

# A geometric realization of Catalan functions

arXiv:2301.00862

Syu Kato

Kyoto University

Representation Theory of Hecke Algebras and Categorification

June 9 2023

## Set up

We consider only  $G = GL(n, \mathbb{C})$  and its rational representations.

Hence, irreducible rational representations are parametrized by

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbb{Z}^n$$

such that  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ .

We refer it as  $V_\lambda$ , and it is a polynomial representation  $\Leftrightarrow \lambda_n \geq 0$ .  
(I.e. when  $\lambda$  is a partition)

If we write  $B \subset G$  the subgroup of upper-triangular matrices, then  $V_\lambda$  has a unique  $B$ -eigenvector  $\mathbf{v}_\lambda$  on which

$$B \rightarrow B/[B, B] =: H \cong (\mathbb{C}^\times)^n$$

acts by

$$(\mathbb{C}^\times)^n \ni (X_1, \dots, X_n) \mapsto X_1^{\lambda_1} \dots X_n^{\lambda_n}.$$

# Schur polynomials and Hall-Littlewood polynomials

The character of  $V_\lambda$  with respect to the action of  $(\mathbb{C}^\times)^n$  is the Schur polynomial

$$s_\lambda = \sum_{\sigma \in \mathfrak{S}_n} \sigma \left( \frac{X^\lambda}{\prod_{i < j} (1 - X_i^{-1} X_j)} \right) \in \mathbb{Z}[X_1^{\pm 1}, \dots, X_n^{\pm 1}]^{\mathfrak{S}_n},$$

where  $X^\lambda := X_1^{\lambda_1} \cdots X_n^{\lambda_n}$  for  $\lambda \in \mathbb{Z}^n$ .

$V_\lambda$  is polynomial representation  $\Leftrightarrow s_\lambda \in \mathbb{Z}[X_1, \dots, X_n]$ .

Hall-Littlewood polynomial is its variant

$$HL_\lambda := c_\lambda \sum_{\sigma \in \mathfrak{S}_n} \sigma \left( X^\lambda \frac{\prod_{i < j} (X_i - qX_j)}{\prod_{i < j} (X_i - X_j)} \right) \in \mathbb{Z}[q^{\pm 1}, X_1^{\pm 1}, \dots, X_n^{\pm 1}]$$

with a normalization factor  $c_\lambda \in \mathbb{Q}(q)$ .

## Orthogonality relations

We have an inner product on  $\mathbb{Z}[X_1^{\pm 1}, \dots, X_n^{\pm 1}]^{\mathfrak{S}_n}$  such that

$$\langle s_\lambda, s_\mu \rangle = \delta_{\lambda, \mu}.$$

We have

$$\langle HL_\lambda, HL_\mu^\vee \rangle = \delta_{\lambda, \mu}$$

by regarding  $q$  as a scalar, where we have

$$HL_\lambda^\vee := \sum_{\sigma \in \mathfrak{S}_n} \sigma \left( \frac{X^\lambda \cdot X_1^{2(n-1)} \dots X_{n-1}^2}{\prod_{i < j} ((X_i - X_j)(X_i - qX_j))} \right) \in \mathbb{Z}[[q, X_1^{\pm 1}, \dots, X_n^{\pm 1}]].$$

In order to consider things within  $\mathbb{Z}[X_1, \dots, X_n]^{\mathfrak{S}_n}$ , we consider the truncation operator

$$\left[ \sum_\lambda a_\lambda s_\lambda \right] := \sum_{\lambda, \lambda_n \geq 0} a_\lambda s_\lambda \quad a_\lambda \in \mathbb{C}((q)).$$

This yields  $\langle [HL_\lambda], [HL_\mu^\vee] \rangle = \delta_{\lambda, \mu}$ .

# Borel-Weil theorem

On  $X := G/B$  (the flag variety) and  $\lambda \in \mathbb{Z}^n$ , we set

$$L_\lambda := \{(g, v) \in G \times \mathbb{C}\} / \sim$$

where  $(g, v) \sim (gb, \chi_\lambda(b)v)$  for all  $b \in B$  and  $\chi_\lambda : B \rightarrow \mathbb{C}^\times$  is a character (slightly twisted from  $\lambda$ ). This is a fiber bundle on  $X$  whose fiber is  $\mathbb{C}$  (line bundle).

