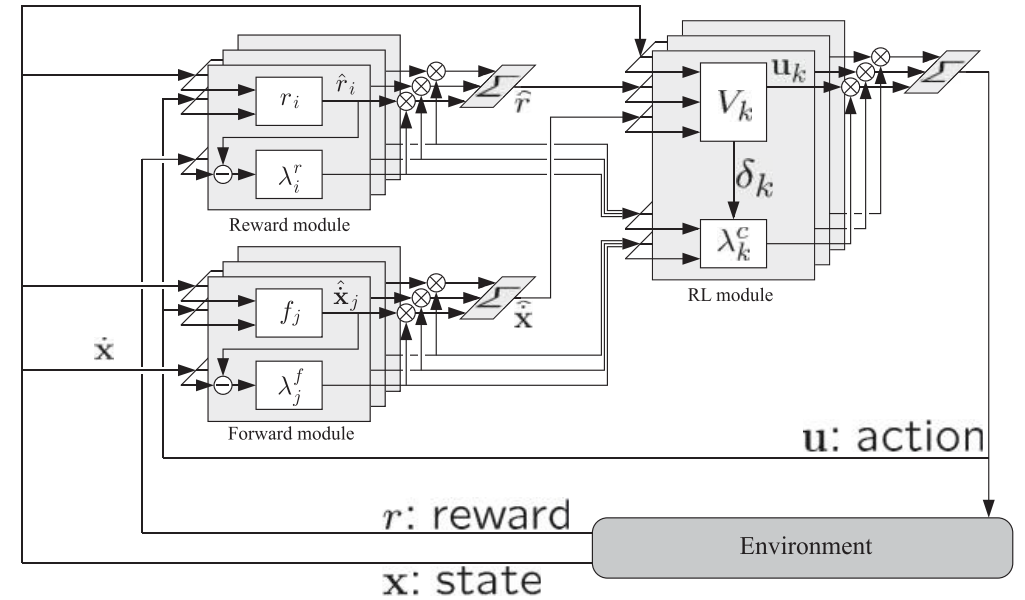
# How to Select/Connect Right Modules?

## Computational principles

- prediction error (Wolpert & Kawato, 1998)
- Bellman error (Sugimoto et al., 2012)
- uncertainty (Daw et al., 2005)
- modular infomax?

## Biophysical mechanisms

- basal ganglia/thalamus (Eliasmith et al. 2012)
- affordance competition (Cisek, 2007)
- dendritic disinhibition (Wang & Yang, 2018)
- rhythm/coherence?







# Reinforcement Learning

## ■ Predict reward: *value function*

- $V(s) = E[ r(t) + \gamma r(t+1) + \gamma^2 r(t+2) \dots \mid s(t)=s ]$

- $Q(s,a) = E[ r(t) + \gamma r(t+1) + \gamma^2 r(t+2) \dots \mid s(t)=s, a(t)=a ]$

## ■ Select action

*How to implement these steps?*

- *greedy*:  $a = \operatorname{argmax} Q(s,a)$

- *Boltzmann*:  $P(a \mid s) \propto \exp[ \beta Q(s,a) ]$

## ■ Update prediction: *temporal difference (TD) error*

- $\delta(t) = r(t) + \gamma V(s(t+1)) - V(s(t))$

- $\Delta V(s(t)) = \alpha \delta(t)$

*How to tune these parameters?*

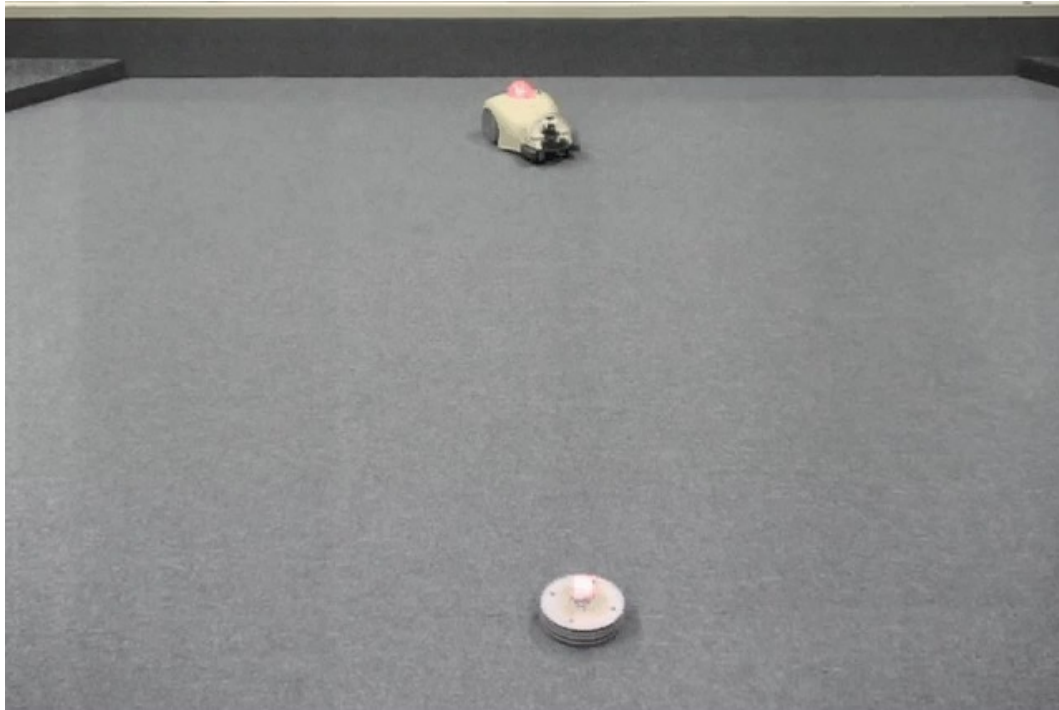
- $\Delta Q(s(t),a(t)) = \alpha \delta(t)$



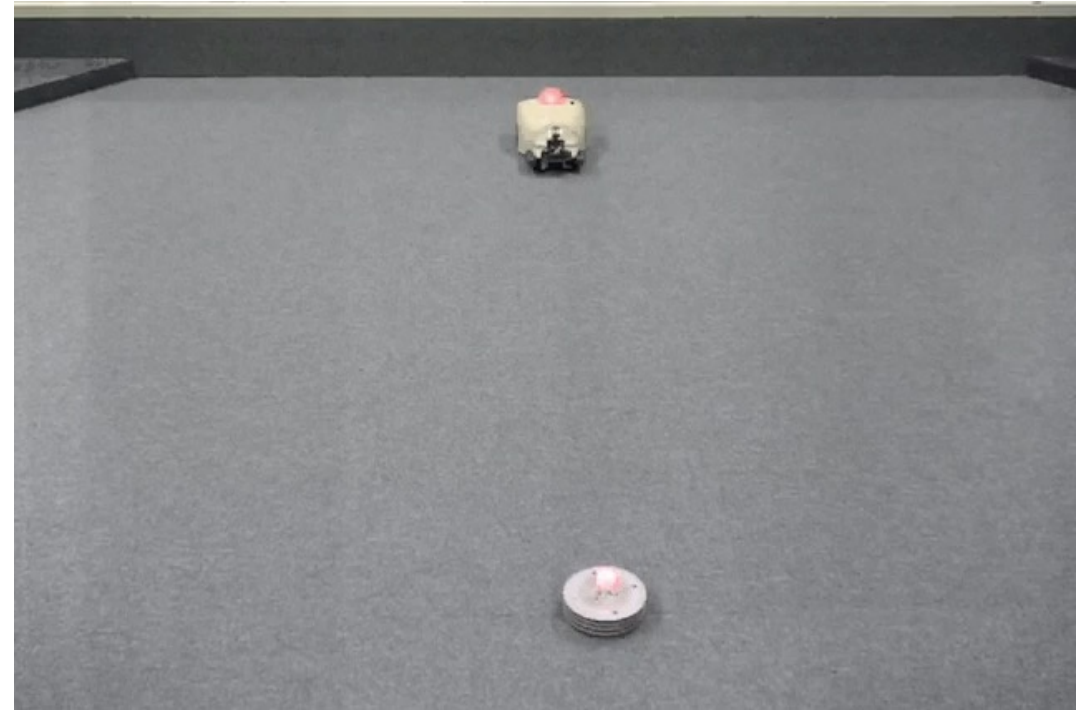


# Temporal Discount Factor $\gamma$

- Large  $\gamma$ 
  - reach for far reward



- Small  $\gamma$ 
  - only to near reward





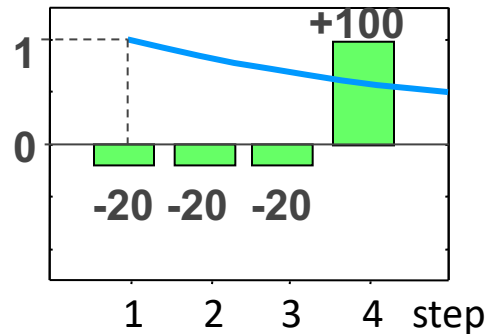
# Temporal Discount Factor $\gamma$

- $V(t) = E[ r(t) + \gamma r(t+1) + \gamma^2 r(t+2) + \gamma^3 r(t+3) + \dots ]$ 
  - controls the 'character' of an agent

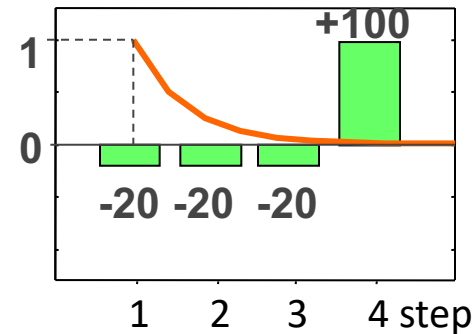
no pain, no gain!

