<Translation>

# Fiscal Year 2022 Business Report

From: April 1, 2022 To: March 31, 2023

Okinawa Institute of Science and Technology School Corporation

## Table of Contents

| I.  | Basic  | Information of OIST School Corporation1                          |
|-----|--------|--|
| 1   | Sun    | nmary of the Corporation1  |
|     | (1)    | Description of Business 1  |
|     | (2)    | Address 1  |
|     | (3)    | Number of faculty members and employees (as of March 31, 2023) 1 |
|     | (4)    | History 1  |
|     | (5)    | Basis law for the establishment 1                                |
|     | (6)    | Supervising ministries 1   |
|     | (7)    | Organizational Chart (as of March 31, 2023)2                     |
| 2   | List   | of Officers, etc. (as of 31 March, 2023) 3                       |
|     | (1)    | Officers and Auditors 3  |
|     | (2)    | Members of Governors   |
|     | (3)    | Members of Councilors  |
| ١١. | Status | s of business implementation23                                   |

- I. Basic Information of OIST School Corporation
- 1 Summary of the Corporation

(1) Description of Business

- 1) Establish and operate the Okinawa Institute of Science and Technology (OIST) Graduate University
- 2) Provide students with consultations on schooling, career options, and physical and psychological health, and with other support
- 3) Undertake research commissioned by parties outside the School Corporation, conduct joint research with parties outside the School Corporation, or otherwise conduct education and research activities in collaboration with parties outside the School Corporation
- 4) Disseminate the achievements of research at Okinawa Institute of Science and Technology Graduate University, and promote their utilization
- 5) Hold research meetings concerning science and technology, and otherwise conduct business to promote exchange among researchers
- (2) Address

Main campus 1919-1 Tancha, Onna-son, Kunigami, Okinawa 904-0495 Japan Seaside House 7542 Onna, Onna-son, Kunigami, Okinawa 904-0411 Japan Marine Science Station 656-7 Seragaki, Onna-son, Kunigami, Okinawa 904-0404 Japan

- (3) Number of faculty members and employees (as of March 31, 2023)
   Faculty members (exclude adjunct and transitional professor): 77
   Employees (exclude temporary staff): 901
- (4) History

2011 Nov.: The Okinawa Institute of Science and Technology School Corporation Inauguration

- (5) Basis law for the establishment
   Okinawa Institute of Science and Technology School Corporation Act (Act No. 76 of 2009)
- (6) Supervising ministries Cabinet Office, MEXT



#### (7) Organizational Chart (as of March 31, 2023)

- 2 List of Officers, etc. (as of 31 March, 2023)
- Fixed number
   Shall be as prescribed respectively in the Article 5.1, 7 and 19.2 of the OIST Bylaws.
- Term Shall be as prescribed respectively in the Article 9.1 and 24.1 of the OIST Bylaws.
- Status of Directors and Officers insurance contracts
   At the 30th Board of Directors meeting held on February 18, 2021, the renewal of
   Directors and Officers insurance (coverage include defense cost, judgements and
   settlements) was unanimously approved.

| Title       | Name        | Term         |      | Background                                |
|-------------|-------------|--------------|------|---|
| CEO/        | Peter Gruss | From Jan. 1, | 1977 | Ph.D. in Molecular Biology, University of |
| President   |             | 2017, to     |      | Heidelberg                                |
|             |             | Dec. 31,     | 1980 | Expert Consultant/Visiting Scientist,     |
|             |             | 2022*        |      | NIH, Bethesda                             |
|             |             |              | 1982 | Associate Professor of Microbiology,      |
|             |             |              |      | University of Heidelberg                  |
|             |             |              | 1983 | Member, Directorate of the Center for     |
|             |             |              |      | Molecular Biology, University of          |
|             |             |              |      | Heidelberg                                |
|             |             |              | 1986 | Director, Max Planck Institute for        |
|             |             |              |      | Biophysical Chemistry, Department of      |
|             |             |              |      | Molecular Cell Biology                    |
|             |             |              | 1990 | Honorary Professor, University of         |
|             |             |              |      | Göttingen                                 |
|             |             |              | 1997 | Managing Director, Max Planck Institute   |
|             |             |              |      | for Biophysical Chemistry                 |
|             |             |              | 2002 | President, Max Planck Society             |
|             |             |              | 2017 | CEO, OIST SC President of OIST            |
|             |             |              |      | Graduate University                       |
| Acting CEO/ | Albrecht    | From Dec.    | 1971 | PhD (Physics), University of              |
| President   | Wagner      | 1, 2022 to   |      | Heidelberg                                |
|             |             | May 31,      | 1984 | Professor, University of Heidelberg       |
|             |             | 2023         | 1991 | Professor, University of Hamburg          |
|             |             |              |      | Director of Research, DESY                |
|             |             |              | 1999 | Chairman, DESY Board of Directors         |
|             |             |              | 2005 | Chairman, TESLA Technology                |
|             |             |              |      | Collaboration Board                       |
|             |             |              | 2006 | Chairman, International Committee for     |
|             |             |              |      | Future Accelerators (ICFA)                |

#### (1) Officers and Auditors

|                        |                   |                      | 2007 | Vice President of the Helmholtz              |
|------------------------|-------------------|----------------------|------|--|
|                        |                   |                      | 2008 | Chairman, Council of Hamburg                 |
|                        |                   |                      |      | University                                   |
|                        |                   |                      | 2010 | Member, Board of the Joachim Herz            |
|                        |                   |                      | 2011 | Member, Board of Councilors, OIST SC         |
|                        |                   |                      | 2015 | Acting CEO & Acting President, OIST          |
|                        |                   |                      | Oct. | SC   |
|                        |                   |                      | 2022 | Acting CEO & Acting President, OIST          |
|                        |                   |                      | Dec  | SC   |
| Secretary<br>General / | Shigeharu<br>Kato | From<br>Apr. 1, 2022 | 1980 | Bachelor of Engineering, University of Tokyo |
| Vice-CEO               |                   | to                   | 1986 | Master of Public Policy, Harvard             |
|                        |                   | Mar. 31,             |      | University                                   |
|                        |                   | 2025                 | 1980 | Joined Science and Technology                |
|                        |                   |                      |      | Agency (STA)                                 |
|                        |                   |                      | 1991 | First Secretary, Permanent Delegation        |
|                        |                   |                      |      | of Japan to the OECD                         |
|                        |                   |                      | 1994 | Head, Planning Division, National            |
|                        |                   |                      |      | Institute of Radiological Sciences, STA      |
|                        |                   |                      | 1995 | Director, Office of Completion of Fuel       |
|                        |                   |                      |      | Cycle Back End, Nuclear Fuel Division,       |
|                        |                   |                      | 1005 | Atomic Energy Bureau, STA                    |
|                        |                   |                      | 1995 | Secretary to the Minister of State for       |
|                        |                   |                      | 1000 | Science and Technology                       |
|                        |                   |                      | 1996 | Director for Planning, Personnel             |
|                        |                   |                      | 1009 | Division, Minister's Secretariat, STA        |
|                        |                   |                      | 1990 | ond Technology Delicy Burgey, STA            |
|                        |                   |                      | 1000 | Director Oversees Japanese Children          |
|                        |                   |                      | 1999 | Education Division Local Education           |
|                        |                   |                      |      | Support Bureau Ministry of Education         |
|                        |                   |                      |      | Science Sports and Culture                   |
|                        |                   |                      | 2000 | Director, Nuclear Materials Regulation       |
|                        |                   |                      | 2000 | Division, Nuclear Safety Bureau, STA         |
|                        |                   |                      | 2001 | Director. Nuclear Fuel Cvcle                 |
|                        |                   |                      |      | Regulation Division. Nuclear and             |
|                        |                   |                      |      | Industrial Safety Agency, Ministry of        |
|                        |                   |                      |      | Economy, Trade, and Industry (METI)          |
|                        |                   |                      | 2002 | Director, Frontier Research Promotion        |
|                        |                   |                      |      | Division, RIKEN                              |
|                        |                   |                      | 2003 | Director, Planning Division, RIKEN           |

|         |               |                         | 2004 | Director, Nuclear Safety Division,<br>Science and Technology Policy<br>Bureau, Ministry of Education, Culture,<br>Sports, Science, and Technology<br>(MEXT)                         |
|---------|---------------|-------------------------|------|---|
|         |               |                         | 2005 | Director for Science and Technology   |
|         |               |                         | 2007 | Policy, Cabinet Onice (CAO)<br>Deputy Director-General for Nuclear<br>Power Reactors, Nuclear and Industrial<br>Safety Agency, METI   |
|         |               |                         | 2009 | Deputy Director-General, Higher<br>Education Bureau, MEXT   |
|         |               |                         | 2011 | Deputy Director-General for Nuclear<br>Safety Commission, CAO   |
|         |               |                         | 2012 | Director-General for International<br>Affairs, MEXT; Secretary-General,<br>Japanese National Commission for<br>UNESCO; Alternate Chair, Japan-US<br>Educational Commission; Member, |
|         |               |                         |      | Higher Education Task Force,  |
|         |               |                         | 2014 | Special Advisor to the President,<br>RIKEN  |
|         |               |                         | 2015 | Executive Director, RIKEN   |
|         |               |                         | 2017 | Director-General, National Institute of<br>Science and Technology Policy, MEXT<br>(until December 2017)   |
|         |               |                         | 2018 | Executive Director, RIKEN (until March 2022)  |
|         |               |                         | 2022 | Secretary General and Vice-CEO,<br>OIST SC  |
| Auditor | Kentaro Miura | From Nov.<br>1, 2020 to | 1989 | General Affairs Agency (currently<br>Cabinet Office)  |
|         |               | Oct. 31,<br>2023        | 1996 | Cabinet Internal Affairs Office, Cabinet<br>Secretariat   |
|         |               |                         |      | Deputy Director for Research and<br>Planning, Internal Affairs Office, Prime<br>Minister's secretariat  |
|         |               |                         | 1997 | Deputy Director for General Affairs,<br>Office for Public Foundation Projects,<br>Prime Minister's Secretarist  |
|         |               |                         | 1998 | Deputy Director, Planning Division,<br>General Affairs Bureau, Okinawa  |