## Theorem (Borel-Weil)

When  $\lambda = (\lambda_i) \in \mathbb{Z}^n$  satisfies  $\lambda_1 \geq \dots \geq \lambda_n$ , we have

$$V_\lambda = \Gamma(X, L_\lambda) = \{s : X \rightarrow L_\lambda \mid \pi \circ s = \text{id}\},$$

where  $\pi : L_\lambda \rightarrow X$  is the projection map.

(We neglect dualities here in order to save our memory.)

## Geometric interpretation of $HL_\lambda^\vee$

On  $X := G/B$  (the flag variety) and  $\lambda \in \mathbb{Z}^n$ , we set

$$L_\lambda := \{(g, v) \in G \times \mathbb{C}\} / \sim$$

where  $(g, v) \sim (gb, \chi_\lambda(b)v)$  for all  $b \in B$  and  $\chi_\lambda : B \rightarrow \mathbb{C}^\times$  is a character (obtained from  $\lambda$ ).

We pullback  $L_\lambda$  from  $X$  to  $T^*X$  (cotangent bundle) and denote by  $\tilde{L}_\lambda$ . Then, we have

**Theorem (R. Brylinski, Broer)**

When  $\lambda = (\lambda_i) \in \mathbb{Z}^n$  satisfies  $\lambda_1 \geq \dots \geq \lambda_n$ , we have

$$HL_\lambda^\vee = \text{char}_q \Gamma(T^*X, \tilde{L}_\lambda) = \{s : T^*X \rightarrow \tilde{L}_\lambda \mid \pi \circ s = \text{id}\},$$

where  $\pi : \tilde{L}_\lambda \rightarrow T^*X$  is the projection map, and  $q$  counts the character coming from the  $\mathbb{C}^\times$ -action on the fibers of  $T^*X$ .

## A naive question

We have

$$HL_0^\vee = \text{char}_q \mathbb{C}[T^*X] = \left( \prod_{m=1}^n \frac{1 - q^m}{1 - q} \right) \cdot \left( \prod_{1 \leq i, j \leq n, i \neq j} \frac{1}{1 - qX_i X_j^{-1}} \right),$$

and hence

$$[HL_0^\vee] = 1 = \text{char}_q \mathbb{C}[\overline{T^*X}],$$

where  $\overline{T^*X}$  is any  $G \times \mathbb{C}^\times$  equivariant compactification of  $\mathcal{N}$  by Liouville's theorem (i.e. globally defined rational function on a compact connected variety is constant).

### Problem

Can you provide nice  $\overline{T^*X}$  and line bundles  $\tilde{L}_\lambda^+$  on  $\overline{T^*X}$  such that

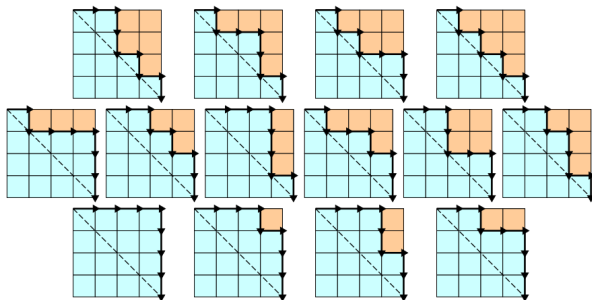
$$[HL_\lambda^\vee] = \text{char}_q \Gamma(\overline{T^*X}, \tilde{L}_\lambda^+) = \{s : \overline{T^*X} \rightarrow \tilde{L}_\lambda \mid \pi \circ s = \text{id}\}$$

and  $\tilde{L}_\lambda^+$  restricts to  $\tilde{L}_\lambda$  on  $T^*X$ .