$V = 18.7$

$\gamma$  large



$\gamma$  small



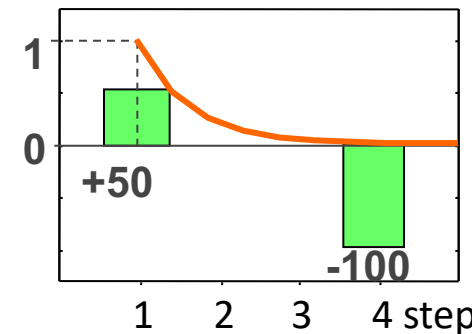
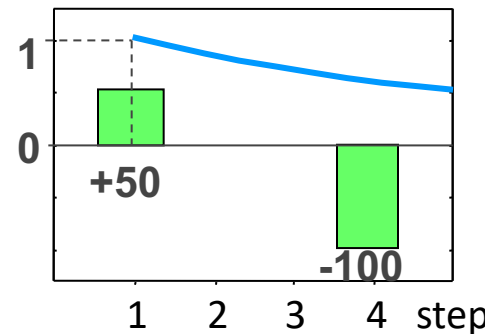
*Depression?*

better stay idle

$V = -25.1$

stay away from danger

$V = -22.9$



*Impulsivity?*

can't resist temptation

$V = 47.3$

*Serotonin?*

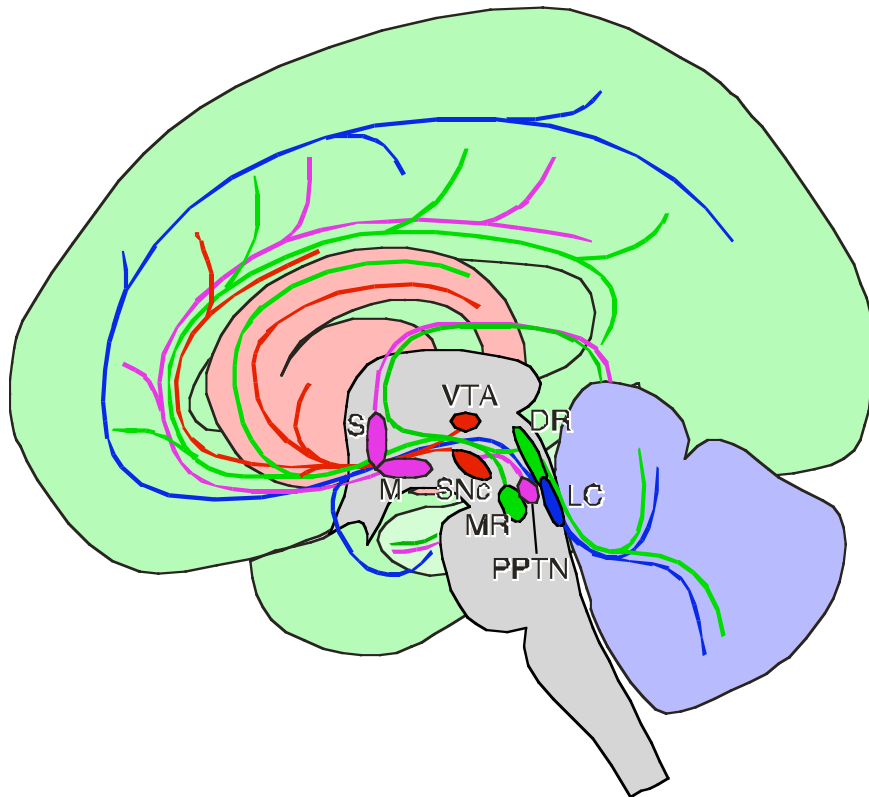




# Neuromodulators for Metalearning

(Doya, 2002)

- *Metaparameter* tuning is critical in RL
  - How does the brain tune them?



Dopamine: TD error  $\delta$

Acetylcholine: learning rate  $\alpha$

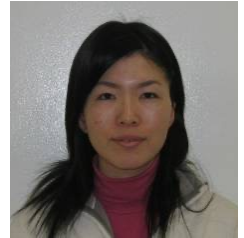
Noradrenaline: exploration  $\beta$

Serotonin: temporal discount  $\gamma$

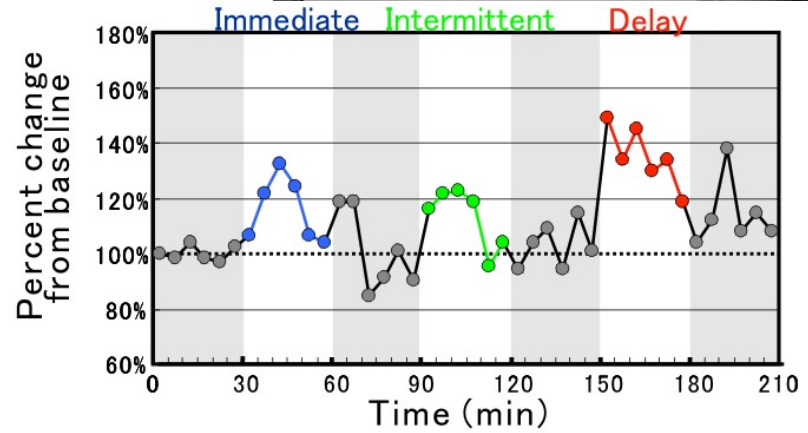
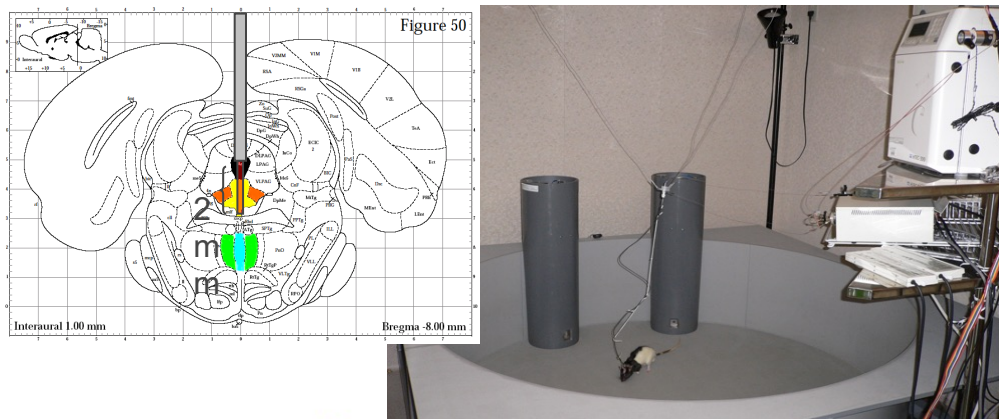


# Chemical Measurement/Control

(Kayoko Miyazaki et al., 2011, 2012)



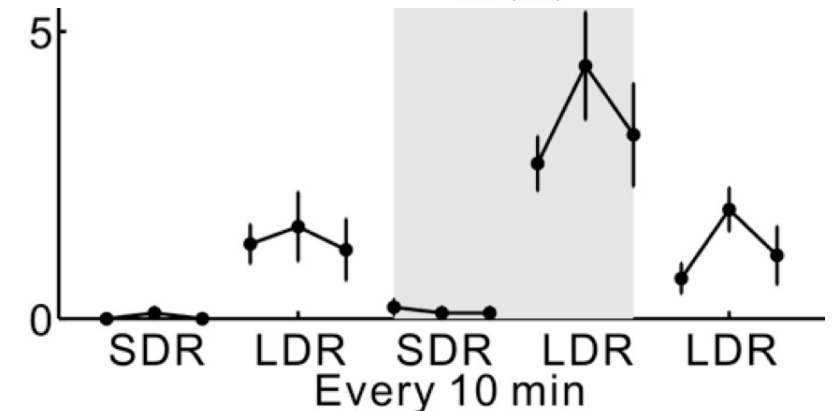
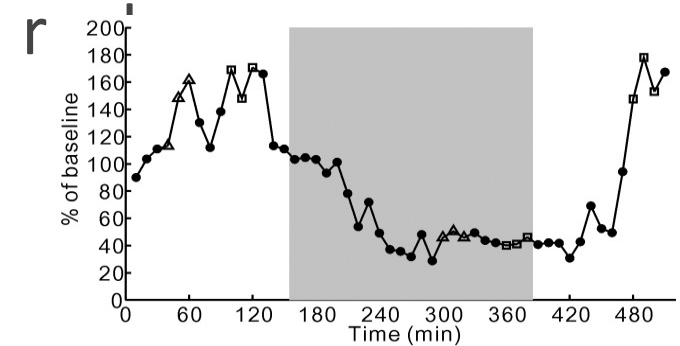
## Microdialysis measurement



■ Serotonin release increased in delayed reward task

## Serotonin neuron blockade

● 5HT1A agonist in dorsal



■ Waiting error increased in long-delayed reward trials

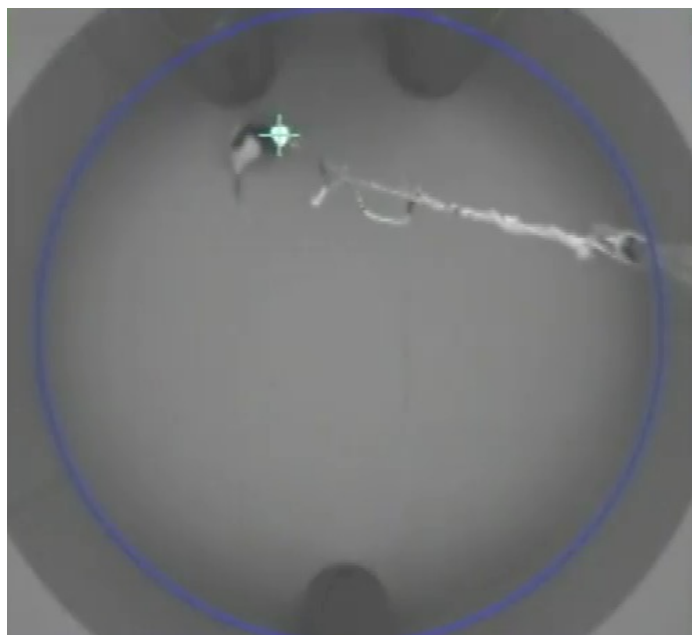


# Dorsal Raphe Neuron Recording

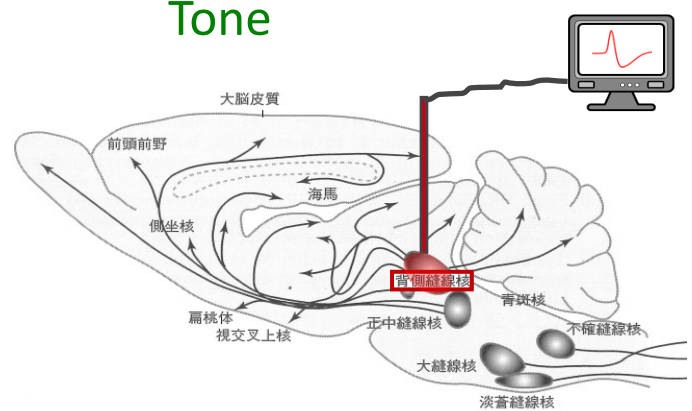
(Miyazaki et al. 2011 JNS)