| 1 |  |      |  |
|---|--|------|--|
|   |  | 2000 | Development<br>Deputy Director, Statistical Planning<br>Division, Statistical Standards<br>Department, Statistics Bureau, General<br>Affairs Agency  |
|   |  | 2002 | Deputy Director, Policy Planning<br>Division, Minister's Secretariat, Ministry<br>of Internal Affairs and Communications   |
|   |  | 2004 | Director for Huma Life System, Policy<br>Planning and Coordination Division,<br>Minister's Secretariat, Ministry of<br>Economy, Trade and Industry<br>Director, Design and Human Life<br>System Office, Manufacturing<br>Industries Bureau, Ministry of<br>Economy, Trade and Industry |
|   |  | 2006 | Director for General Affairs Division<br>and Policy Evaluation and Public<br>Relations Division, Minister's<br>Secretariat, Cabinet Office   |
|   |  | 2007 | Examination Officer, Decoration  |
|   |  | 2008 | Director General for General Affairs,<br>Okinawa General Bureau, Cabinet<br>Office   |
|   |  | 2010 | Examination Officer, Secretariat of<br>Environmental Dispute Coordination<br>Commission, Ministry of Internal Affairs<br>and Communications  |
|   |  | 2012 | Minister's Secretariat, Cabinet Office<br>Director for General Affairs Division<br>and Policy Evaluation and Public<br>Relations Division, Minister's<br>Secretariat, Cabinet Office   |
|   |  | 2013 | Director for Public Relations Office,<br>Minister's Secretariat, Cabinet Office<br>Counsellor, Cabinet Public Relations<br>Office, Cabinet Secretariat<br>Office of Assistant Chief Cabinet  |
|   |  | 2015 | Director for General Affairs, Office for<br>Okinawa Affairs, Cabinet Office<br>Director for General Affairs Division,<br>Minister's Secretariat, Cabinet Office  |

|         |           |          | 2016 | Director, Secretariat of the International<br>Peace Cooperation Headquarters,<br>Cabinet Office |
|---------|-----------|----------|------|---|
|         |           |          | 2018 | Executive Research Fellow, Economic<br>and Social Research Institute, Cabinet<br>Office         |
|         |           |          |      | Deputy Director General for Policy on<br>Children and Child-Rearing                             |
|         |           |          |      | Director General, Office for Reviewing  |
|         |           |          |      | Restriction on Rights of Adult Wards,<br>Cabinet Office   |
|         |           |          |      | Deputy Director General, Policy   |
|         |           |          |      | Promotion Office, Minister's  |
|         |           |          |      | Secretariat, Cabinet Office   |
|         |           |          | 2020 | Minister's Secretariat, Cabinet Office  |
|         |           |          |      | Okinawa Development and Promotion   |
|         |           |          |      | Auditor Okinawa Institute of Science  |
|         |           |          |      | and Technology Graduate University  |
| Auditor | Yoshiyuki | From     | 1973 | Joined Okinawa Prefectural  |
|         | Uehara    | November |      | Government Staff, Department of Civil   |
|         |           | 1, 2017  |      | Engineering and Construction, Road  |
|         |           | То       |      | Construction Division   |
|         |           | Oct. 31, | 1976 | Staff, Department of Civil Engineering  |
|         |           | 2023*    |      | and Construction, Civil Engineering   |
|         |           |          |      | General Affairs Division, Okinawa   |
|         |           |          |      | Prefecture  |
|         |           |          | 1980 | Staff, Department of Planning, General<br>Affairs Division, Okinawa Prefecture                  |
|         |           |          | 1983 | Supervisor, Department of General   |
|         |           |          |      | Affairs, Regional Bureau Administration   |
|         |           |          |      | Division, Okinawa Prefecture  |
|         |           |          | 1989 | Supervisor, Department of Commerce,   |
|         |           |          |      | Industry and Labor, Industrial Site   |
|         |           |          |      | Promotion Division, Okinawa   |
|         |           |          | 1002 | Chief Superviser Department of  |
|         |           |          | 1992 | General Affairs Finance Division  |
|         |           |          |      | Okinawa Prefecture  |
|         |           |          | 1995 | Assistant Director. Department of   |
|         |           |          |      | General Affairs, Regional Bureau  |
|         |           |          |      | Administration Division, Okinawa  |
|         |           |          |      | Prefecture  |

|         |              |                  | 1996 | Deputy Councilor, Department of<br>Planning, International City Formation<br>Initiative Office, Okinawa Prefecture |
|---------|--------------|------------------|------|--|
|         |              |                  | 2000 | Councilor, Department of Planning,<br>Okinawa Prefecture   |
|         |              |                  | 2002 | Director, Department of Planning,<br>Reconstruction and Development<br>Office, Okinawa Prefecture                  |
|         |              |                  | 2004 | Director, Science and Technology<br>Promotion Division, Okinawa<br>Prefecture                                      |
|         |              |                  | 2005 | Vice Chairman, Okinawa Foundation  |
|         |              |                  | 2009 | Chairman, Moon Beach Hotels and Resorts  |
|         |              |                  | 2010 | Chairman, Okinawa Convention &<br>Visitors Bureau (~2015)  |
|         |              |                  | 2013 | Vice Governor of Okinawa   |
|         |              |                  | 2016 | Secretary of the Prefecture, Executive<br>Office of the Governor, Okinawa  |
|         |              |                  | 2017 | Prefecture   |
|         |              |                  | 2017 | Planning, Okinawa Prefecture   |
|         |              |                  |      | Auditor. Okinawa Institute of Science  |
|         |              |                  |      | and Technology Graduate University   |
|         |              |                  | 2022 | Executive Adviser, Moon Beach Hotels   |
|         |              |                  |      | and Resorts  |
| Auditor | George Clark | From<br>November | 1979 | Battelle Pacific Northwest National<br>Laboratory Basic Science Budget   |
|         |              | 1, 2020          |      | Manager, Senior Internal Auditor, etc.   |
|         |              | To<br>Oct. 24    | 2000 | Chief Financial Officer, University of   |
|         |              | 2023             |      | Financial Management Oak Ridge   |
|         |              | 2020             |      | National Laboratory USA  |
|         |              |                  |      | Director of Financial Management. Oak  |
|         |              |                  |      | Ridge National Laboratory, USA   |
|         |              |                  | 2003 | Director of Administration, Institute of   |
|         |              |                  |      | Astronomy, University of Hawaii  |
|         |              |                  | 2005 | Associate Director, National Radio   |
|         |              |                  |      | Astronomy Observatory (NRAO), USA  |
|         |              |                  | 2011 | Vice President of Operations and   |
|         |              |                  |      | Finance, Central Washington  |
|         |              |                  | 2016 | Chief Eineneiel Officer Breakhauer   |
|         |              |                  | 2016 | Uniel Financial Unicer, Brooknaven   |

| 2020 | National Laboratory, USA<br>Auditor, Okinawa Institute of Science<br>and Technology Graduate University<br>Chief Financial Officer, Stanford<br>University SLAC National Accelerator |
|------|--|
| 2021 | Laboratory, USA  |

\*Reappointed, 2<sup>nd</sup> Term \*\*Reappointed

### (2) Members of Governors

| Name          | Term     |      | Background   |
|---------------|----------|------|--|
| Cherry Murray | From     | 1973 | Bachelor of Science (Physics), Massachusetts       |
|               | May. 24, |      | Institute of Technology                            |
|               | 2017     | 1978 | Ph.D. (Physics), Massachusetts Institute of        |
|               | То       |      | Technology   |
|               | May. 23, | 2001 | Physical Sciences and Wireless Research Senior     |
|               | 2023**   |      | Vice President, Bell Laboratories, Lucent          |
|               |          |      | Technologies (until December, 2004)                |
|               |          | 2004 | Deputy Director for Science and Technology at      |
|               |          |      | Lawrence Livermore National Laboratory in          |
|               |          |      | Livermore, Calif. (until October, 2007)            |
|               |          | 2007 | Principal Associate Director for Science and       |
|               |          |      | Technology at Lawrence Livermore National          |
|               |          |      | Laboratory in Livermore, Calif. (until June, 2009) |
|               |          | 2009 | Dean, School of Engineering and Applied            |
|               |          |      | John A and Elizabeth S Armstrong Professor of      |
|               |          |      | Engineering and Applied Science, Harvard           |
|               |          |      |  |
|               |          | 2015 | John A. and Elisabeth S. Armstrong Professor of    |
|               |          | 2010 | Engineering and Applied Sciences and Professor     |
|               |          |      | of Physics, Harvard (until July 2015)              |
|               |          | 2015 | Benjamin Peirce Professor of Technology and        |
|               |          |      | Public Policy and Professor of Physics, John A.    |
|               |          |      | Paulson School of Engineering and Applied          |
|               |          |      | Science, Harvard (until June 2019)                 |
|               |          | 2015 | Director, Office of Science, U. S. Department of   |
|               |          |      | Energy (on leave for government service from       |
|               |          |      | Harvard University, until January, 2017)           |
|               |          | 2018 | Chair, Board of Governors, OIST SC                 |
|               |          | Мау  |  |

|               |               | 2019<br>2019 | Benjamin Peirce Professor of Technology and<br>Public Policy and Professor of Physics, Emerita,<br>John A. Paulson School of Engineering and<br>Applied Science, Harvard University<br>Professor of Physics, University of Arizona,<br>Science Director, Biosphere 2 |
|---------------|---------------|--------------|--|
| lames Higa    | From Jan      | 1081         | Photographer Higa Photography  |
| bullies riigu | 1 2020        | 1001         | Director Product Marketing/International   |
|               | To            | 1904         | Marketing.   |
|               | Dec 31        | 1989         | Asia, NeXT Computer  |
|               | 2025*         | 1996         | VP Consumer, VP Asia, RealNetworks   |
|               | 2020          | 2001         | Senior Director, Office of the CEO, Apple  |
|               |               | 2012         | Advisor, Innovation Advisory Board, Lawson Inc.,   |
|               |               | 2012         | Executive Director, Philanthropic Ventures<br>Foundation   |
|               |               | 2012         | Mentor in Residence, Index Ventures  |
|               |               | 2013         | Investor Director, Kano Computing  |
|               |               | 2013         | Advisor, UNIQLO  |
|               |               | 2013         | Advisor, Airbnb  |
|               |               | 2015         | Advisor, Siemens   |
|               |               | 2018         | Board of Directors, WorldCover   |
|               |               | 2019         | Member, Board of Governors, Okinawa Institute<br>of Science and Technology School Corporation  |
|               |               | 2020         | Member, Board of Governors, OIST SC  |
| Yoko Aniya    | From          | 1980         | Ph.D. (Medical Science), Kagoshima University  |
|               | Nov. 1,       | 1981         | Councilor, Japanese Society of Pharmacology  |
|               | 2014          | 1983         | Postdoctoral Fellow, University of Rochester   |
|               | to<br>Oct. 21 | 4000         | Medical Center, U.S.A.   |
|               | 2023*         | 1986         | Associate Professor, Faculty of Medicine,  |
|               |               | 1990         | Professor. Faculty of Medicine (School of Health   |
|               |               |              | Sciences, Pharmacology & Toxicology),  |
|               |               |              | University of the Ryukyus  |
|               |               | 1994         | Councilor, Japanese Society for the Study of   |
|               |               |              | Xenobiotics  |
|               |               | 1996         | Councilor, Japanese Society of Toxicology  |
|               |               | 2001         | Head, Integrated Innovation Center for   |
|               |               |              | Councilor University of the Ryukyus  |
|               |               | 2002         | Dean, School of Health Sciences, University of   |
|               |               |              | the Ryukyus  |