## Chen-Haiman's proposal

The cotangent bundle  $T^*X$  admits a vector subbundle  $T_\psi^*X$  (equipped with  $G \times \mathbb{C}^\times$ -action) for each Dyck path of size  $n$ :

Precisely, “upside-down” of a Dyck path defines a  $B$ -submodule of the fiber of  $T^*X$  at  $B/B$ , the strictly upper triangular matrices:



**Figure:** Dyck path of size 4 from Wikipedia (upside down) CC BY-SA 3.0  
[https://en.wikipedia.org/wiki/Catalan\\_number](https://en.wikipedia.org/wiki/Catalan_number)



# Catalan polynomials and the Chen-Haiman conjecture

The line bundle  $\tilde{L}_\lambda$  on  $T^*X$  restricts to  $T_\Psi^*X$  for each Dyck path.

## Definition (Catalan polynomials)

For each Dyck path  $\Psi$  and a partition  $\lambda \in \mathbb{Z}^n$ , we set

$$HL_\lambda^\Psi := \left[ \text{char}_q \Gamma(T_\Psi^*X, \tilde{L}_\lambda) \right] \in \mathbb{Z}[q, X_1, \dots, X_n]^{\mathfrak{S}^n}.$$

We claim that this defines a nice family of symmetric functions. However, the RHS is guaranteed to be calculated by an explicit algebraic formula whenever the following holds:

## Conjecture (Chen-Haiman's vanishing conjecture (2010))

For each Dyck path  $\Psi$  and a partition  $\lambda \in \mathbb{Z}^n$ , we have

$$H^{>0}(T_\Psi^*X, \tilde{L}_\lambda) = \{0\}.$$

## Little about Catalan polynomials

Even without the vanishing conjecture, we can algebraically define  $HL_{\lambda}^{\Psi}$  (through a formal application of the Weyl character formula) and study them. Some of the reasons we care about this is:

- ▶ It contains various generalizations of Kostka polynomials from the combinatorial study of some physics model from 1990s; (recall that the original Kostka polynomials form the transition matrix between  $HL_{\bullet}/HL_{\bullet}^{\vee}$  and  $s_{\bullet}$ )
- ▶ It contains the  $k$ -Schur polynomials introduced by LaPonte-Lascoux-Morse, in connection with the structure of Macdonald polynomials (of type A);
- ▶  $k$ -Schur polynomial is a basic ingredient of the study of the quantum cohomology of flag manifolds (of type A);
- ▶ Some of these features (that were conjectures), as well as the combinatorial part of the Chen-Haiman conjecture, are established by Blasiak-Morse-Pun-Summers.

# The nilpotent cone

We can also enhance  $T^*X$  by enlarging the fiber (that is  $B$ -stable) into a  $G$ -module  $\text{Mat}(n, \mathbb{C})$  with adjoint action. Then, we have

$$T^*X \subset G \times_B \text{Mat}(n, \mathbb{C}) \cong G/B \times \text{Mat}(n, \mathbb{C}) \xrightarrow{\text{pr}_2} \text{Mat}(n, \mathbb{C}).$$

Its image is the space  $\mathcal{N}$  of nilpotent matrices in  $\text{Mat}(n, \mathbb{C})$ .

The space  $\mathcal{N}$  is quite important in representation theory (e.g. remember the talks by Carl and Peng!). If we set  $\mathfrak{n} \subset \text{Mat}(n, \mathbb{C})$  the space of strictly upper triangular matrices (the fiber above), then we have

$$\mathcal{N} = G\mathfrak{n} \subset \text{Mat}(n, \mathbb{C}).$$

(The action is the adjoint action.)