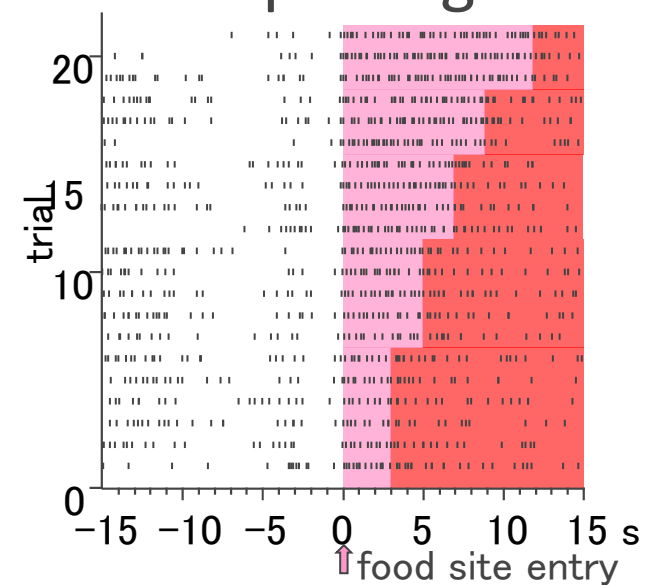
Food Water



Tone

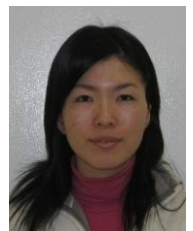


Keep firing while waiting



Stop firing before giving up





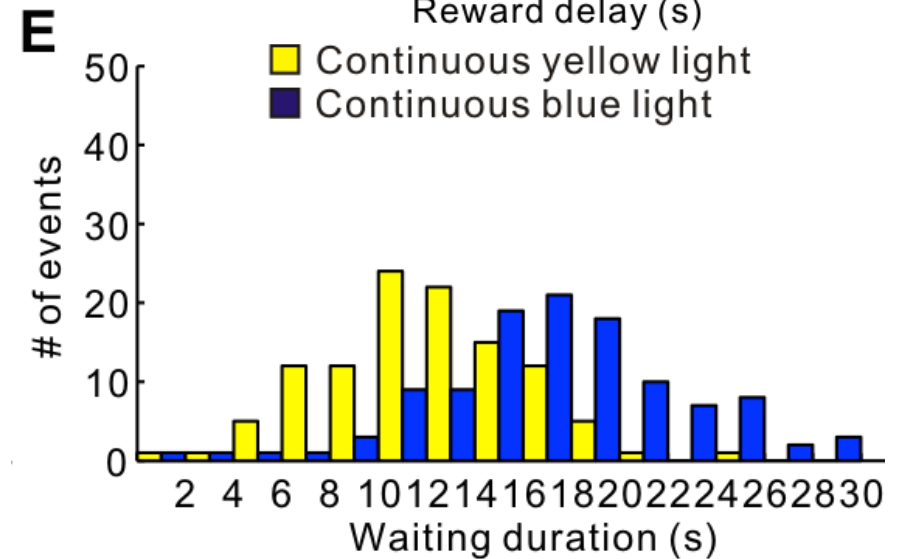
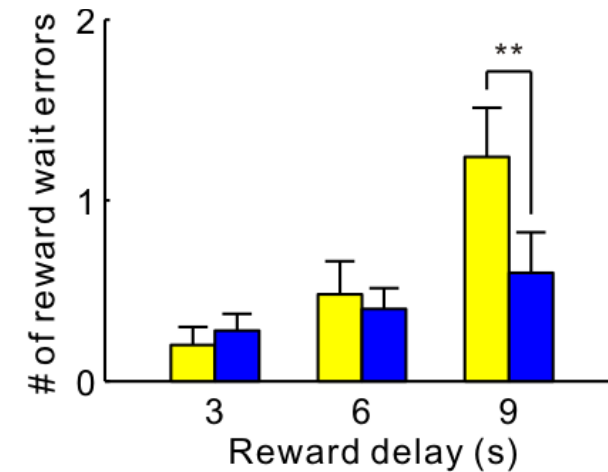
# Optogenetic Stimulation of Serotonin Neurons

(Miyazaki et al., 2014, Current Biology)

## ■ Reward Delay Task (3, 6, 9, ∞ sec)



- 3 sec: success
- omission: 12.1 s
- omission: 20.8 s





# Reward probability and timing uncertainty alter the effect of dorsal raphe serotonin neurons on

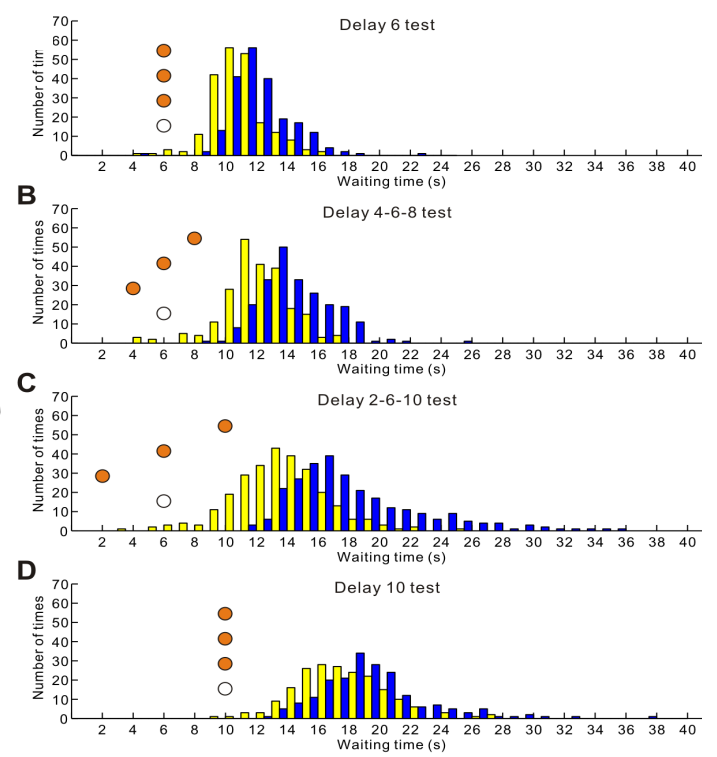
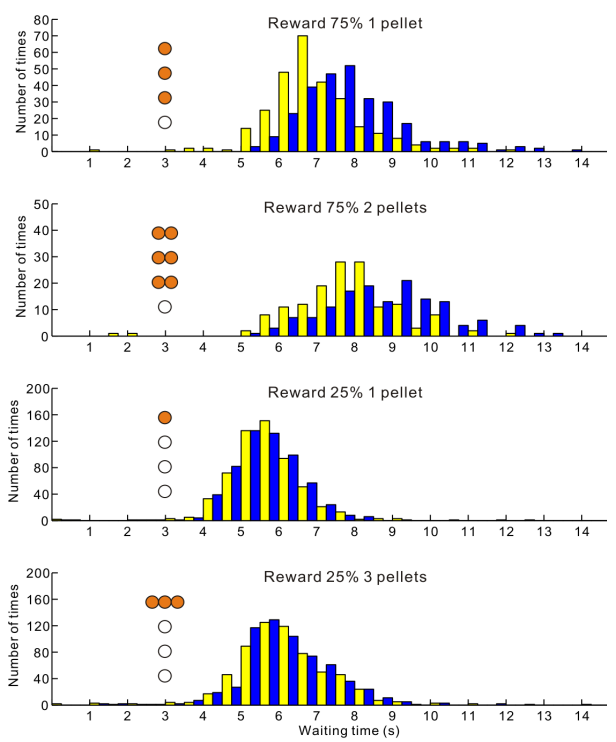
## patience

 Katsuhiko Miyazaki<sup>1</sup>, Kayoko W. Miyazaki<sup>1</sup>, Akihiro Yamanaka<sup>2</sup>, Tomoki Tokuda<sup>3</sup>, Kenji F. Tanaka<sup>4</sup> & Kenji Doya<sup>1</sup>

### ■ Serotonin stimulation facilitates waiting when...

● reward delivery is certain

● reward timing is uncertain

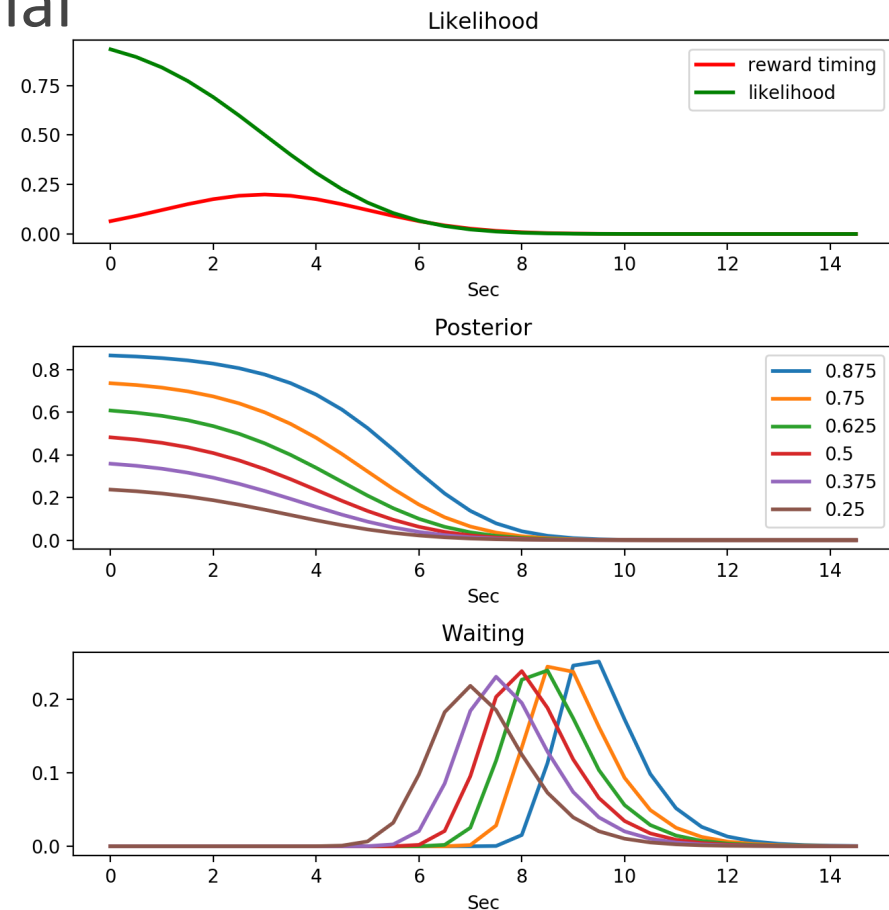






# Bayesian Waiting Decision Model

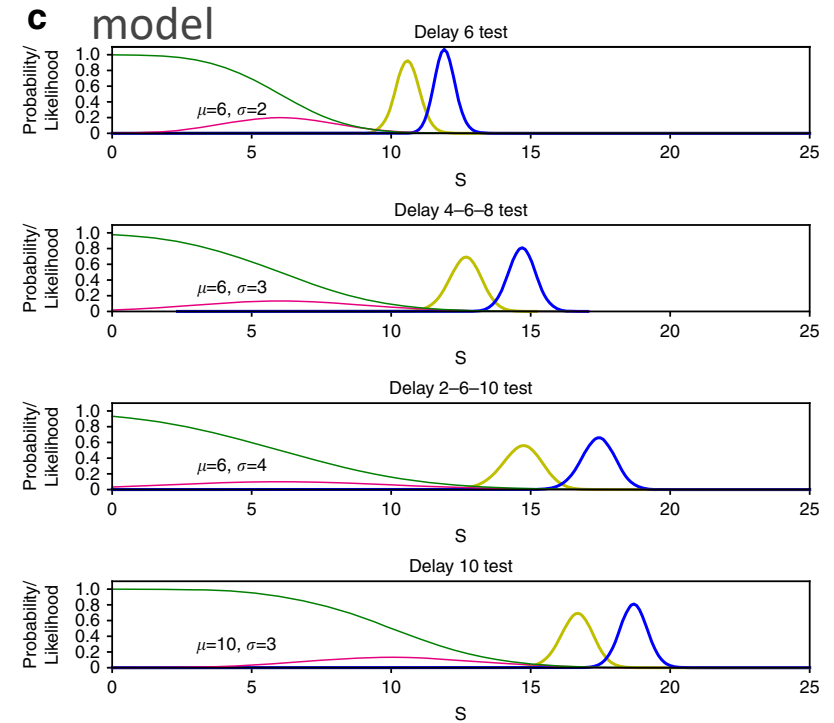
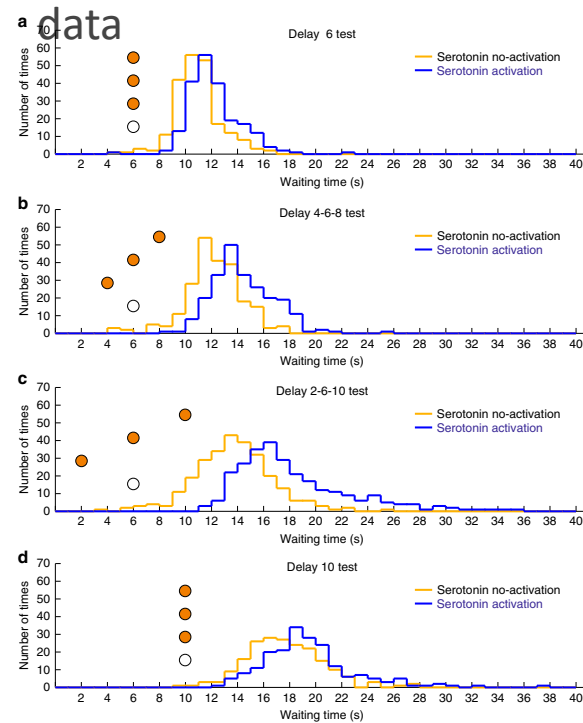
- Mice have internal model of reward timing
  - keep guessing if it is a rewarded trial
- Likelihood of reward drops
  - higher prior sustains posterior
  - timing uncertainty makes long-tailed likelihood
- Serotonin signal reward prior?
  - average reward response (Cohen et al., 2015)





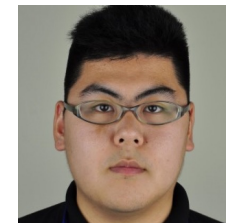
# Effect of Timing Uncertainty

- 5-HT stimulation causes longer waiting when reward timing is more uncertain.
- Bayesian model replicates the effect by assuming that 5-HT enhances prior probability of reward.