|               |            | 2003 | Professor, Graduate School of Medicine,                          |
|---------------|------------|------|--|
|               |            | 2007 | Professor, School of Health Sciences, Faculty of                 |
|               |            |      | Medicine, University of the Ryukyus                              |
|               |            | 2011 | Professor Emeritus, University of the Ryukyus                    |
|               |            | 2013 | Board member, Okinawa Science and Technology<br>Promotion Center |
|               |            | 2014 | Member, Board of Governors, OIST SC                              |
|               |            | Nov. | Member, Board of Councilor, OIST SC                              |
| Curtis Callan | From       | 1964 | Ph.D. (Physics), Princeton University                            |
|               | Nov. 1,    | 1968 | Member, JASON study group  |
|               | 2014       | 1969 | Long-term Member, Institute for Advanced Study                   |
|               | to         | 1972 | Professor of Physics, Princeton University                       |
|               | Oct. 31,   | 1974 | Fellow, American Physical Society                                |
|               | 2023*      | 1986 | Eugene Higgins Professor, Princeton University                   |
|               |            |      | Member, American Academy of Arts and Sciences                    |
|               |            | 1989 | Member, National Academy of Sciences                             |
|               |            | 1990 | Chair, Steering Committee, JASON study group                     |
|               |            | 1995 | James S. McDonnell Distinguished University                      |
|               |            |      | Professor, Princeton University                                  |
|               |            | 1998 | Chair, Physics Department, Princeton University                  |
|               |            | 2004 | Dirac Medal (International Center for Theoretical Physics)       |
|               |            | 2005 | Director, Princeton Center for Theoretical Science               |
|               |            | 2008 | Presidential Line, American Physical Society                     |
|               |            |      | Chair, Physics Department, Princeton University                  |
|               |            |      | Member, Board of Trustees, Institute for                         |
|               |            |      | Advanced Study   |
|               |            | 2014 | Member, Board of Governors, OIST SC                              |
|               |            | Nov. |  |
| Monte Cassim  | From Jan.  | 1970 | B.Sc. Built Environment, Faculty of Natural                      |
|               | 1, 2023 to |      | Sciences, University of Sri Lanka, Colombo                       |
|               | Dec. 31,   | 1976 | M.Eng. (Urban Engineering), Graduate School of                   |
|               | 2025       |      | Engineering, The University of Tokyo                             |
|               |            | 1976 | Lecturer and Secretary of the Committee for                      |
|               |            |      | Graduate School Establishment, University Sains                  |
|               |            |      | Malaysia   |
|               |            | 1982 | Withdrawal from the Doctoral Program with the                    |
|               |            |      | Completion of Course Requirements of Urban                       |
|               |            |      | Engineering, Graduate School of Engineering.                     |
|               |            | 1    | 5;   |

|         |              | ]    | The University of Tokyo   |
|---------|--------------|------|---|
|         |              | 1985 | Senior Research Fellow (U.N. Expert) and  |
|         |              |      | Program Coordinator, United Nations Center for  |
|         |              |      | Regional Development  |
|         |              | 1004 | Professor Ritsumeikan University  |
|         |              | 2004 | President Pitsumeikan Asia Pacific University   |
|         |              | 2004 | Member Reard of Councilors, OIST SC   |
|         |              | 2011 | Member, Board of Councilors, OIST SC  |
|         |              | 2014 |   |
|         |              | 2015 | Assistant Trustee, Ritsumeikan Trust  |
|         |              | 2017 | Member, University Council Executive Trustee,   |
|         |              |      | Vietnam-Japan University (VJU)  |
|         |              | 2018 | President, Trustee and Professor, Shizenkan   |
|         |              |      | University  |
|         |              | 2021 | President (Chair of the Board), Akita International   |
|         |              |      | University  |
|         |              | 2022 | Chair, Board of Councilors, OIST SC   |
|         |              | 2023 | Member, Board of Governors, OIST SC   |
| Rita    | From         | 1961 | Ph.D. (Oceanography), University of Washington  |
| Colwell | Nov. 1,      | 1991 | President of the University of Maryland   |
|         | 2011         |      | Biotechnology Institute   |
|         | to<br>Oct 31 | 1998 | 11th Director of the United States National   |
|         | 2023*        |      | Science Foundation (NSF)  |
|         |              |      | Co-chair of the Committee on Science of the   |
|         |              |      | National Science and Technology Council   |
|         |              | 2004 | Chairman and Senior Vice-President of Canon   |
|         |              |      | Distinguished Professor University of Maryland  |
|         |              |      | College Park  |
|         |              |      | Distinguished Professor, Johns Hopkins  |
|         |              |      | University Bloomberg School of Public Health  |
|         |              | 2006 | Senior Advisor and Chairman Emeritus, Canon,  |
|         |              |      | U.S. Life Sciences  |
|         |              |      | Science and Technology Agency   |
|         |              |      | Member President's Council University of Tokyo  |
|         |              |      |   |
|         |              | 2007 | President of the American Institute of Biological   |
|         |              | 2007 | President of the American Institute of Biological<br>Sciences   |
|         |              | 2007 | President of the American Institute of Biological<br>Sciences<br>2006 National Medal of Science, U.S.A. |

|                 |            | Nov. |   |
|-----------------|------------|------|---|
|                 |            | 2013 | President, Rosalind Franklin Society                            |
|                 |            |      | William Procter Prize for Scientific Achievement,               |
|                 |            |      | Sigma XI  |
|                 |            | 2014 | Prize Medal, Society for General Microbiology                   |
| Ben L Feringa   | From July  | 1978 | Research Scientist, Shell Netherlands & UK                      |
|                 | 1, 2021 to | 1984 | Lecturer, University of Groningen                               |
|                 | June 30    | 1988 | Professor, University of Groningen                              |
|                 | 2024       | 2004 | Jacobs H. van't Hoff Distinguished Professor of                 |
|                 | 2024       |      | Molecular Sciences, the University of Groningen                 |
|                 |            |      | (to the present)  |
|                 |            | 2004 | Foreign Honorary Member, American Academy of<br>Arts & Sciences |
|                 |            | 2006 | Member, Royal Netherlands Academy of                            |
|                 |            |      | Sciences  |
|                 |            | 2008 | Academy Professor, Royal Netherlands Academy                    |
|                 |            | 2010 | Chair Netherlands Science Foundation, Chemical                  |
|                 |            | 2010 | Division (until 2016)   |
|                 |            | 2011 | Hans Eischer Honorary Fellow Institute for                      |
|                 |            | 2011 | Advanced Studies, TU Munchen (to present)                       |
|                 |            | 2011 | Vice President, Roval Netherlands Academy of                    |
|                 |            | -    | Arts & Sciences (KNAW) (until 2016)                             |
|                 |            | 2016 | Nobel Prize in Chemistry  |
|                 |            | 2017 | Co-Director of Feringa Nobel Prize Scientist joint              |
|                 |            |      | Research Center, ECUST, Shanghai, China (to                     |
|                 |            |      | the present)  |
|                 |            | 2019 | Member, European Research Council                               |
|                 |            | 2021 | Member, Board of Governors, OIST SC                             |
| Hiroyuki Fujita | From May   | 1991 | Research Assistant, Oak Ridge National                          |
|                 | 1, 2021 to |      | Laboratory  |
|                 | 30 Apr.    | 1992 | B.A. Mathematics & Physics, Monmouth College,                   |
|                 | 2024       |      | USA   |
|                 | 2024       | 1993 | Research Assistant, Dept. of Physics Case                       |
|                 |            |      | Western Reserve University (CWRU), USA                          |
|                 |            | 1997 | Statt Scientist, Magnetic Resonance Division,                   |
|                 |            | 4000 | Picker Int., USA  |
|                 |            | 1998 | רט. Physics, Case Western Reserve University,                   |
|                 |            | 2000 | Managar Basaarah & Day 1184 Instrumenta Inc.                    |
|                 |            | 2000 |   |
|                 |            | 2001 | Adjunct Ass. Prof. Depart. of Physics. CWRU                     |
|                 |            | 2003 | Adjunct Ass. Prof. of Electrical Engineering                    |
|                 | 1          | 2000 | , ajanot 100. i 101. or Electrical Engineering,                 |

|                        |              | School of Info.Tech. & Electrical Engineering,                                  |
|------------------------|--------------|---|
|                        |              | University of Queensland, Brisbane, Australia                                   |
|                        | 2003         | 3-Tesla Segment Engineering Program Manager,<br>GE Healthcare, USAI             |
|                        | 2005         | Adjunct Ass. Prof. Radiology, CWRU  |
|                        | 2005         | Senior Research Ass. Director of MRI & Imaging<br>Physics, CWRU                 |
|                        | 2005         | Director of Engineering, GE Healthcare USAI                                     |
|                        | 2006         | Founder, President & Chief Executive Officer,                                   |
|                        | 2000         | Adjunct Full Prof. of Physics. CWPLL (to the                                    |
|                        | 2009         | present)  |
|                        | 2009         | Adjunct Full Professor School of Info. Tech. &                                  |
|                        |              | Electrical Engineering, University of Queensland,<br>Australia (to the present) |
|                        | 2011         | Adjunct Full Prof. Radiology, School of Medicine<br>CWRU (to the present)       |
|                        | 2018         | Honorary Consul of Japan, Cleveland, Ministry of                                |
|                        |              | Foreign Affairs, Government of Japan (to the                                    |
|                        |              | present)  |
|                        | 2019         | Chief Tech. Officer CT-MR Div. Canon Medical                                    |
|                        |              | Systems Corp. (CMSC), Tochigi, Japan (QED                                       |
|                        |              | became a subsidiary of Canon Inc. In 2019) (to                                  |
|                        | 2021         | Member, Board of Governors OIST SC  |
|                        | 2022         | Chairman, Canon Healthcare USA, INC.  |
| Senapathy From         | 1977         | Master's degree (Physics), Indian Institute of                                  |
| Gopalakrishnan Nov. 1, |              | Technology, Madras  |
| 2017                   | 1979         | Master's degree (Computer Science), Indian                                      |
| to<br>Oct 31           |              | Istitute of Technology, Madras  |
| 2023*                  | 2007         | Executive Officer and Managing Directory, Infosys                               |
|                        | 2011         | Vice Chairman, Infosys  |
|                        | 2014         | Chairman, Axilor Ventures   |
|                        | 2016         | Fellow, Indian National Academy of Engineers                                    |
|                        | 2016         | Honorary Fellow, Institute of Electronics and                                   |
|                        |              | Telecommunication Engineers (IETE) of India                                     |
|                        | 2017         | Member, Board of Governors, OIST SC   |
|                        | Nov.         |   |
| Serge From             | 4074         |   |
|                        | 1971         | PhD (Physics), Paris VI University  |
| Haroche Oct. 1,        | 1971<br>1975 | Professor, Paris VI University  |