# Loop groups and affine Grassmannians

We formally extend  $\mathbb{C}$  to  $\mathbb{C}[[z]]$  or  $\mathbb{C}((z))$  in the matrix entry to obtain

$$G[[z]] := GL(n, \mathbb{C}[[z]]) \quad \text{and} \quad G((z)) := GL(n, \mathbb{C}((z))).$$

The theory of Kac-Moody algebra tells us that  $G((z))$  admits a central extension that we denote by  $\tilde{G}$ . In addition, we have its level one basic representation  $L(\Lambda_0)$  that roughly looks like

$$L(\Lambda_0) = \mathbb{C}\mathbf{v}_{\Lambda_0} \oplus \mathfrak{sl}(n, \mathbb{C})z^{-1} \oplus \text{lower degree terms w.r.t. } z$$

Then, the affine Grassmannian looks as

$$\text{Gr} = G((z))/G[[z]] \cong \tilde{G}[\mathbf{v}_{\Lambda_0}] \subset \mathbb{P}(L(\Lambda_0)).$$

(This is a moral statement and should not be identified with a mathematical statement.)

# Lusztig's compactification of the nilpotent cone

We have:

$$\text{Gr} := G((z))/G[[z]] \cong \tilde{G}[\mathbf{v}_{\Lambda_0}] \subset \mathbb{P}(L(\Lambda_0)).$$

Here, we have

$$\exp\left(\frac{\mathfrak{n}}{z}\right) \subset G((z))$$

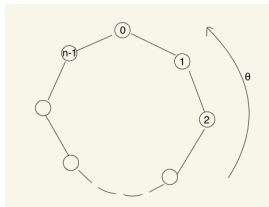
that lifts to  $\tilde{G}$ . If we apply this to  $[\mathbf{v}_{\Lambda_0}]$  and additionally apply the  $G \subset \tilde{G}$ -action, we find an embedding

$$\mathcal{N} \hookrightarrow \text{Gr} \subset \mathbb{P}(L(\Lambda_0)).$$

This is Lusztig's embedding (1981). A miracle is that, the closure of the image is the closure of a particular  $G[[z]]$ -orbit of  $\text{Gr}$ .

## Affine Dynkin diagram of $\tilde{G}$

The affine Lie algebra  $\text{Lie } \tilde{G}$  is essentially of type  $A_{n-1}^{(1)}$ , whose Dynkin diagram is of shape:



**Figure:** Dynkin diagram of type  $A_{n-1}^{(1)}$  and the diagram automorphism  $\theta$

In particular,  $\theta$  acts on  $\tilde{G}$  and its set of weights. Therefore, we have

$$K_i := \theta^{n-i}(G[[z]]) \subset \tilde{G} \quad \text{that have a character } \Lambda_i := \theta^{n-i}\Lambda_0.$$

We have a  $\tilde{G}$ -representation  $L(\Lambda_i) := (\theta^{n-i})^*L(\Lambda_0)$ .

## Construction of a variety $X_\psi$ when $T_\psi^*X = T^*X$ ... step I

We set  $[\mathbf{v}_{\Lambda_i}] = [(\theta^{n-i})^*\mathbf{v}_{\Lambda_0}] \in \mathbb{P}(L(\Lambda_i))$ .

We start with  $[\mathbf{v}_{\Lambda_0}] \in \mathbb{P}(L(\Lambda_0))$ . We have

$$\mathbb{P}^{n-1} \cong K_{n-1}[\mathbf{v}_{\Lambda_0}] \subset \mathbb{P}(L(\Lambda_0))$$

by inspection. Now we consider

$$[\mathbf{v}_{\Lambda_{n-1}}] \times K_{n-1}[\mathbf{v}_{\Lambda_0}] \subset \mathbb{P}(L(\Lambda_{n-1})) \times \mathbb{P}(L(\Lambda_0)).$$

Apply  $K_{n-2}$  to this. We can check

$$\text{Stab}_{K_{n-2}}(\mathbf{v}_{\Lambda_{n-1}}) \circlearrowleft K_{n-1}[\mathbf{v}_{\Lambda_0}] \cong \mathbb{P}^{n-1}.$$

It follows that

$$K_{n-2}([\mathbf{v}_{\Lambda_{n-1}}] \times K_{n-1}[\mathbf{v}_{\Lambda_0}]) \subset \mathbb{P}(L(\Lambda_{n-1})) \times \mathbb{P}(L(\Lambda_0))$$

defines a  $\mathbb{P}^{n-1}$ -fibration over  $\mathbb{P}^{n-1}$  through the projection to  $\mathbb{P}(L(\Lambda_{n-1}))$ .