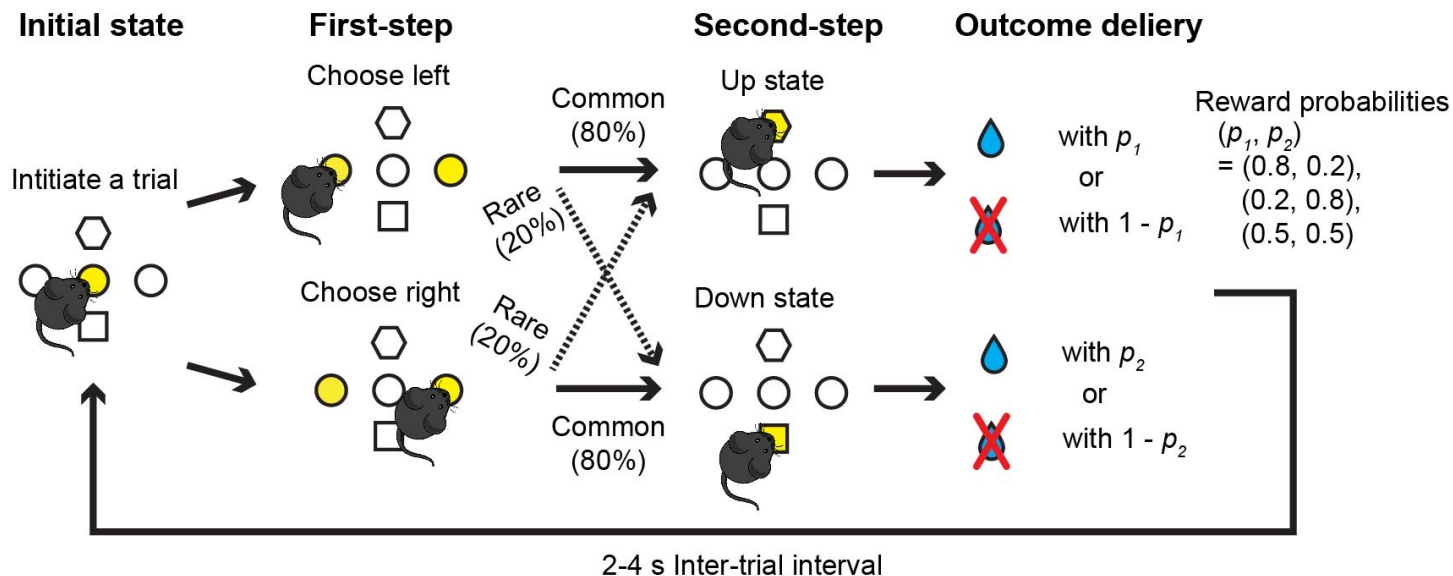


# Serotonin for Model-based RL?

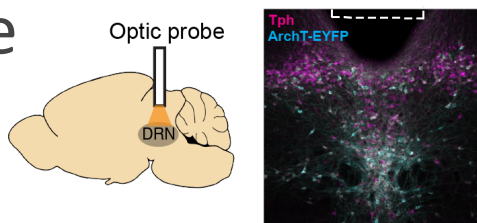


Masakazu Taira

## Two-step task for mice (Akam et al. 2020)

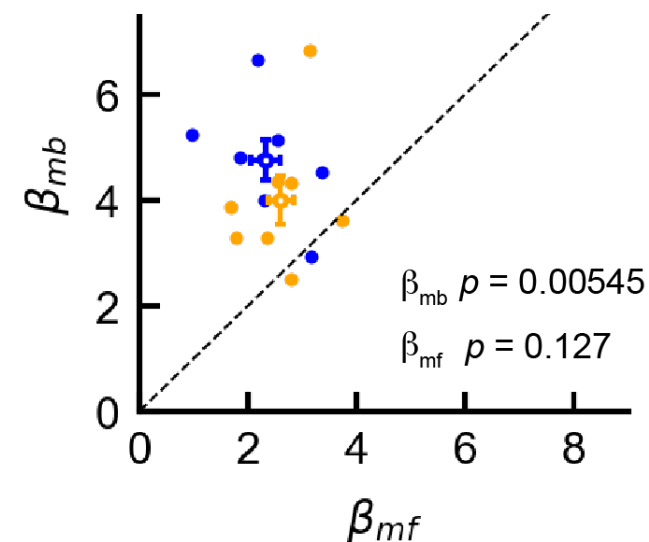


■ Tph2-ArchT mice



■ Hybrid model

$$Q_{net}(a) = \beta_{mf}Q_{mf}(a) + \beta_{mb}Q_{mb}(a)$$





# What Should We Further Learn from the Brain?

## Energy Efficiency

## Data Efficiency

- World Models and Mental Simulation
  - Modularity and Compositionality
    - Meta-learning

## Autonomy and Sociality

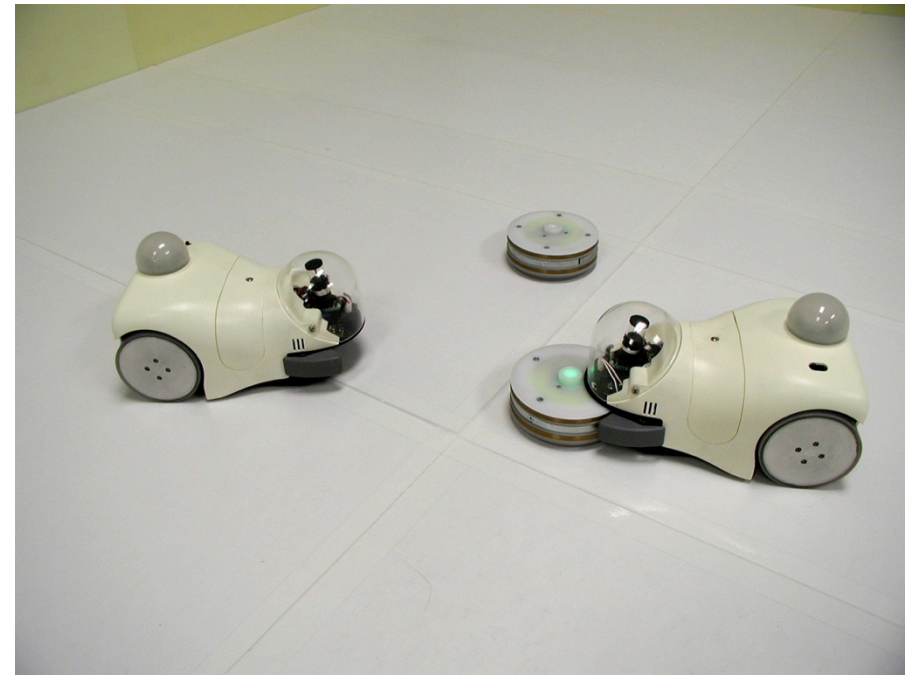


# Cyber Rodent Project (Doya & Uchibe, 2005)

What is the origin of rewards?

Robots with same constraint as biological agents

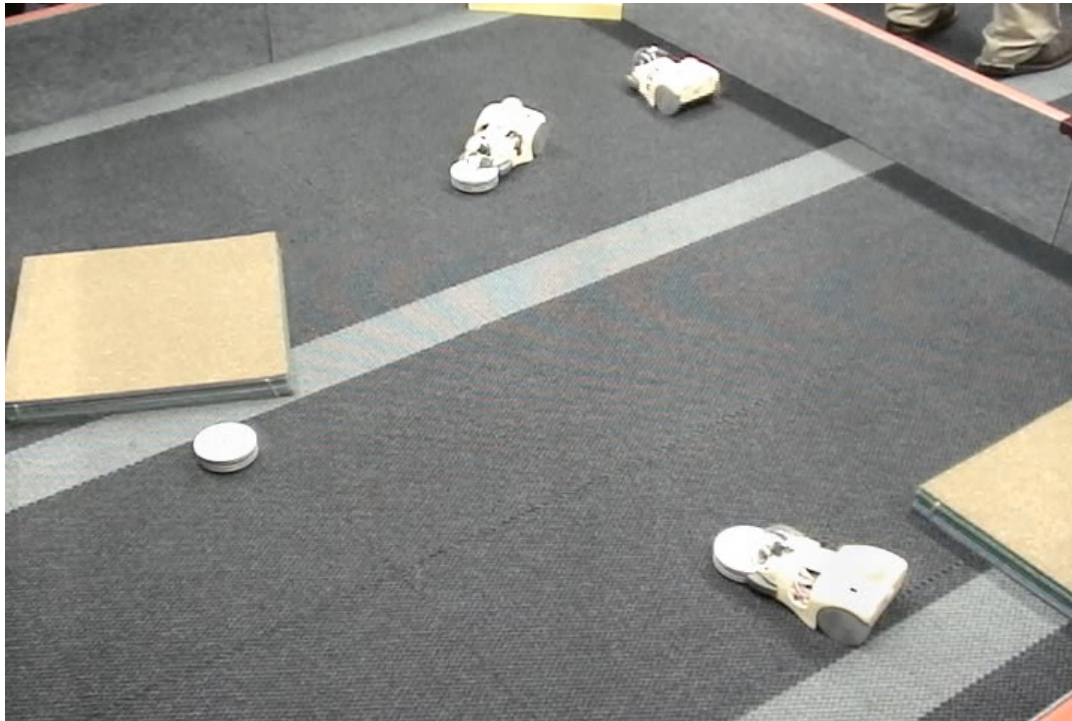
- Self-preservation
  - capture batteries
- Self-reproduction
  - exchange programs through IR ports