|                | to             | 1984 | Part time professor, Yale University  |  |
|----------------|----------------|------|---|--|
|                | Sep. 30,       | 1991 | Member, Institut Universitaire de France  |  |
|                | 2024*          | 1994 | Chairman of the ENS Department of Physics   |  |
|                |                | 2001 | Professor, Collège de France (in the chair of quantum physics)  |  |
|                |                | 2012 | President of the Collège de France  |  |
|                |                | 2015 | Professor Emeritus, Collège de France   |  |
|                |                | 2015 | Member, Board of Governors, OIST SC   |  |
|                |                | Oct. |   |  |
| Shigeharu Kato | From           | 1980 | Bachelor of Engineering, University of Tokyo  |  |
| _              | Apr. 1,        | 1986 | Master of Public Policy, Harvard University   |  |
|                | 2022           | 1980 | Joined Science and Technology Agency (STA)  |  |
|                | to<br>Mar. 31, | 1991 | First Secretary, Permanent Delegation of Japan to the OECD  |  |
|                | 2025           | 1994 | Head, Planning Division, National Institute of Radiological Sciences, STA   |  |
|                |                | 1995 | Director, Office of Completion of Fuel Cycle Back<br>End, Nuclear Fuel Division, Atomic Energy  |  |
|                |                | 1995 | Secretary to the Minister of State for Science and<br>Technology  |  |
|                |                | 1996 | Director for Planning, Personnel Division,<br>Minister's Secretariat, STA   |  |
|                |                | 1998 | Director, Research Division, Science and<br>Technology Policy Bureau, STA   |  |
|                |                | 1999 | Director, Overseas Japanese Children Education<br>Division, Local Education Support Bureau,<br>Ministry of Education, Science, Sports and<br>Culture  |  |
|                |                |      | 2000  | Director, Nuclear Materials Regulation Division,<br>Nuclear Safety Bureau, STA |
|                |                | 2001 | Director, Nuclear Fuel Cycle Regulation Division,<br>Nuclear and Industrial Safety Agency, Ministry of<br>Economy, Trade, and Industry (METI)         |  |
|                |                | 2002 | Director, Frontier Research Promotion Division,<br>RIKEN  |  |
|                |                | 2003 | Director, Planning Division, RIKEN  |  |
|                |                | 2004 | Director, Nuclear Safety Division, Science and<br>Technology Policy Bureau, Ministry of Education,<br>Culture, Sports, Science, and Technology (MEXT) |  |

|                              |  | 2005   | Director for Science and Technology Policy  |
|------------------------------|--|--|---|
|                              |  | 2003   | Cabinet Office (CAO)  |
|                              |  | 2007   | Deputy Director-General for Nuclear Power   |
|                              |  |  | Reactors, Nuclear and Industrial Safety Agency,   |
|                              |  |  | METI  |
|                              |  | 2009   | Deputy Director-General, Higher Education<br>Bureau, MEXT   |
|                              |  | 2011   | Deputy Director-General for Nuclear Safety<br>Commission, CAO   |
|                              |  | 2012   | Director-General for International Affairs, MEXT;   |
|                              |  |  | Secretary-General, Japanese National  |
|                              |  |  | Commission for UNESCO; Alternate Chair,   |
|                              |  |  | Japan-US Educational Commission; Member,  |
|                              |  | 0014   | Higher Education Task Force, CALCON   |
|                              |  | 2014   |   |
|                              |  | 2015   |   |
|                              |  | 2017   | Director-General, National Institute of Science   |
|                              |  |  |   |
|                              |  | 2018   | Executive Director, RIKEN (until March 2022)  |
|                              |  | 2022   | Secretary General and Vice-CEO, OIST SC   |
|                              |  |  |   |
| Jesper Koll                  | From   | 1989   | Managing Director & Chief Economist, SG   |
| Jesper Koll                  | From<br>Jul. 1,  | 1989   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan   |
| Jesper Koll                  | From<br>Jul. 1,<br>2020  | 1989<br>1994   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan   |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to  | 1989<br>1994   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities   |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30                                     | 1989<br>1994<br>1997   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.  |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill  |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer Tantallon Research  |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan  |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008<br>2009   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,  |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008<br>2009   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities  |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities<br>Chief Executive Officer, WisdomTree Japan Inc   |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015<br>2019   | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities<br>Chief Executive Officer, WisdomTree Japan Inc<br>Senior Advisor, WisdomTree Investments Inc.  |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015<br>2019<br>2019                                 | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities<br>Chief Executive Officer, WisdomTree Japan Inc<br>Senior Advisor, WisdomTree Investments Inc.<br>Managing Director, Octave Japan Co. Ltd   |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015<br>2019<br>2019                                 | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities<br>Chief Executive Officer, WisdomTree Japan Inc<br>Senior Advisor, WisdomTree Investments Inc.<br>Managing Director, Octave Japan Co. Ltd<br>Advisor, MizMaa Ventures   |
| Jesper Koll                  | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023                            | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015<br>2019<br>2019                                 | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities<br>Chief Executive Officer, WisdomTree Japan Inc<br>Senior Advisor, WisdomTree Investments Inc.<br>Managing Director, Octave Japan Co. Ltd<br>Advisor, MizMaa Ventures<br>Senior Global Advisor, Japan Catalyst Inc.   |
| Jesper Koll<br>Motoko Kotani | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023<br>From                    | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015<br>2019<br>2019<br>2019                         | <ul> <li>Managing Director &amp; Chief Economist, SG</li> <li>Warburg Securities Japan</li> <li>Managing Director &amp; Chief Economist, JP Morgan</li> <li>Japan Securities</li> <li>Managing Director, Tiger Fund Investment Mgt.</li> <li>Managing Director &amp; Chief Economist, Merrill</li> <li>Lynch Japan Securities</li> <li>Chief Executive Officer, Tantallon Research</li> <li>Japan</li> <li>Managing Director &amp; Head of Equity Research,</li> <li>JP Morgan Japan Securities</li> <li>Chief Executive Officer, WisdomTree Japan Inc</li> <li>Senior Advisor, WisdomTree Investments Inc.</li> <li>Managing Director, Octave Japan Co. Ltd</li> <li>Advisor, MizMaa Ventures</li> <li>Senior Global Advisor, Japan Catalyst Inc.</li> <li>PhD (Science), Tokyo Metropolitan University</li> </ul> |
| Jesper Koll<br>Motoko Kotani | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023<br>From<br>Nov. 1,         | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015<br>2019<br>2019<br>2019<br>2019                 | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities<br>Chief Executive Officer, WisdomTree Japan Inc<br>Senior Advisor, WisdomTree Investments Inc.<br>Managing Director, Octave Japan Co. Ltd<br>Advisor, MizMaa Ventures<br>Senior Global Advisor, Japan Catalyst Inc.<br>PhD (Science), Tokyo Metropolitan University<br>Visiting Researcher, Max-Planck Society for the  |
| Jesper Koll<br>Motoko Kotani | From<br>Jul. 1,<br>2020<br>to<br>Jun. 30,<br>2023<br>From<br>Nov. 1,<br>2014 | 1989<br>1994<br>1997<br>1999<br>2008<br>2009<br>2015<br>2019<br>2019<br>2019<br>2019<br>1990<br>1993 | Managing Director & Chief Economist, SG<br>Warburg Securities Japan<br>Managing Director & Chief Economist, JP Morgan<br>Japan Securities<br>Managing Director, Tiger Fund Investment Mgt.<br>Managing Director & Chief Economist, Merrill<br>Lynch Japan Securities<br>Chief Executive Officer, Tantallon Research<br>Japan<br>Managing Director & Head of Equity Research,<br>JP Morgan Japan Securities<br>Chief Executive Officer, WisdomTree Japan Inc<br>Senior Advisor, WisdomTree Investments Inc.<br>Managing Director, Octave Japan Co. Ltd<br>Advisor, MizMaa Ventures<br>Senior Global Advisor, Japan Catalyst Inc.<br>PhD (Science), Tokyo Metropolitan University<br>Visiting Researcher, Max-Planck Society for the<br>Advancement of Science  |

|               | Oct. 31,          |        | Faculty of Science, Toho University                                    |
|---------------|-------------------|--------|--|
|               | 2023*             | 1999   | Associate Professor, Mathematics Institute,                            |
|               |                   |        | Graduate School of Science, Tohoku University                          |
|               |                   | 2001   | Visiting Professor, Institute of Advanced Scientific                   |
|               |                   |        | Studies (IHES)   |
|               |                   | 2004   | Professor, Mathematics Institute, Graduate                             |
|               |                   | 2000   | School of Science, Tonoku University                                   |
|               |                   | 2006   | Graduate School of Science, Toboku University                          |
|               |                   | 2011   | Deputy Director Professor WPI-AIMR Toboku                              |
|               |                   | 2011   | University   |
|               |                   | 2012   | Director, WPI-AIMR, Tohoku University                                  |
|               |                   | 2014   | Executive Member, Council for Science and                              |
|               |                   |        | Technology Policy Cabinet Office, JAPAN                                |
|               |                   | 2014   | Member, Board of Governors, OIST SC                                    |
|               |                   | Nov.   |  |
|               |                   | 2020   | Executive Vice President for Research, Tohoku                          |
|               |                   | April. | University (-present)  |
|               |                   | 2021   | President-elect, International Science Council                         |
| VijayRaghavan | From              | 1983   | Ph.D. (Molecular Biology), Tata Institute of                           |
| Krishnaswamy  | Nov. 1,           |        | Fundamental Research, Mumbai, India                                    |
|               | 2011              | 1984   | Research Fellow at California Institute of                             |
|               | to                |        | Technology, U.S.A.   |
|               | Oct. 31,<br>2023* | 1986   | Senior Research Fellow at California Institute of<br>Technology, U.S.A |
|               |                   | 1988   | Joined National Centre for Biological Sciences.                        |
|               |                   | 1900   | Tata Institute of Fundamental Research,                                |
|               |                   |        | Bangalore, India   |
|               |                   | 1998   | Senior Professor and Director, National Centre for                     |
|               |                   |        | Biological Sciences, Tata Institute of Fundamental                     |
|               |                   |        | Research, Bangalore, India   |
|               |                   | 2005   | Member, Science Advisory Council to the Prime                          |
|               |                   |        | Minister of India  |
|               |                   | 2009   | Member, Janelia Farm Research Campus, HHMI,                            |
|               |                   | 2011   | Advisory Committee   |
|               |                   | 2011   | Member, board of Governors, OIST SC                                    |
|               |                   | NOV.   | Follow of the Devel Society  |
|               |                   | 2012   |  |
|               |                   | 2013   | Distinguished Professor, National Centre for                           |
|               |                   |        | Research Bangalore India   |
|               |                   |        | Secretary Department of Biotechnology                                  |
|               | l                 | J      | bootening, boparation of bioteonitology,                               |