## Construction of a variety $X_\Psi$ when $T_\Psi^*X = T^*X$ ... step II

In fact, we can continue this by lowering the index by one for each time (alternatively rotate one step by  $\theta$ ) since

$$\text{Stab}_{K_{n-k}}(\mathbf{v}_{\Lambda_{n-k}}) \circ \text{previous output} = K_{n-k+1}([\mathbf{v}_{\Lambda_{n-k+2}}] \times Y),$$

where  $Y$  is the variety constructed two steps before.

This yields the  $n$ -times (= number of  $\Lambda_i$ 's) repeated application of  $\mathbb{P}^{n-1}$ -bundle, that we denote by  $X_\Psi$ . In particular, we have

$$\dim X_\Psi = n(n-1) = \dim T^*X.$$

Examining Lustig's construction, the projection to  $\mathbb{P}(L(\Lambda_0))$  yields

$$X_\Psi \rightarrow \overline{\mathcal{N}} \subset \text{Gr}.$$



# The variety $X_\Psi$ when $T_\Psi^*X = T^*X \dots$ part I

By construction, we have

$$X_\Psi \hookrightarrow \prod_{i=0}^{n-1} \mathbb{P}(L(\Lambda_i)).$$

Note that the top  $z$ -grading part of  $L(\Lambda_i)$  is trivial ( $i = 0$ ) or fundamental representation of  $G$  ( $i \neq 0$ ). Since we have

$$\{[\mathbf{v}_{\Lambda_i}]\}_i \in X_\Psi$$

by construction, we find  $X \cong G\{[\mathbf{v}_{\Lambda_i}]\}_i \subset X_\Psi$ . Since we already have  $\mathfrak{n} \subset \mathbb{P}(L(\Lambda_0))$ , we conclude that

$$T^*X \subset X_\Psi,$$

with the natural  $\mathbb{C}^\times$ -action reverted. It must be dense by the dimension counting.

## The variety $X_\Psi$ when $T_\Psi^*X = T^*X \dots$ part II

The embedding

$$X_\Psi \hookrightarrow \prod_{i=0}^{n-1} \mathbb{P}(L(\Lambda_i))$$

defines a line bundle  $\mathcal{O}_{X_\Psi}(\varpi_i)$  ( $0 \leq i < n$ ) by the pullback of the corresponding  $\mathcal{O}(1)$ .

### Theorem

*The line bundle  $\mathcal{O}_{X_\Psi}(\varpi_i)$  restricts to  $\tilde{L}_\lambda$  for*

$$\lambda = \begin{cases} (1, 1, \dots, 1) & (i = 0) \\ \underbrace{(1, 1, \dots, 1)}_i, 0, \dots, 0 & (i \neq 0) \end{cases}$$

*through the embedding  $T_\Psi^*X \subset X_\Psi$ . In addition, the open subset  $T_\Psi^*X \subset X_\Psi$  is defined as  $\mathbf{v}_{\Lambda_0}^* \neq 0$  (this must be affine embedding).*

# The case of general $\Psi$ ... properties

We define

$$X_{\Psi} := \overline{T_{\Psi}^* X} \subset \overline{T^* X} = X_{\Psi_+},$$

where  $\Psi_+$  is the maximal Dyck path that yields  $T_{\Psi_+}^* X = T^* X$ .

- ▶ In particular,  $X_{\Psi'} \subset X_{\Psi}$  if we have  $T_{\Psi'}^* X \subset T_{\Psi}^* X$ , that is equivalent to say that the Dyck path  $\Psi'$  is always below  $\Psi$  (before upsidedown);
- ▶ This is a consequence of the original definition;
- ▶ Our original construction of  $X_{\Psi}$  makes it possible to see it is a successive  $\mathbb{P}^{\bullet}$ -bundle (and hence is smooth projective);
- ▶ Though there is no logical dependence, some intermediate steps of the proof (not exhibited here) employs the contents of the talk I had originally planned to give.