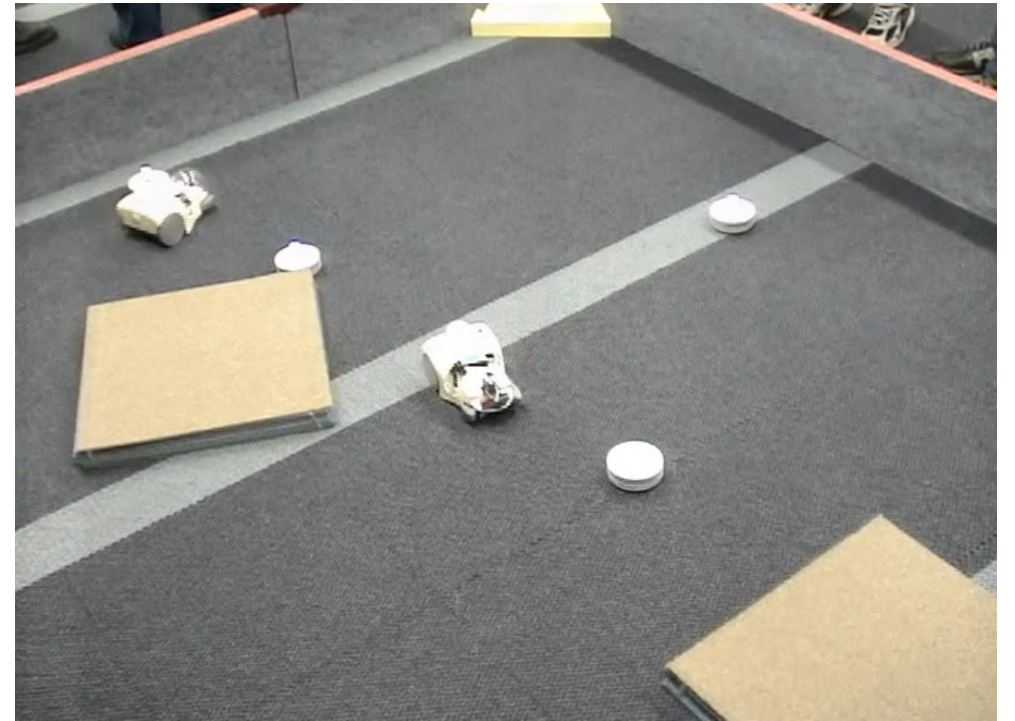


# Learning to Survive and Reproduce

- Catch battery packs
  - survival



- Copy 'genes' by IR ports
  - reproduction, evolution



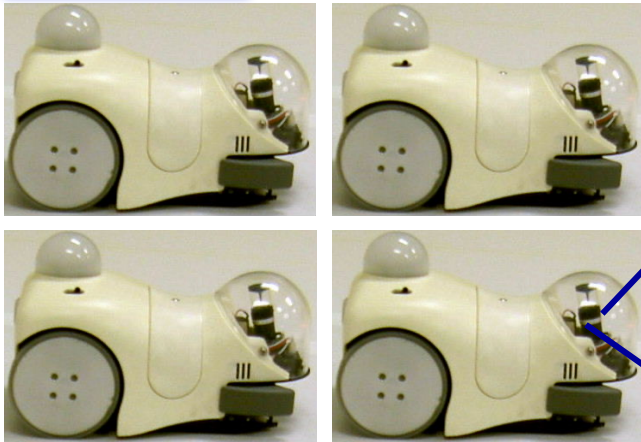
(Doya & Uchibe, 2005)



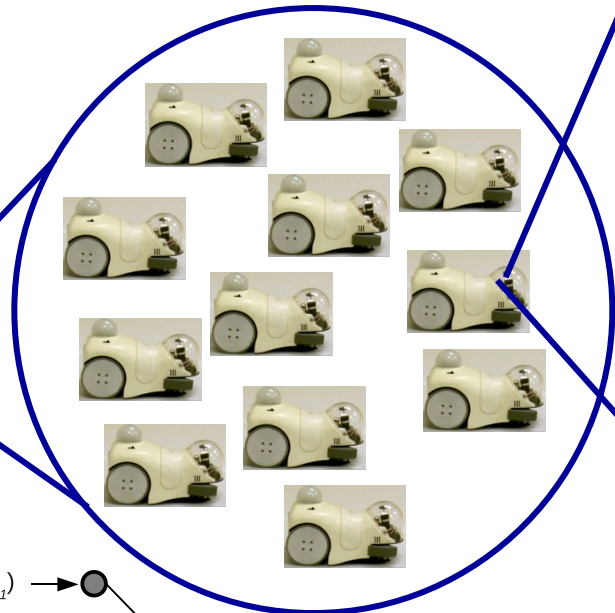
# Embodied Evolution (Elfwing et al., 2011)

Population

Robots



Virtual agents  
15-25



Genes

Weights for top layer NN

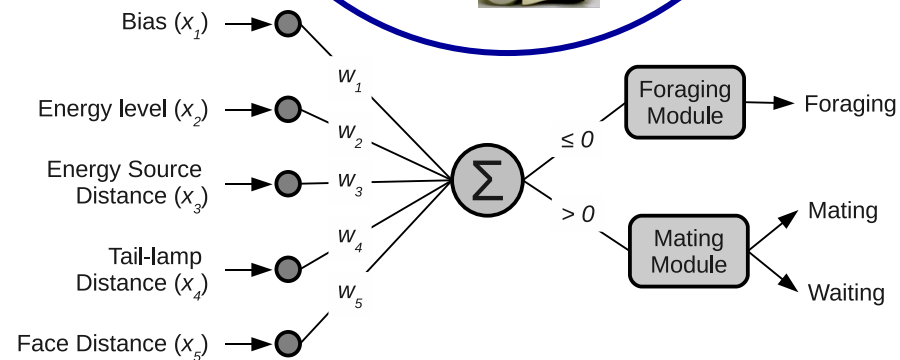
$$W_1, W_2, \dots, W_n$$

Weights shaping rewards

$$V_1, V_2, \dots, V_n$$

Meta-parameters

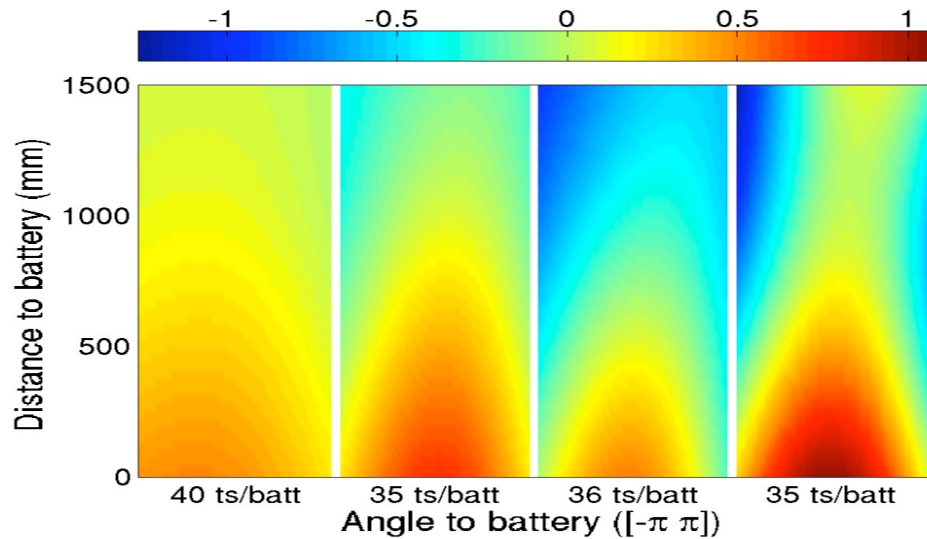
$$\alpha \gamma \lambda \tau_k \tau_0$$



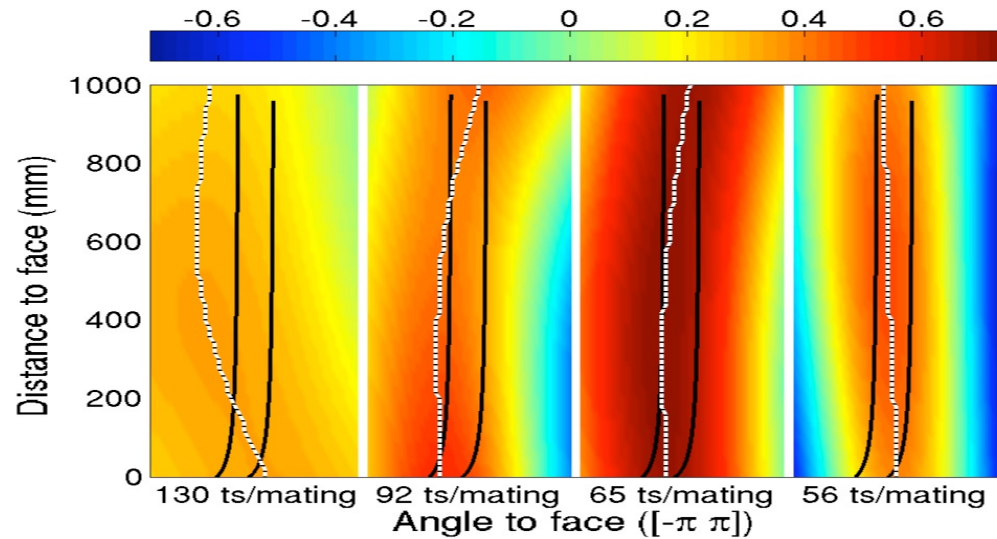


# Evolution of Shaping Rewards

■ Vision of battery



■ Vision of face



(Elfwing et al., 2011)

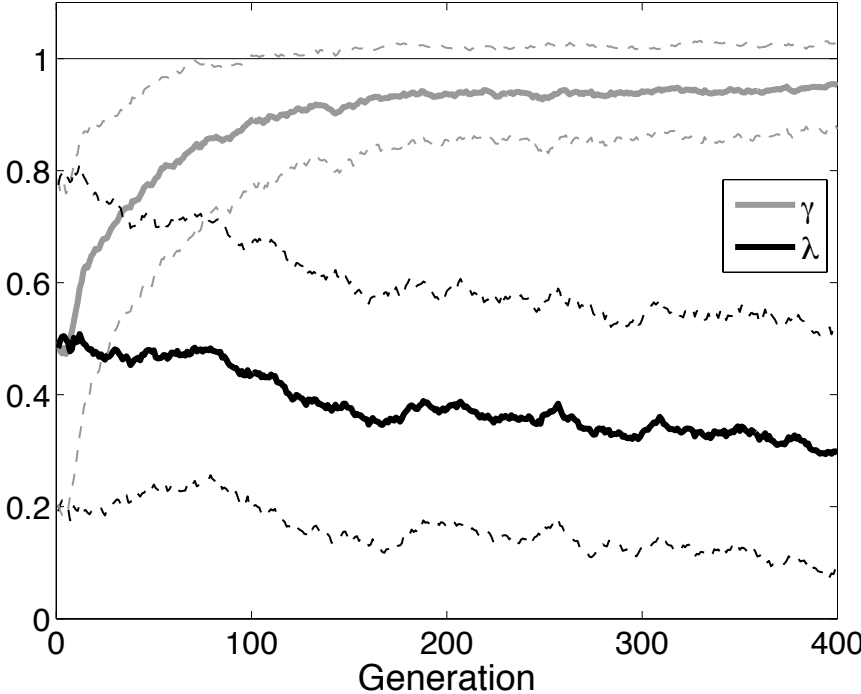
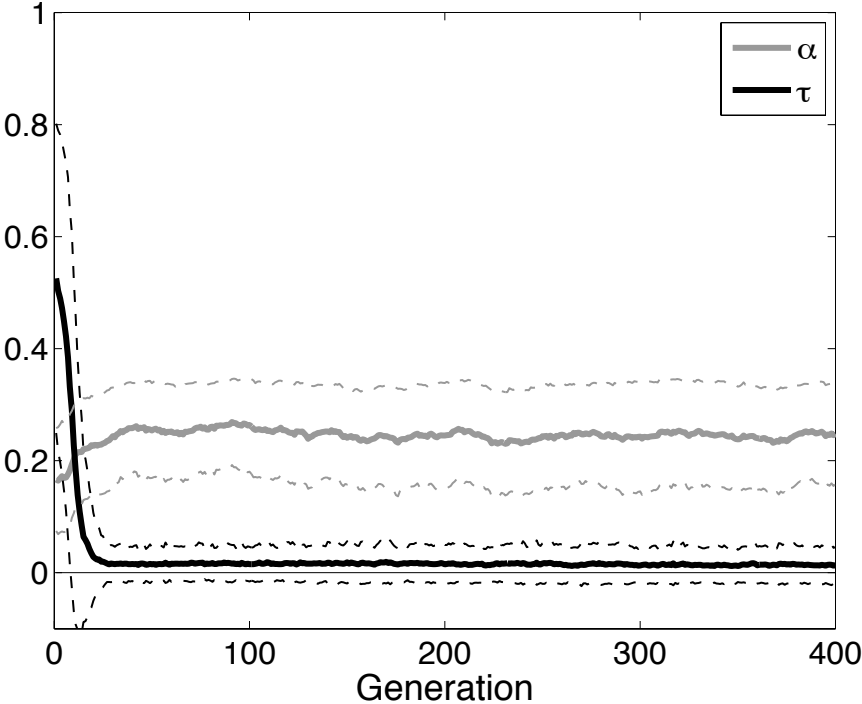