|              |                          |      | Government of India   |
|--------------|--------------------------|------|---|
|              |                          | 2018 | Principal Scientific Advisor, the Government of   |
|              |                          |      | India   |
| Ryoji Noyori | From May                 | 1961 | B.A., Kyoto University  |
|              | 1, 2018                  | 1963 | M.A., Kyoto University  |
|              | to                       | 1967 | Ph.D. (Engineering), Kyoto University   |
|              | Apr. 30,                 | 1963 | Instructor, Kyoto University (until 1968)   |
|              | 2024*                    | 1968 | Associate Professor, Nagoya University (until 1972)   |
|              |                          | 1969 | Postdoctoral Fellow, Harvard University   |
|              |                          | 1972 | Professor, Nagoya University (until 2003)   |
|              |                          | 1997 | Dean, Graduate School of Science, Nagoya<br>University (until 1999)   |
|              |                          | 2001 | Nobel Prize in Chemistry  |
|              |                          | 2002 | President, The Chemical Society of Japan (until 2003)   |
|              |                          | 2003 | University Professor, Nagoya University (-<br>present)  |
|              |                          | 2003 | President, RIKEN (until March, 2015)  |
|              |                          | 2005 | Chair, Science and Technology Council, Ministry<br>of Education, Culture, Sports, Science and<br>Technology (until 2015)          |
|              |                          | 2006 | Chair, Education Rebuilding Council (until 2008)  |
|              |                          | 2015 | Fellow, RIKEN (-present)  |
|              |                          | 2015 | Director-General, Center for Research and<br>Development Strategy (CRDS), Japan Science<br>and Technology Agency (JST) (-present) |
|              |                          | 2015 | Director, Science Museum, Japan Science<br>Foundation   |
|              |                          | 2018 | Member, Board of Governors, OIST SC   |
|              |                          | May. |   |
| Peter Gruss  | From Jan.<br>1, 2017, to | 1977 | Ph.D. in Molecular Biology, University of<br>Heidelberg   |
|              | Dec. 31,<br>2022*        | 1980 | Expert Consultant/Visiting Scientist, NIH,<br>Bethesda  |
|              |                          | 1982 | Associate Professor of Microbiology, University of Heidelberg   |
|              |                          | 1983 | Member, Directorate of the Center for Molecular<br>Biology University of Heidelberg   |
|              |                          | 1986 | Director, Max Planck Institute for Biophysical<br>Chemistry, Department of Molecular Cell Biology                                 |

|                 |                        | 1990     | Honorary Professor, University of Göttingen  |
|-----------------|------------------------|----------|--|
|                 |                        | 1997     | Managing Director, Max Planck Institute for  |
|                 |                        |          | Biophysical Chemistry  |
|                 |                        | 2002     | President, Max Planck Society  |
|                 |                        | 2017     | CEO, OIST SC President of OIST Graduate  |
| Albracht Wagnar | From                   | 4074     | University   |
| Albrecht wagner | Oct 1                  | 1971     |  |
|                 | 2015                   | 1984     | Professor, University of Heidelberg  |
|                 | То                     | 1991     | Professor, University of Hamburg   |
|                 | Sep. 30,               | 4000     | Director of Research, DESY   |
|                 | 2024*                  | 1999     | Chairman, DEST Board of Directors  |
|                 |                        | 2005     | Chairman, TESLA Technology Collaboration<br>Board  |
|                 |                        | 2006     | Chairman, International Committee for Future<br>Accelerators (ICFA)  |
|                 |                        | 2007     | Vice President of the Helmholtz Foundation   |
|                 |                        | 2008     | Chairman, Council of Hamburg University  |
|                 |                        | 2010     | Member, Board of the Joachim Herz Foundation   |
|                 |                        | 2011     | Member, Board of Councilors, OIST SC   |
|                 |                        | 2015     | Acting CEO & Acting President, OIST SC   |
|                 |                        | Oct.     |  |
|                 |                        | 2022 Dec | Acting CEO & Acting President, OIST SC   |
| Akira Yoshino   | From                   | 1972     | Entered Asahi Kasei Corp.  |
|                 | Nov. 1,                | 1982     | Kawasaki Laboratory, Asahi Kasei Corp.   |
|                 | 2020<br>To<br>Oct. 31, | 1992     | Manager, Product Development Group, Ion<br>Battery Business Promotion Dept., Asahi Kasei<br>Corp.  |
|                 | 2023                   | 1994     | Manager, Technical Development, A&T Battery Corp.  |
|                 |                        | 1997     | Manager, Rechargeable Ion Battery Group, Asahi<br>Kasei Corp. May 2001 Manager, Battery Materials<br>Business Development Dept., Asahi Kasei Corp. |
|                 |                        | 2003     | Fellow, Asahi Kasei Corp.  |
|                 |                        | 2005     | General Manager, Yoshino Laboratory, Asahi<br>Kasei Corp.  |
|                 |                        | 2010     | President, Lithium Ion Battery Technology and Evaluation Center (LIBTEC) (to the present)  |
|                 |                        | 2015     | Advisor, Asahi Kasei Corp.   |
|                 |                        | 2017     | Professor, Graduate School of Science and<br>Technology, Meijo University (to the present)   |

| 2017 | Honorary Fellow, Asahi Kasei Corp.                |
|------|---|
| 2018 | Visiting Professor, Research and Education        |
|      | Center for Green Technologies, Kyushu             |
|      | University (to the present)                       |
| 2019 | Nobel Prize in Chemistry                          |
|      | Distinguished Professor, Kyushu University (to    |
|      | the present)                                      |
| 2020 | Fellow, National Institute of Advanced Industrial |
|      | Science and Technology (AIST) (to the present)    |
| 2020 | Director, Global Zero Emission Research Center,   |
|      | Department of Energy and Environment (to the      |
|      | present)  |
| 2020 | Distinguished Professor, Meijo University (to the |
|      | present)  |
|      | Member, Board of Councilors, OIST SC              |

\*Reappointed, 2<sup>nd</sup> Term \*\*Reappointed

## (3) Members of Councilors

| Name             | Term               | Position  |
|------------------|--------------------|---|
| *Monte Cassim    | From Nov. 1, 2011  | Chair of the Board of Trustees, President, Akita  |
|                  | To Oct. 31, 2023*  | International University                          |
| Kenji Govaers    | From Nov. 1, 2020  | Senior Partner, Bain & Company, (Tokyo Office)    |
|                  | To Oct. 31, 2023   |   |
| Yuichiro Anzai   | From Nov. 1, 2020  | Senior Advisor of Japan Society for the Promotion |
|                  | To Oct. 31, 2023   | of Science (JSPS)                                 |
| Mary Collins     | From Feb. 18, 2016 | Provost, OIST                                     |
|                  | To Sep. 30, 2022*  |   |
| Erik De Schutter | From Sep. 1, 2018  | Chair of Faculty Assembly, OIST                   |
|                  | To Sep. 30, 2022*  |   |
| Ralph Eichler    | From Nov. 1, 2014  | Former President, EHT Zurich                      |
|                  | To Oct. 31, 2023*  |   |
| Miki Fuchibe     | From Nov. 1, 2020  | Chairman, Okinawa Association of Corporate        |
|                  | To Oct. 31, 2023   | Executives  |
| Frederick Gilman | From Nov. 1, 2011  | Buhl Professor of Theoretical Physics,            |
|                  | To Oct. 31, 2023*  | Department of Physics, Carnegie Mellon            |
|                  |                    | University  |
|                  |                    | Director, McWilliams Center for Cosmology         |
| Gil Granot-Mayer | From Jun. 1, 2021  | Executive Vice President for Technology           |

|                    | To May 31, 2024    | Development & Innovation, OIST                   |
|--------------------|--------------------|--|
| Isaku Higa         | From April 1, 2021 | Vice President for Financial Management, OIST    |
|                    | To March 31, 2024  |  |
| Yoshikazu Higashi  | From May 1, 2020   | Chairman and CEO of Okinawa Tourist Service      |
|                    | To Apr. 30, 2023   |  |
| Ryo Hirasawa       | From Nov. 1, 2011  | Chief Director, Institute for Future Engineering |
|                    | To Oct. 31, 2023*  | Professor Emeritus, University of Tokyo          |
|                    |                    | Member, Administrative Council, Japan Advanced   |
|                    |                    | Institute of Science and Technology              |
| Keith Hodgson      | From Nov. 1, 2014  | Chairperson, Department of Chemistry, Stanford   |
|                    | To Oct. 31, 2023*  | University                                       |
| Daisuke Kan        | From Nov. 1, 2020  | President, Cheerio Corporation                   |
|                    | To Oct. 31, 2023   |  |
| Yoshihisa Kawakami | From Jan. 4, 2018  | Chair, Okinawa Development Finance Corporation   |
|                    | To Jan. 3, 2024*   |  |
| Tatsuo Kawasaki    | From Jul. 1, 2020  | Co-Founder, Partner & Representative Director,   |
|                    | To Jun. 30, 2023   | Unison Capital Inc.                              |
| Nasser Kazeminy    | From Nov. 1, 2017  | Chairman of the National Ethnic Coalition of     |
|                    | To Oct. 31,2023*   | Organizations (NECO)                             |
| *Jesper Koll       | From Mar. 1, 2021  | Senior Advisor, Wisdom Tree Investment Inc.      |
|                    | To Feb. 29, 2024   |  |
| Sachiko Kuno       | From May 1, 2020   | President and CEO of S&R Foundation              |
|                    | To Apr. 30, 2023   |  |
| Yumi Kuwana        | From Nov. 1, 2020  | Founder & President, GCI Inc. (Global Citizens   |
|                    | To Oct. 31, 2023   | Initiative)                                      |
| Christine Luscombe | From Sep. 1, 2023  | Chair of Faculty Assembly, OIST                  |
|                    | to Aug. 31, 2025   |  |
| Izuru Maeda        | From Jun.1, 2023   | Vice President for Human Resources, OIST         |
|                    | to May 31, 2025    |  |
| Faisal Mahmood     | From Nov. 1, 2020  | Assistant Professor, Pathology, Harvard Medical  |
|                    | To Oct. 31, 2023   | School   |
| Masaki Masudo      | From Jan. 1, 2018  | Member, Okinawa Promotion Council, Cabinet       |
|                    | To Dec. 31, 2023*  | Office, Government of Japan                      |
|                    |                    | Special Advisor, Uipath K.K.                     |
| Ryo Matsumoto      | From Nov. 1, 2011  | Professor Emeritus, University of Tokyo          |
|                    | To Oct. 31, 2023*  | Professor, Organization for the Strategic        |