## The case of general $\Psi$ ... statement

We define

$$X_\Psi := \overline{T_\Psi^* X} \subset \overline{T^* X} = X_{\Psi_+},$$

where  $\Psi_+$  is the maximal Dyck path that yields  $T_{\Psi_+}^* X = T^* X$ .

For a partition  $\lambda \in \mathbb{Z}^n$ , we set the coefficient of  $\varpi_i$  as  $(\lambda_i - \lambda_{i+1})$  ( $\lambda_n$  for  $i = 0$ ) to obtain a line bundle  $\mathcal{O}_{X_{\Psi_+}}(\lambda)$  on  $X_{\Psi_+}$ .

### Theorem (Geometric realization of Catalan polynomials)

*For a Dyck path  $\Psi$  of size  $n$  and a partition  $\lambda$ , we have a line bundle  $\mathcal{O}_{X_\Psi}(\lambda)$  obtained as the restriction of  $\mathcal{O}_{X_{\Psi_+}}(\lambda)$  such that:*

1. *We have a surjective restriction map*

$$H^0(X_{\Psi_+}, \mathcal{O}_{X_{\Psi_+}}(\lambda)) \twoheadrightarrow H^0(X_\Psi, \mathcal{O}_{X_\Psi}(\lambda));$$

2.  $\text{char}_q H^0(X_\Psi, \mathcal{O}_{X_\Psi}(\lambda)) = HL_\lambda^\Psi$ ;
3.  $H^{>0}(X_\Psi, \mathcal{O}_{X_\Psi}(\lambda)) = 0$ .

## The Chen-Haiman conjecture

Recall that  $H^{>0}(X_\Psi, \mathcal{O}_{X_\Psi}(\lambda)) = 0$  when  $\lambda$  is a partition.

Corollary (Chen-Haiman's vanishing conjecture)

$$H^{>0}(T_\Psi^*X, \tilde{L}_\lambda) = 0 \quad \text{when } \lambda \text{ is a partition.}$$

We have

$$H^{>0}(T_\Psi^*X, \tilde{L}_\lambda) = \varinjlim_m H^{>0}(X_\Psi, \mathcal{O}_{X_\Psi}(\lambda + m\varpi_0)) \otimes \mathbb{C}_{m \det}.$$

Here we have

$$j_* \mathcal{O}_{T_\Psi^*X} = \bigcup_m \mathcal{O}_{X_\Psi}(\lambda + m\varpi_0) \boxtimes \mathbb{C}_{m \det} \quad \text{and} \quad \mathbb{R}^{>0} j_* \mathcal{O}_{T_\Psi^*X} = 0$$

for  $j : T_\Psi^*X \subset X_\Psi$  since  $j$  is an affine embedding.

**NB** The Chen-Haiman vanishing conjecture is previously shown to hold when  $T_\Psi^*X = X$  (BWB),  $T^*X$  (Broer), sufficiently dominant weights (Panyshhev), and other special cases.

## A consequence

The map

$$\mu : T^*X \rightarrow \mathcal{N}$$

sends  $T_\Psi^*X$  to a  $G$ -invariant closed subset of  $\mathcal{N}$ , i.e. the nilpotent orbit closure. This yields a surjection

$$\{\text{Dyck paths of size } n\} \rightarrow \{\text{partitions of } n\},$$

and we find that

$$HL_{(m,m,\dots,m)}^\Psi = HL_{(m,m,\dots,m)}^{\Psi'} \quad m \geq 0$$

whenever  $\Psi$  and  $\Psi'$  have a common image. For us, this is a consequence of

$$\Gamma(T_\Psi^*X, \mathcal{O}_{T_\Psi^*X}) = \mathbb{C}[\mu(T_\Psi^*X)] \cong \mathbb{C}[\mu(T_{\Psi'}^*X)] = \Gamma(T_{\Psi'}^*X, \mathcal{O}_{T_{\Psi'}^*X})$$

This is the discussion in the paper of Shimozono-Weyman, that they have casted out their conjectures little modestly.