# Evolution of Meta-Parameters

- Learning rate  $\alpha$
- Exploration temperature  $\tau$

- Temporal discount factor  $\gamma$
- Eligibility trace decay factor  $\lambda$

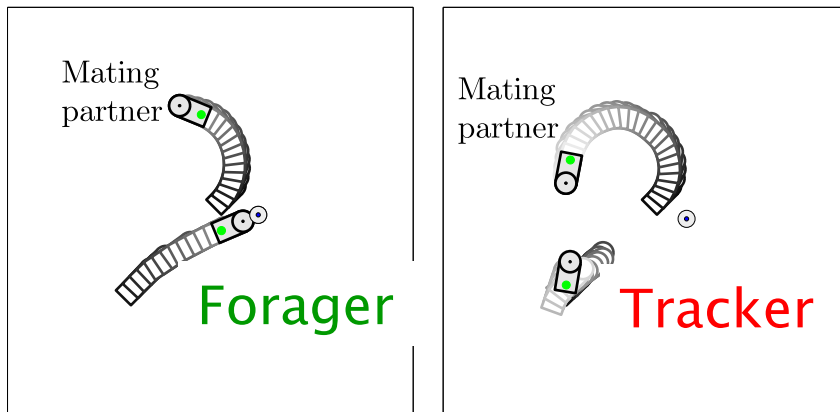




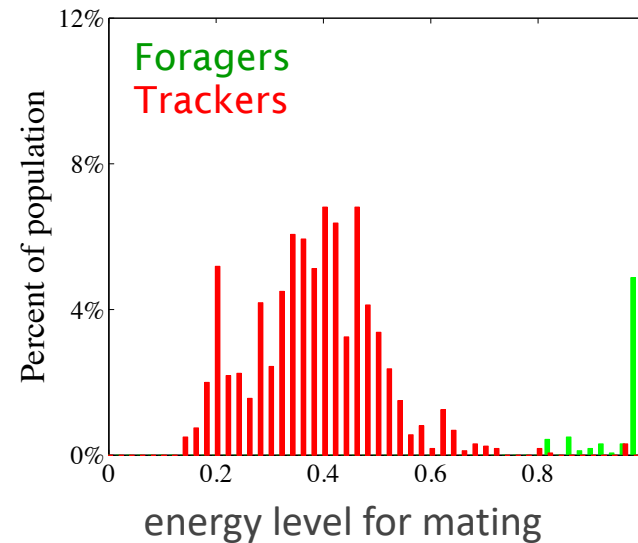
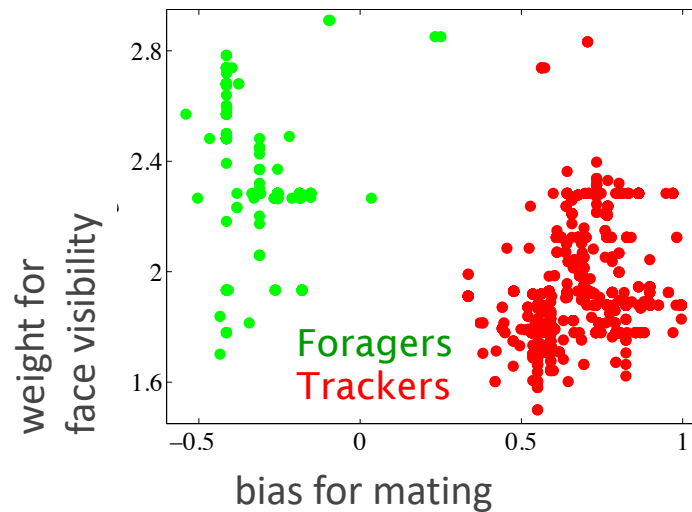
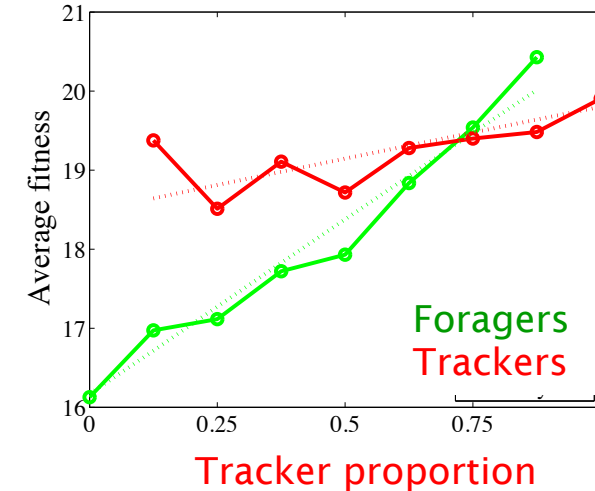
# Polymorphism within Colony

(Elfwing et al. 2014)

## ■ Foragers and Trackers



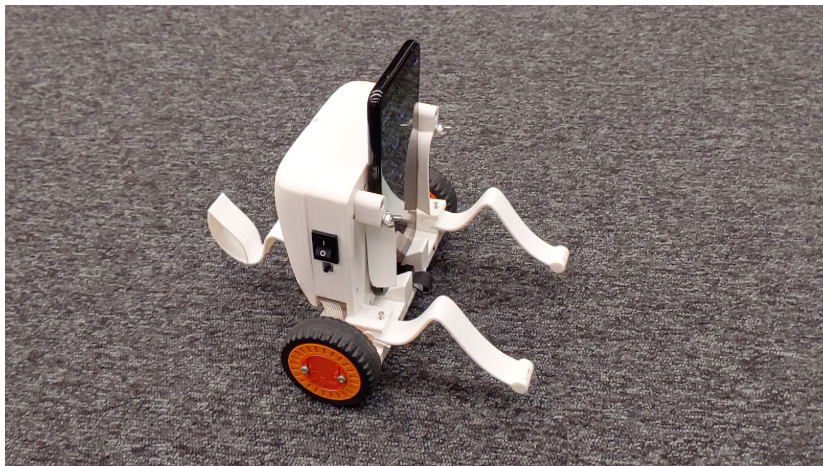
## ■ Evolutional stability





# Smartphone Robot Project

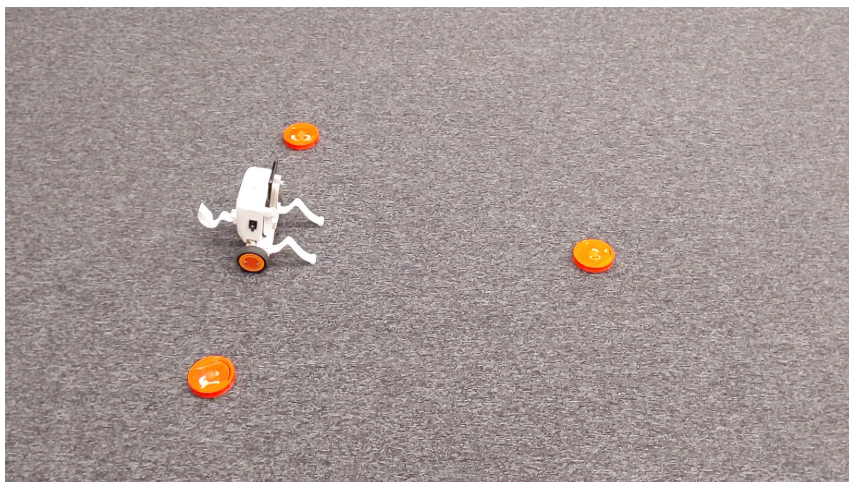
## ■ Motor control



## ■ Reproduction



## ■ Survival



- Learning models of world and others
- Meta-learning
- Evolution of rewards and curiosity
- ...



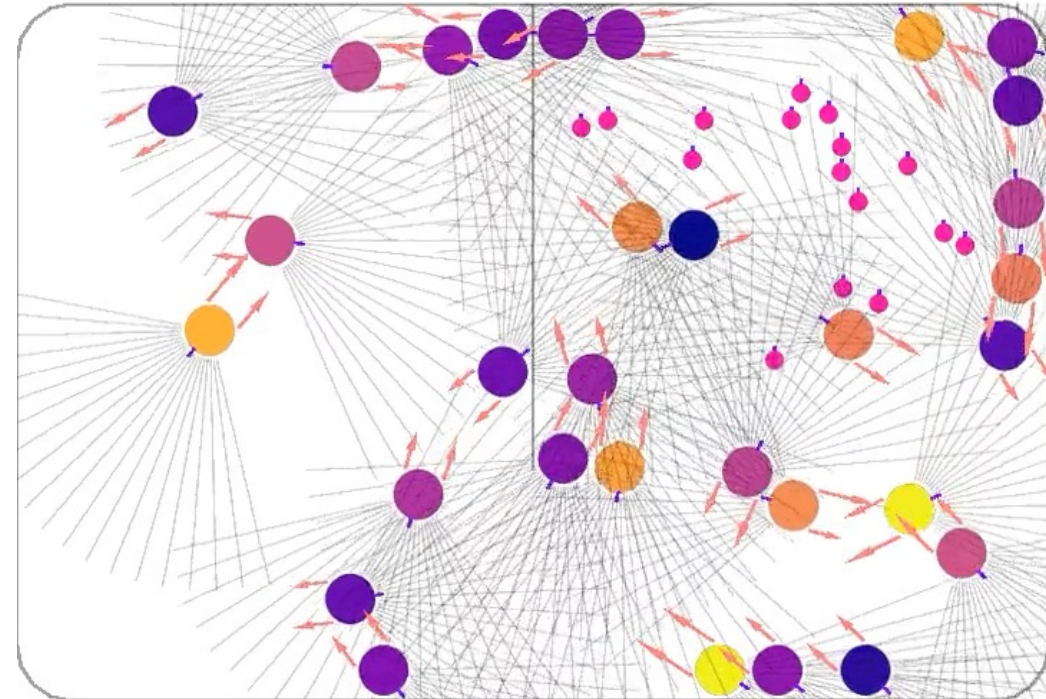
# Evolution of Primary Rewards

Yuji Kanagawa



## Reproduction Model

- age  $t$
- energy  $e$
- Birth rate  $b(e)$
- Death rate  $h(t,e)$



## Evolution of Reward Function

$$r = r_{\text{agent}} + r_{\text{food}} + r_{\text{wall}} + r_{\text{action}}$$

Learning by Proximal Policy Optimization (PPO; Schulman et al. 2017)