|                  |                    | Coordination of Research and Intellectual         |
|------------------|--------------------|---|
|                  |                    | Properties, Meiji University                      |
| Yoshimi Nagahama | From Feb. 19, 2015 | Mayor, Onna Village                               |
|                  | To Oct. 31, 2023*  |   |
| Mitsuru Omine    | From Jul.1, 2020   | Chairman & CEO, Okinawa Electric Power            |
|                  | To Jun. 30, 2023   | Company   |
| Ken Peach        | From Nov. 1, 2011  | Professor Emeritus, the Particle Therapy Cancer   |
|                  | To Oct. 31, 2023*  | Research Institute, Oxford                        |
| Milind Purohit   | From Apr. 1, 2018  | Dean of Faculty Affairs, OIST                     |
|                  | To Sep. 30, 2022*  |   |
| Jenifer Rogers   | From Nov. 1, 2020  | General Counsel Asia, Asurion Japan Holding       |
|                  | To Oct. 31, 2023   | G.K.  |
| Scott Rudisel    | From April 1, 2021 | Vice President for Building & Facilities          |
|                  | To March 31, 2024  | Management, OIST                                  |
| Amy Shen         | From Oct. 1, 2023  | Provost, OIST                                     |
|                  | to Sep. 30, 2023   |   |
| Katsuhiko Shirai | From Nov. 1, 2011  | Honorary Advisor of Waseda University             |
|                  | To Oct. 31, 2023*  | Former President, The Open University of Japan    |
|                  |                    | Former President, Waseda University               |
|                  |                    | Former Chairman of Okinawa Development            |
|                  |                    | Council   |
| Ulf Skoglund     | From Apr. 1, 2018  | Dean of Graduate School, OIST                     |
|                  | To Oct. 31, 2023*  |   |
| Nobuaki Tanaka   | From Nov. 1, 2014  | Former Undersecretary General at the UN           |
|                  | To Oct. 31, 2023*  | Headquarters                                      |
|                  |                    | CEO, GaiaContact                                  |
| Yoshimi Teruya   | From Apr. 1, 2023  | Vice-Governor, Okinawa Prefectural Government     |
|                  | to Mar. 31, 2025   |   |
| Hideo Yamasaki   | From Nov. 1, 2014  | Dean of the Faculty of Science, University of the |
|                  | To Oct. 31, 2023*  | Ryukyus   |
| Heather Young    | From Jun. 1, 2021  | Vice President for Communication & Public         |
|                  | To May 31, 2024    | Relations, OIST                                   |
| Jürgen Zöllner   | From May 24, 2017  | Executive Board, Stiftung Charité                 |
|                  | To May 23, 2023*   |   |

[Name] \*2 persons are also governors.

[Term] \*Reappointed, Second Term

\*\*Reappointed

II. Status of business implementation See the attachment "FY2022 Performance Report." <Translation>

Fiscal Year 2022 Performance Report

 From:
 April 1, 2022

 To:
 March 31, 2023

Okinawa Institute of Science and Technology School Corporation

|             |         |                        | Self- |
|-------------|---------|------------------------|-------|
| FY2022 Plan | Metrics | Achievements in FY2022 | evalu |
|             |         |                        | ation |

As of March 31, 2023

| Chapter. 1 E | ducation and Research   |  |   |   |
|--------------|---|--|---|---|
| 1.1          | Taking full advantage of world-class science faculty and  |  |   |   |
| Ph.D.        | facilities, OIST will provide an exceptional academic program   |  |   |   |
| Program      | and research training for students.   |  |   | A |
| Goal (1)     |   |  |   |   |
| 1.1          | (Research Training)   | • Number of  | (Research Training)   |   |
| Ph.D.        | 1101 OIST will facilitate students to receive academic and  | publications by students   | 1101 Graduate School continues to provide excellent support   |   |
| Program      | research training in front-line sciences for their PhD by   |  | across the academic program and continues to revise and   |   |
| Action (1)   | providing operational support for academic success including  | • Number of external   | improve its facilitation.   |   |
|              | courses, mentoring, research supervision and examinations.  | scholarships, etc. our   |   |   |
|              | 1102 OIST will make available to its students external,   | students receive   | 1102 Graduate School continues to provide students access to  |   |
|              | world-leading researchers and examiners to improve research<br>opportunities and outcomes from our PhD program.   | • Number of<br>examinations for<br>proposal and thesis   | world-leading researchers and examiners, accommodating the<br>required move to an online format seamlessly. A dedicated room<br>for this with state-or-the-art technology is close to construction.   |   |
|              | 1103 OIST will ensure students are provided with adequate<br>and appropriate research facilities and equipment and IT<br>support.   | <ul> <li>Number of graduates</li> <li>Average number of<br/>students per faculty<br/>member</li> </ul> | 1103 OIST continues to provide teaching labs stocked with<br>appropriate consumables and equipment and provides students<br>with individual laptops and access to IT support. Further, GS<br>supports suitable access to research resources to complete thesis<br>research.     |   |
|              | (Curriculum)<br>1104 OIST aims to increase the selection of courses available<br>to students, including co-curricular instruction, in order to<br>broaden the depth and breadth of the OIST curriculum. | • Number of electives,<br>independent study and<br>special topics courses<br>offered                   | (Curriculum)<br>1104 65 electives were offered, and of these, 8 were new<br>courses. Additionally, students completed 13 independent study<br>courses and 17 external courses for credit via online delivery,<br>thus expanding the curricular offerings. In addition, six non- |   |

| FY2022 Plan   | Metrics                 | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|-------------------------|---|-------------------------|
|   |                         | credit mini courses on topics including experimental realization  |                         |
|   | • Number of co-         | through analog electronics and comparative genomics saw a         |                         |
|   | curricular courses      | total of 77 student enrollments. Free access to online learning   |                         |
|   | offered (nano-courses,  | platform continued to be provided for all students in FY2022.     |                         |
|   | professional and career |   |                         |
| 1105 OIST aims to develop and support diversity of course   | development activities) | 1105 In 2022 OIST was able to return to offering primarily in     |                         |
| delivery, including online.                                 |                         | person instruction, appropriate given our fully onsite student    |                         |
|   | • Number of credits     | body. Students also have access to online courses provided by     |                         |
|   | earned through external | external vendors (i.e. Coursera, EdX and others) for credit in    |                         |
|   | courses                 | areas that OIST does not offer instruction. OIST has launched     |                         |
|   |                         | an initiative to develop an online certificate program in quantum |                         |
|   | Number of Research      | technology.   |                         |
|   | Interns                 |   |                         |
| 1106 OIST aims to continue the process of curriculum        |                         | 1106 A project to review and revise all course descriptions was   |                         |
| review to provide the most appropriate courses at the most  |                         | launched to clarify course content and prerequisites and to       |                         |
| appropriate time to students and improve efficiency of      |                         | ensure course description aligns with taught content and          |                         |
| education.  |                         | assessments. When faculty propose new courses, there is a         |                         |
|   |                         | process to ensure that course content does not duplicate nor      |                         |
|   |                         | leave gaps in the curriculum.                                     |                         |
|   |                         |   |                         |
| 1107 OIST will develop pedagogical resources and improve    |                         | 110/ A website of resources on teaching and pedagogy for          |                         |
| teaching and learning approaches, leading to higher quality |                         | OIST faculty is regularly maintained and faculty are able to      |                         |
| learning experiences for students.                          |                         | consult one-on-one regarding teaching questions and concerns;     |                         |
|   |                         | Curriculum and Programs section consulted with 11 faculty in      |                         |
|   |                         | this way in 2022. GS worked with Center for Professional          |                         |
|   |                         | Development & Inclusive Excellence (C-Hub) on developing          |                         |
|   |                         | the Individual Development Plan (IDP) for students and            |                         |
|   |                         | supporting faculty with resources on mentoring students on their  |                         |

|                                       | FY2022 Plan   | Metrics   | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---------------------------------------|---|---|--|-------------------------|
|                                       |   |   | IDPs.  |                         |
|                                       | <ul><li>(Research Internship)</li><li>1108 OIST will provide a Research Internship program available to talented students with excellent research potential.</li></ul>  |   | (Research Internship)<br>1108 Graduate School continues to provide its research<br>internship program. After the COVID-19 restriction to enter<br>Japan was lifted, more Research Interns were able to come to<br>OIST.  |                         |
| 1.1<br>Ph.D.<br>Program<br>Goal (2)   | Understanding the unique needs of each student, OIST will<br>provide them comprehensive support toward academic success<br>and professional and personal development to impact their life<br>broadly.   |   |  |                         |
| 1.1<br>Ph.D.<br>Program<br>Action (2) | <ul> <li>(Student Support)</li> <li>1109 OIST will provide a full range of student-centered and flexible support to students in the areas of relocation, travel, housing, language assistance, emergency care, and financial assistance so that they can concentrate on their study.</li> </ul> | Number and nature of<br>professional and career<br>development activities     PhD student retention | (Student Support)<br>1109 Graduate School supplied smooth relocation and travel<br>support after the change to border control measures through the<br>timely adjustment of rules and procedures and providing clear<br>instruction to students. Graduate School also continued to        |                         |
|                                       |   | rate, time to graduation  | provide adequate support to students in demanding situations<br>through individual consultation, proxy application, language<br>support, shopping support and coordination with other parties,<br>as necessary.  |                         |
|                                       | 1110 OIST will provide comprehensive support to provide a<br>safe and healthy environment for students, including<br>proactively reaching out to students for early problem<br>detection, increasing communication with students, and<br>seamless coordination of support across campus.        |   | 1110 Graduate School provided adequate support to students<br>in need through understanding their needs through consultation,<br>advocating on their behalf in communication with other parties<br>as necessary, and supplying plans for solution and needed<br>support, etc., promptly. |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|---------|---|-------------------------|
| (Professional and Career Development)                           |         | (Professional Career Development)                                   |                         |
| 1111 OIST will provide a Professional and Career                |         | 1111 Graduate School continued to provide career                    |                         |
| Development Program to prepare students for a wide range of     |         | development opportunities for students such as virtual career       |                         |
| career opportunities.   |         | events, company information sessions, teaching at local             |                         |
|   |         | universities, scientific writing online instruction, grant writing, |                         |
|   |         | visual communication workshops and interacting with alumni.         |                         |
|   |         | There were 31 Graduate School sponsored events of this kind         |                         |
|   |         | and 23 events sponsored by other OIST divisions or external         |                         |
|   |         | organizations with which OIST collaborates.                         |                         |
| 1112 The Professional and Career Development Program of         |         | 1112 The Graduate School Professional Development                   |                         |
| Graduate School will collaborate to be part of a broader        |         | program promoted professional development opportunities with        |                         |
| network of professional development OIST-wide for the           |         | other OIST units with Ganjuu Center (Resilience and Respectful      |                         |
| betterment of its staff, students, and ultimately Okinawa and   |         | Workplace) C-Hub (Pedagogy Certificate, Inclusive Leadership        |                         |
| Japan.  |         | Conference, Japan-US Science Communication and Policy               |                         |
|   |         | Fellowship, among others), and TDIC (Entrepreneurship).             |                         |
| 1113 To empower students' effectiveness as early-career         |         | 1113 Graduate School continued to provide diverse                   |                         |
| scientists, OIST will support diverse networking opportunities  |         | networking opportunities for students despite the challenges        |                         |
| such as conferences, workshops, visits to other institutions,   |         | presented by COVID-19 including 3 Alumni Talk sessions, and         |                         |
| career events, and inviting external speakers to OIST.          |         | 8 company information sessions, and a range of external             |                         |
|   |         | speakers from various industries who meet with students in          |                         |
|   |         | small group settings allowing for networking.                       |                         |
| 1114 OIST will seek available funding opportunities for         |         | 1114 To support student success in receiving grant support.         |                         |
| students and support the entire application process by holding  |         | Graduate School offered a JSPS information round table and a        |                         |
| information, grant writing and interview practice sessions, and |         | grant application writing workshop. Graduate School also            |                         |
| providing clear guidance on the applications.                   |         | assisted students in applying for Kuma Foundation Creators          |                         |

| FY2                  | 022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|----------------------|--|---------|---|-------------------------|
|                      |  |         | Scholarship which resulted in one OIST student award.             |                         |
|                      |  |         | Scholarships, etc.  |                         |
| 1115 OIST will p     | provide relevant skills training to all students |         | 1115 The Graduate School provides opportunities for students      |                         |
| to translate discov  | very into impact and contribute to society       |         | to learn skills to translate discovery into impact on society     |                         |
| within Japan and b   | eyond.   |         | through training within the Professional and Career               |                         |
|                      |  |         | Development program (and, in particular, through the Group        |                         |
|                      |  |         | Project, which required engagement with the local community)      |                         |
|                      |  |         | and through specific skills training in mini courses at OIST,     |                         |
|                      |  |         | including visual communication and filmmaking for scientists.     |                         |
| 1116 OIST will       | provide relevant training to help students       |         | 1116 The Graduate School offered online program for               |                         |
| develop and refine   | intellectual skills such as communication.       |         | scientific writing and publishing to all students. Workshops on   |                         |
|                      |  |         | visual communication and grant writing were also conducted for    |                         |
|                      |  |         | a total of 36 students served.                                    |                         |
| 1117 OIST will p     | provide opportunities for students to interact   |         | 1117 Due to COVID-19, opportunities for interactions were         |                         |
| with other universit | ties in Okinawa and Japan including student      |         | limited, but 10 OIST students lectured at local Okinawa           |                         |
| exchange, network    | ing and teaching.                                |         | universities. Through OIST's membership in the Consortium for     |                         |
|                      |  |         | Career Development for PhD (CCDP), students had 21                |                         |
|                      |  |         | opportunities to participate in remote professional and career    |                         |
|                      |  |         | development seminars and workshops with students from other       |                         |
|                      |  |         | Japanese universities. Also, students had an opportunity to build |                         |
|                      |  |         | network with OIST alumni through a 3-day Alumni Workshop.         |                         |
|                      |  |         | 8 Company information sessions held in this fiscal year           |                         |
|                      |  |         | provided additional chances for the students to expand their      |                         |
|                      |  |         | professional networks.  |                         |

|             | FY2022 Plan  | Metrics                  | Achievements in FY2022  | Self-<br>evalu<br>ation |
|-------------|--|--------------------------|---|-------------------------|
|             |  |                          | Attachment 1. 1-2 FY2022 List of Agreements with Other            |                         |
|             |  |                          | Universities  |                         |
| 1.1         | To enrich the mutual benefits between OIST and Okinawa,        |                          |   |                         |
| Ph.D.       | Japan, and the World, OIST will further develop                |                          |   |                         |
| Program     | communication and collaborations with other educational        |                          |   |                         |
| Goal (3)    | institutions and communities.                                  |                          |   |                         |
| 1.1         | (Student Collaboration)  | • Number of              | (Student Collaboration)   |                         |
| Ph.D.       | 1118 OIST will maintain and enhance collaborative              | applications for the PhD | 1118 After the COVID-19 restriction to enter Japan was lifted,    |                         |
| Program     | relationships with other universities by developing agreements | program (Japanese and    | the number of OIST Special Students (including Research           |                         |
| Actions (3) | concerning Research Interns, Special Research Students, co-    | International)           | Interns and Special Research Students) has increased this year,   |                         |
|             | supervision, study-leave, and other exchange opportunities.    |                          | and OIST PhD Students became more able to undertake co-           |                         |
|             |  | • Number of admitted     | supervision and external study and research. Therefore,           |                         |
|             |  | PhD students (Japanese   | Graduate School was able to maintain collaborative                |                         |
|             |  | and International)       | relationships with other universities and enhanced the            |                         |
|             |  |                          | relationship more by concluding new agreements to send and        |                         |
|             |  | • Number of              | accept students from/to OIST.                                     |                         |
|             |  | applications for the RI  |   |                         |
|             | 1119 OIST will hold events and workshops to provide            | program                  | 1119 As a way to reach out to more students in Okinawa,           |                         |
|             | students in Okinawa and those of Okinawan ancestry             |                          | Graduate School continued to carry out various educational        |                         |
|             | opportunities to get to know OIST and/or apply to the PhD and  | • Number of admitted     | programs to interact with high school students in Okinawa and     |                         |
|             | Research Internship Programs.                                  | RI students              | provided information about OIST programs such as the              |                         |
|             |  |                          | Research Internship and PhD program. In FY2022, the team          |                         |
|             |  | • Number of              | visited four STEM-focused high schools in Okinawa where they      |                         |
|             |  | applications for the RI- | introduced different research activities conducted at OIST, while |                         |
|             |  | PhD Pathway program      | also hosting three hands-on science workshops.                    |                         |
|             |  |                          |   |                         |

| FY2022 Plan  | Metrics  | Achievements in FY2022   | Self-<br>evalu<br>ation |
|--|--|--|-------------------------|
| 1120 OIST will improve integration of its student activities<br>into the Okinawan environment and community to strengthen<br>each student's ties with Okinawa and to enrich benefit sharing<br>with Okinawa.               | <ul> <li>Number admitted<br/>through RI-PhD</li> <li>Pathway program</li> <li>Number and list of<br/>agreements with other<br/>universities for</li> </ul> | 1120 Graduate School provided opportunities for students to<br>engage with the Okinawan community through the PCD Group<br>Project (which has a required local community component),<br>through required Okinawa 101 sessions, and through the<br>Welcome to Okinawa orientation session. Students also had<br>opportunities to collaborate with Onna-son and Okinawa<br>Prefecture through SDG initiatives. |                         |
| (Student Recruitment)<br>1121 OIST will continue to diversify student recruitment<br>activities to attract a diverse group of world-class students for<br>PhD program and Research Intern program.                         | situations   | (Student Recruitment)<br>1121 We conducted and documented additional interview<br>movies of our alumni, who have gone to different career paths<br>across the world after graduating from OIST. Their movies will<br>be shared on the Admissions Website, where prospective<br>students can learn more about the quality of our graduates and  |                         |
|  |  | <ul> <li>imagine better the benefits of studying at OIST.</li> <li>We also held a total of five OIST Net Café information session, one in-person information session in Tokyo, to introduce our students and graduates, and share their experiences of student life at OIST.</li> <li>Attachment 1. 1-3 Students Information</li> </ul>  |                         |
| 1122 OIST will keep track of participants of GS events and programs.   |  | 1122 The Graduate School has kept registration record of every student recruitment event.  |                         |
| (Science Education and Outreach)<br>1123 OIST will sharpen its branding and adjust our external<br>communications to increase awareness of OIST programs, and<br>to better appeal to target populations for future student |  | (Science Education and Outreach)<br>1123 Through working with external high schools in and out<br>of Okinawa, we collect their school-visit information, keep<br>records of their programs, and publish the information on the   |                         |

|                                       | FY2022 Plan  | Metrics  | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---------------------------------------|--|--|--|-------------------------|
|                                       | recruitment.   |  | website. Graduate School also present their major workshop<br>information, such as HiSci Lab and SHIMA, available online to<br>share the workshop movies and photos on OIST Flickr channel,<br>in order to attract future high school participants.  |                         |
|                                       | 1124 OIST will offer various science classes, workshops,<br>and other activities to local and nationwide STEM-focused<br>junior high and high school students, independently, and/or in<br>collaboration with external organizations.  |  | 1124 The demand to accommodate visits from Super Science<br>High Schools (SSH) continues to be strong. This year, we<br>received a total of 12 visits from SSH schools across Japan,<br>accommodating more than one thousand students and teachers.<br>Each visit is customized, and we offer different activities based<br>on the request that comes from each school.        |                         |
|                                       | 1125 OIST will strengthen engagement with junior and high<br>school students through Science Education Outreach activities,<br>by improving communication and information sharing.   |  | 1125 To share more information and opportunities available<br>for high school students in and out of Okinawa, we have created<br>a LINE account, which now has over one hundred followers.<br>Through this social media channel, Graduate School post<br>various workshop and upcoming event information in order to<br>keep the followers engaged with OIST and its programs. |                         |
| 1.1<br>Ph.D.<br>Program<br>Goal (4)   | OIST will improve business efficiency of GS functions,<br>curation of records and policies, and communication<br>consistently improving over time as the University grows.   |  |  |                         |
| 1.1<br>Ph.D.<br>Program<br>Action (4) | <ul> <li>(Policy and Process Management)</li> <li>1126 OIST will continue to revise, in collaboration with the Faculty Assembly and the student body, relevant policy, rules and procedures to improve the effectiveness and efficiency of the administration of the OIST academic program.</li> </ul> | • Number and nature of<br>GS meetings with<br>students and faculty | (Policy and Process Management)<br>1126 Policy and procedure continue to be reviewed and<br>revised in collaboration and consultation with relevant faculty<br>and student bodies, including the GS-Faculty Liaison<br>Committee, Faculty Council, Curriculum and Examinations   |                         |

| FY2022 Plan   | Metrics       | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|---------------|---|-------------------------|
| 1127 OIST will improve the curation and dissemination of policy changes to other stakeholders internal and external.  | of            | 1127 The GS-instituted curation and revision process,<br>including liaison and approval channels relevant to the subject<br>matter, is working well. Relevant stakeholders now receive<br>timely updates and explanations prior to implementation.  |                         |
| 1128 OIST will improve student record management system<br>and curation and visualization of longitudinal data.   | n             | 1128 The record management system project was difficult to<br>develop and build a system accommodating the university's<br>unique structure. The original development plan had to be<br>changed, and the system was only partially implemented.<br>However, the system was combined with other software<br>packages to consolidate, analyze, and visualize data and<br>improve operational efficiency.  |                         |
| (Business Efficiency Re-Engineering)<br>1129 OIST will re-engineer workflow and processes of th<br>Graduate School and employ relevant software to improve or<br>business effectiveness and efficiency. | e<br>Ir       | (Business Efficiency Re-Engineering)<br>1129 (Same as 1128) The record management system project<br>was difficult to develop and build a system accommodating the<br>university's unique structure. The original development plan had<br>to be changed, and the system was only partially implemented.<br>However, the system was combined with other software<br>packages to consolidate, analyze, and visualize data and<br>improve operational efficiency. |                         |
| 1130 OIST will support Graduate School staff by creating<br>individual development plans and providing training and other<br>opportunities for professional skills development and personal<br>growth.  | g<br>er<br>Al | 1130 Each Graduate School staff member was encouraged to<br>spend up to 5% of their official time on professional<br>development.   |                         |