# Computational Correlates of “Curiosity”

## ■ Model-free

- supplementary reward:  $r_{\text{int}}(s, a)$
- shaping reward:  $r_{\text{sh}}(s_t) = \gamma\Phi(s_t) - \Phi(s_{t-1})$
- optimistic initial value:  $Q_0(s, a)$
- high temperature  $\tau$ :  $P(a|s) \propto \exp[Q(s, a)/\tau]$

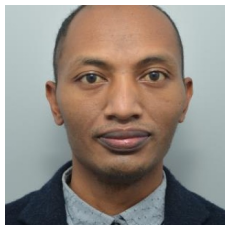
## ■ Model-based

- learning internal models:  $P(o|s)$ ,  $P(s'|s, a)$ ,  $P(r|s, a)$
- clarifying the present:  $P(s_t) \propto P(o_t|s_t)P(s_t|s_{t-1}, a_{t-1})$
- simulating the future:  $P(s_{t+1}|s_t, a)$  ...multiple steps
- finding optimal policy:  $\pi^*(a|s)$



# Evolving Intrinsic Rewards

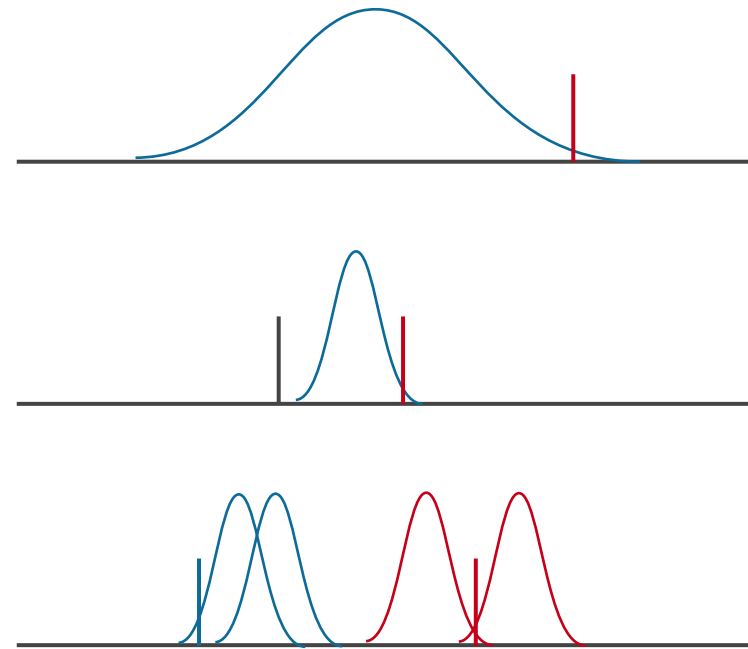
Tojo Rakotoaritina



## How to model/implement curiosity?

(Oudeyer & Kaplan 2008; Sing et al. 2010; Aubret et al. 2023)

- Novelty ... memory
  - visit count
  - $-\log p(s)$
- Surprise ... prediction
  - prediction error
  - $-\log p(s' | s, a)$
- Empowerment ... control
  - $I(s'; a) = H(s') - H(s' | a)$



(Klybin et al. 2005)

$$r_{\text{intrinsic}} = r_{\text{novelty}} + r_{\text{surprise}} + r_{\text{empowerment}}$$

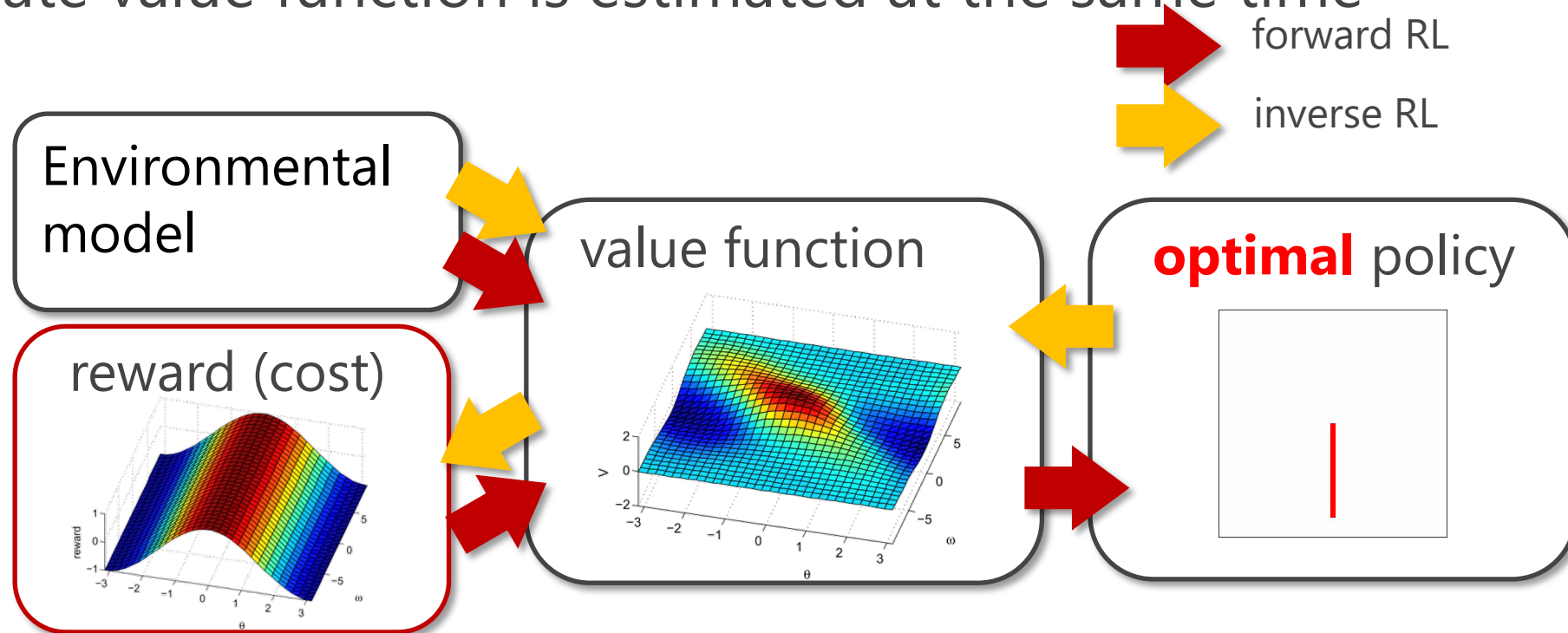




# Inverse Reinforcement Learning

To estimate reward function from observed (optimal) behaviors

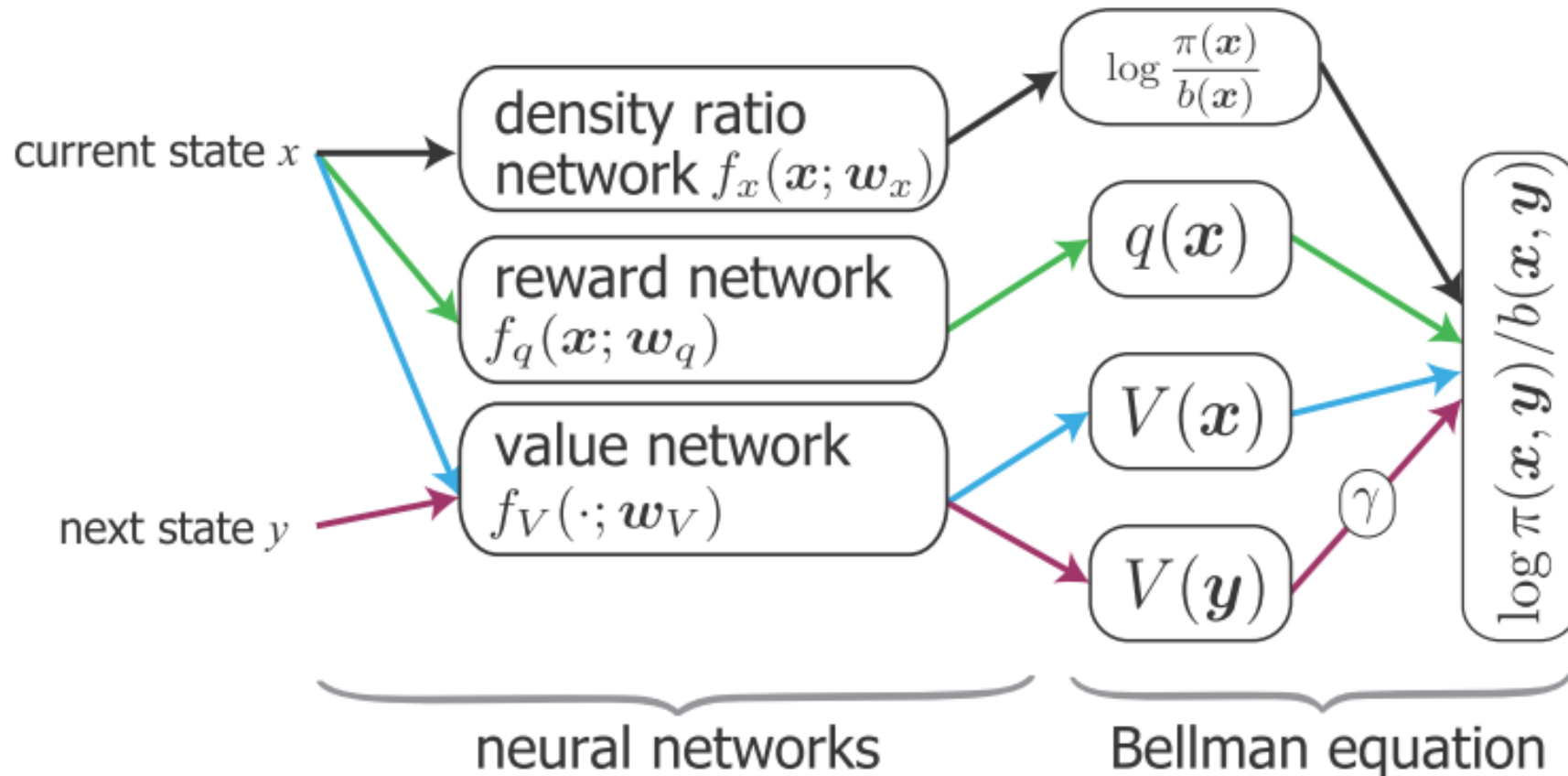
- state value function is estimated at the same time



# Inverse RL by Density Ratio Estimation

(Uchibe & Doya, 2014, 2021)

- Based on KL control (Todorov 2009)
  - applicable to deep neural networks (Uchibe 2016)







# Danger of Autonomous AI?

## AI agents can be creative!

- Find new goals and try them out
- Create novel science, technology, culture, industry..

## Needs assessment and control of dangers

- Runaway
- Side effect
- *Exploitation by individuals/groups with ambition/hatred*



# Learning from the Human Society

- Humans are the most dangerous species on earth

**Democracy: never give unlimited power to a person/group**

- Politics

- election
- term limit
- separation of powers

- Economy

- antitrust law
- right to strike

- Science

- peer review

**Peer reviewing among open-sourced, explainable AI agents**



# Social Value, Prefrontal Cortex and Amygdala

nature neuroscience

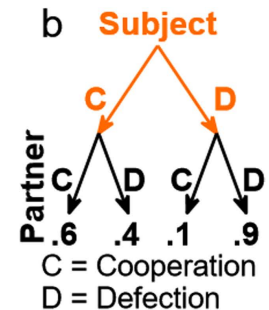
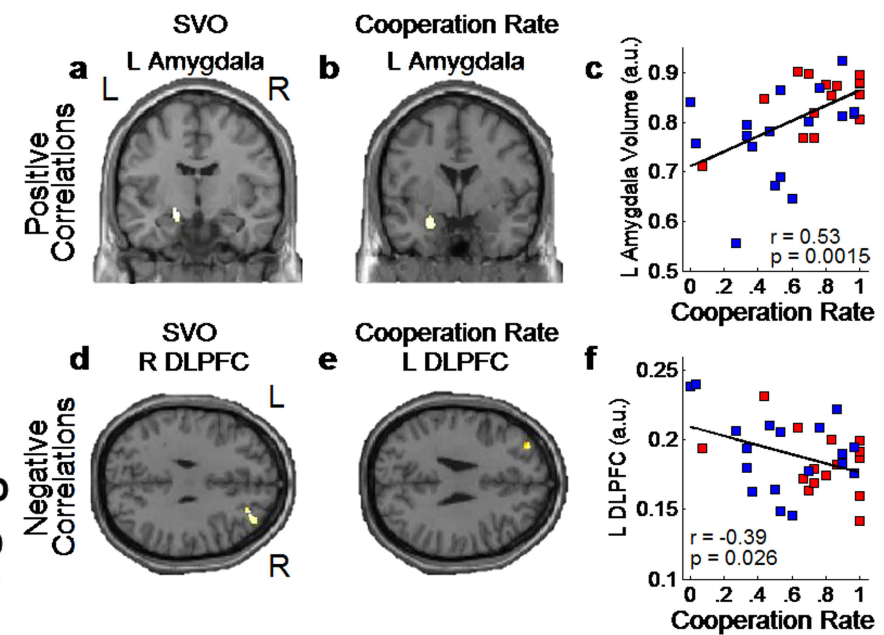
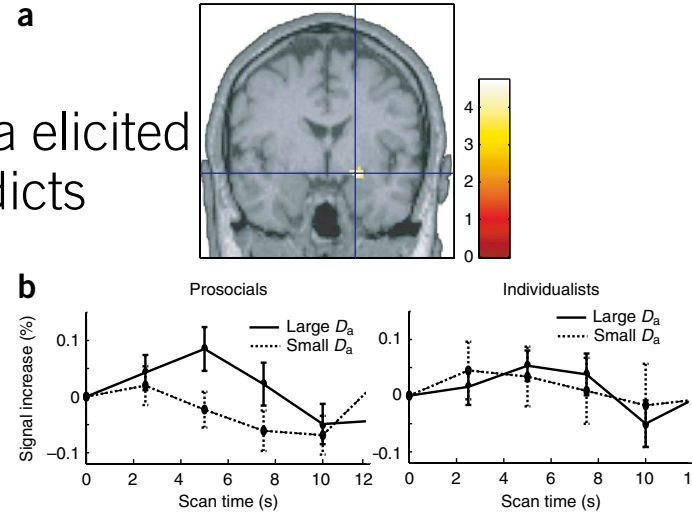
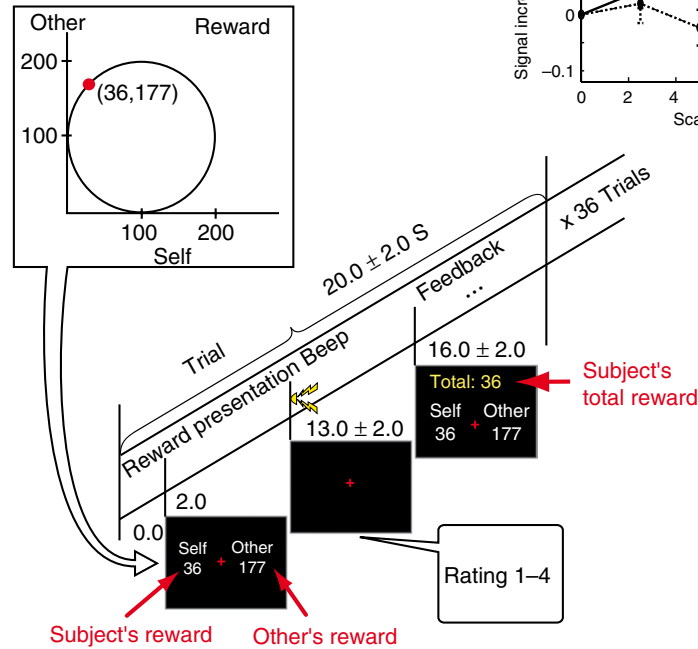
Activity in the amygdala elicited by unfair divisions predicts social value orientation

Masahiko Haruno<sup>1,2</sup> & Christopher D Frith<sup>3,4</sup>

SCIENTIFIC REPORTS

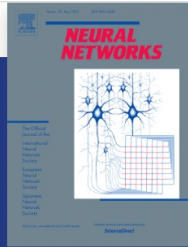
## Representation of economic preferences in the structure and function of the amygdala and prefrontal cortex

Alan S. R. Fermin<sup>1</sup>, Masamichi Sakagami<sup>1</sup>, Toko Kiyonari<sup>2</sup>, Yang Li<sup>1</sup>, Yoshie Matsumoto<sup>3</sup> & Toshio Yamagishi<sup>3</sup>





# International Symposium on AI and Brain Science



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## Special issue on Artificial Intelligence and Brain Science

Edited by Karl Friston, Masashi Sugiyama, Kenji Doya, Josh Tenenbaum

Last update 24 February 2022

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December 2021

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Neural Networks 152 (2022) 542-554

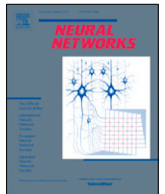


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2021 Special Issue on AI and Brain Science: Brain-inspired AI

### Social impact and governance of AI and neurotechnologies

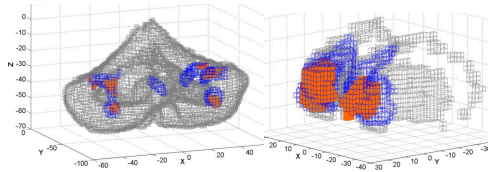
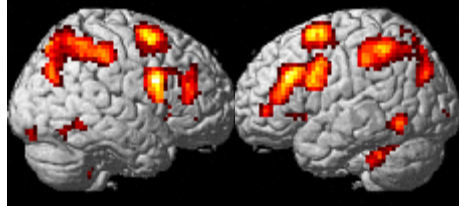
Kenji Doya <sup>a,\*</sup>, Arisa Ema <sup>b</sup>, Hiroaki Kitano <sup>a,c</sup>, Masamichi Sakagami <sup>d</sup>, Stuart Russell <sup>e</sup>



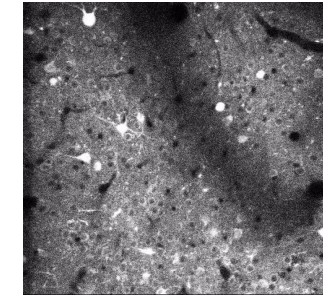
Advances in artificial intelligence (AI) and brain science are going to have a huge impact on society. While technologies based on those advances can provide enormous social benefits, adoption of new technologies poses various risks. This article first reviews the co-evolution of AI and brain science and the benefits of brain-inspired AI in sustainability, healthcare, and scientific discoveries. We then consider possible risks from those technologies, including intentional abuse, autonomous weapons, cognitive enhancement by brain-computer interfaces, insidious effects of social media, inequity, and enfeeblement. We also discuss practical ways to bring ethical principles into practice. One proposal is to stop giving explicit goals to AI agents and to enable them to keep learning human preferences. Another is to learn from democratic mechanisms that evolved in human society to avoid over-consolidation of power. Finally, we emphasize the importance of open discussions not only by experts, but also including a diverse array of lay opinions.



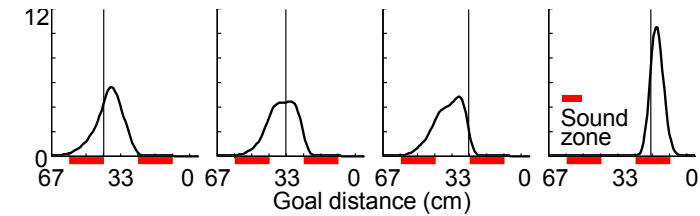
# What Should We Further Learn from the Brain?



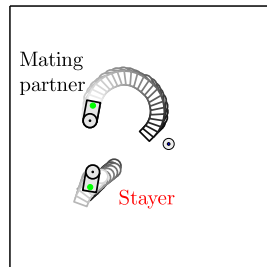
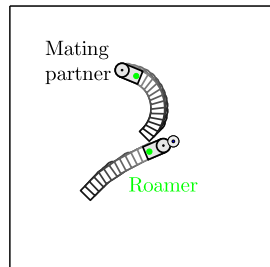
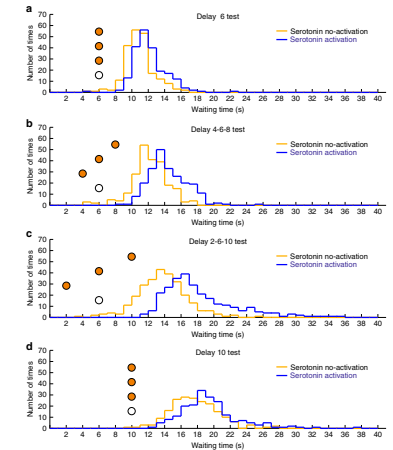
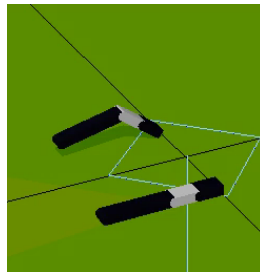
## Energy Efficiency



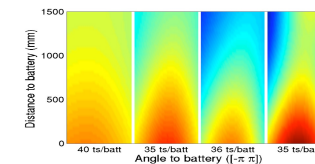
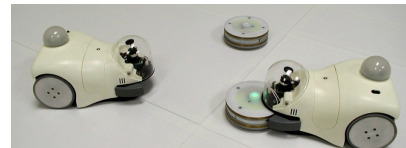
## Data Efficiency



- World Models and Mental Simulation
- Modularity and Compositionality
- Meta-learning



## Autonomy and Sociality





# Acknowledgements

- Striatum recording
  - Makoto Ito (Progress Technology)
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  - Saori Tanaka (ATR)
  - Nicolas Schweighofer (USC)
  - Jun Yoshimoto (NAIST)
  - Yu Shimizu
  - Tomoki Tokuda (ATR)
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  - Tojoarisoa Rakotoaritina
  - Christopher Buckley

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