|                                       | FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---------------------------------------|--|---------|---|-------------------------|
|                                       | (Communication)<br>1131 To enhance mutual understanding and improve our<br>quality of service, OIST will continuously adapt our<br>communications with students, faculty, and relevant<br>administrative divisions.                              |         | (Communication)<br>1131 As a preventive measure to reduce the risk of first-year<br>student not finding a thesis research unit, Graduate School<br>hosted the so-called the Thesis Supervisor Nomination<br>Presentation. The information session invites any first-year<br>students who have questions about finding the right thesis<br>research unit and offers support to anyone who needs it.<br>The Graduate School also began holding monthly information<br>sessions with research interns to inform them about compliance<br>and legal risks that could result from their misbehavior and<br>avoid potential violation of student conduct during their stay at<br>OIST.<br>Finally, Graduate School is currently working with IT to migrate<br>Student Database to ServiceNow platform to enhance the user<br>(students) experience of navigating their academic progress,<br>while also facilitating communication among Graduate School,<br>students, and faculty members. |                         |
|                                       | 1132 OIST will improve the Graduate School website to<br>enhance online communication to the public including<br>prospective students.   |         | 1132 The Graduate School Admissions Website regularly<br>updates information in a timely manner whenever there is an<br>upcoming student recruitment event, such as OIST Café or<br>Science Challenge workshop. The website also reflects any<br>changes related to the application deadlines, admission process,<br>as well as curriculum structure.   |                         |
| 1.2<br>Scientific<br>Research<br>Goal | OIST Graduate University will conduct world-class research<br>in cross-disciplinary fields of science. OIST Graduate<br>University is committed to the pursuit of new knowledge<br>through basic science. We are committed to the training of an |         |   | Α                       |
|   | FY2022 Plan  | Metrics  | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|--|--|--|-------------------------|
|   | international community of students. OIST Graduate<br>University will encourage, motivate and support its talented<br>faculty, students and scientists by promoting a collaborative<br>cross-disciplinary research environment, providing excellent<br>facilities, equipment and research support, and by conducting<br>regular and rigorous peer review. OIST Graduate University<br>aspires to be responsive and relevant to the needs of society.<br>We believe that the most significant contributions will result<br>from the discoveries made through basic science. |  |  |                         |
| 1.2<br>Scientific<br>Research<br>Action | <ul> <li>(Promotion of Cross-Disciplinary Research)</li> <li>1201 We will support start-up of new research units.</li> <li>1202 We will hire female faculty in order to improve the gender balance in the faculty.</li> </ul>  | <ul> <li>Number of<br/>researchers (faculty,<br/>postdocs, technicians,<br/>and students)</li> <li>Evaluation of<br/>research results by<br/>internal quality control<br/>standards in accordance<br/>with DORA</li> </ul> | <ul> <li>(Promotion of Cross-Disciplinary Research)</li> <li>1201 We reviewed the start-up procedures and implemented<br/>the new process with other divisions, including the resource<br/>feasibility check of the resources requeted by the new faculty.</li> <li>1202 The Faculty Search Committee made efforts to improve<br/>the gender balance through participation in training programs<br/>that contribute to recruiting strategies in diversity and<br/>excellence. 22% (55 out of 253) at the application stage and 31%<br/>(2 out of 13) at the interview stage were female candidates.</li> </ul> |                         |
|   | <ul> <li>1203 Our Faculty Lunch Talks will be continued to promote inter-unit conversations and trust that this will result in interdisciplinary research.</li> <li>1204 The Library actively collects electronic resources so that necessary content can be accessed via remote access and</li> </ul>   | <ul> <li>Number of joint</li> <li>publications between</li> <li>different faculty</li> <li>members</li> <li>Number of research</li> </ul>  | <ul> <li>1203 We held 9 faculty lunch talks, including new faculty members and one Science and Technology Associate, to promote inter-unit conversations.</li> <li>1204 The Library provided users with search tools and borrowing services by linking the world's largest bibliographic</li> </ul>  |                         |
|   | provides infrastructure to retrieve academic information efficiently.  | honors   | database with the local library system. The automatic borrowing<br>machine has been changed from keyboard input to IC card   |                         |

| FY2022 Plan  | Metrics   | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---|---|-------------------------|
|  | <ul> <li>Number of awarded<br/>research grants (number<br/>and amount)</li> <li>Number of fee for use<br/>of core facilities by<br/>external users (number</li> </ul> | reader reading.<br>The Library have also focused on enhancing our electronic<br>resources, providing access to 7,211 e-journals, including 52<br>new journals, and 154,769 e-books.<br>We reviewed internal procedures to accelerate Open Access<br>publication for OIST research results.  |                         |
| <ul> <li>1205 We will implement the Research Tags Project to attract more collaborators within OIST and with external institutions.</li> <li>1206 We will have External Faculty who will teach at OIST and collaborate with our faculty. This is also to fulfil the gap</li> </ul>   | and amount)<br>• Number of scientific<br>meetings hosted by<br>Research Support<br>Division, Office of the<br>Provost and Office of<br>the Dean of Research           | <ul> <li>1205 Most research units now participate in the research tags project and the information is shared within the university. Interested parties such as collaborators, or incoming students are now better aware of the different fields of research, which should facilitate collaborations and better student placement.</li> <li>1206 Prof. Shigehiro Nagataki, a Chief Scientist at RIKEN Astrophysical Big Bang Laboratory, started his appointment as</li> </ul> |                         |
| that OIST faculty cannot provide to our students.  | (number and number of participants)   | external faculty.   |                         |
| <ul> <li>(Research Support)</li> <li>1207 To enable researchers to conduct world-class research in cross-disciplinary fields of science, we will promote use of Core Facilities by focusing on efficient operation of the facilities and development of specialized staff.</li> <li>1208 We will start equipping Core Facility in Lab 5</li> </ul> | • Number of joint<br>researches hosted at<br>Core Facilities of<br>Research Support<br>Division (number and<br>number of visitors)                                    | <ul> <li>(Research Support)</li> <li>1207 To enable researchers to conduct world-class research in cross-disciplinary fields of science, we promoted the use of Core Facilities by focusing on efficient operation of the facilities and development of specialized staff. To work with and mentor the core facility director and section leaders.</li> <li>1208 We started equipping Core Facility in Lab 5 with our</li> </ul>  |                         |
| 1206 we will start equipping core racinty in Lab 5.  | • Number of training<br>courses hosted at Core<br>Facilities of Research  | initial focus on the animal facility by securing non-subsidy<br>funds to enable the purchase of a core operating equipment,<br>which is crucial for efficient and safe operation of the facility,   |                         |

| FY2022 Plan  | Metrics                                       | Achievements in FY2022   | Self-<br>evalu<br>ation |
|--|---|--|-------------------------|
|  | Support Division for external researchers and | across two fiscal years.   |                         |
| 1209 We will continue to promote research ethics, by             | students (number and                          | 1209 We promoted research ethics by requiring all researchers      |                         |
| organizing research ethics training and seminars.                | number of participants)                       | to take responsible conduct of research (RCR) training and         |                         |
|  |   | hosting workshops on the topic.                                    |                         |
| 1210 Provide better support on application and management        |   | 1210 We conducted a new call for proposals for the                 |                         |
| of external research funding for faculties, researchers and      |   | university research grant SHINKA, and provided support for         |                         |
| student and continue proactive actions to collect grants         |   | the continuation of Kicks' ongoing projects to promote             |                         |
| information and delivery of the information. They will run our   |   | collaborative research.  |                         |
| KICKS and JUMPS funding programs to promote                      |   |  |                         |
| collaboration.   |   |  |                         |
| 1211 We will promote external use of Core Facilities to          |   | 1211 We promoted external use of Core Facilities to diversify      |                         |
| diversify the external incomes and also enhance domestic and     |   | the external incomes and enhanced domestic and international       |                         |
| international Core Facility network.                             |   | Core Facility network by sharing information with other Core       |                         |
|  |   | Facilities.  |                         |
|  |   | Attachment 1. 2-1 FY2021 The number of Use of our                  |                         |
|  |   | <b>Research Facilities by External Organizations</b>               |                         |
| (Publication and Communication)                                  |   | (Publication and Communication)                                    |                         |
| 1212 Whenever we hear of an important new result from a          |   | 1212 We worked to disseminate our research and results.            |                         |
| faculty member, we encourage them to communicate this to         |   | Institutional repositories are the primary means of disseminating  |                         |
| our Communications and Public Relations Division. Queries        |   | research results to the world, and we facilitated the registration |                         |
| from government sources such as MEXT have led us to              |   | of articles in the repository. Annual reports containing research  |                         |
| compile lists of our top publications. These are also used by    |   | results are published on each research unit's website.             |                         |
| Nature Communications Index and other such comparative           |   | Attachment 1. 2-2 OIST Publications and presentations              |                         |
| studies. We publicize journal publication results in our website |   |  |                         |

and make sure that these are available in the OIST Institutional Repository.

1213 We will provide accessible information about OIST to the scientific community and general public in Japan and overseas, through OIST web articles, press releases, press conferences, print publications, social media, thought leadership, including high-profile speaking opportunities.

- OIST will implement proactive media relations strategies to generate coverage by. This will include press releases and articles about new scientific directions and outcomes, and other activities of interest to diverse audiences. These will be disseminated to local, national, and international media through established channels such as press clubs and press release distribution services.
- OIST will work with other Japanese academic institutions and will maintain a global network of science communicators, to enhance the institution's contribution and contribute to innovation and capacity-building in Japanese and international science communication.
- OIST will continue to strengthen social media and other digital channels.

1213 We disseminated information about our research findings, education, and innovation in a variety of ways, including our website, press releases, press events, e-mailings, and social media. As an example, we wrote and disseminated 158 news articles, held 12 press conferences (including inperson, online, and hybrid style), and actively invited the media to other events. In addition, we expanded our network by holding 60 meetings with media outlets and conducting 120 media interviews.

In this fiscal year, following the awarding of the Nobel Prize in Physiology or Medicine to Professor Svante Pääbo, we promptly disseminated information and actively engaged in public relations activities such as public lectures and press conferences. We also planned for the event to be covered by influential figures and media outlets, and it was reported in NHK Newswatch 9, TV Tokyo's World Business Satellite (by Akira Ikegami), the Nihon Keizai Shimbun, Weekly Bunshun (by Sawako Agawa). We also organized the "Japan SciCom Forum," an event for science communicators in Japan and abroad, participated in the Japan Association of Communication for Science and Technology consisting of public relations officers from research institutes and universities in Japan. We also participated in the "Science Summit," held in New York in conjunction with the United Nations General Assembly, for the first time from Japan to expand our network with the Permanent

|                                   | FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|-----------------------------------|--|---------|---|-------------------------|
|                                   |  |         | Mission of Japan, UN agencies, other international universities, and others.  |                         |
|                                   | 1214 OIST will enhance internal communication channels to<br>help ensure that all faculty, staff, and students within the<br>institution can contribute to OIST's successful promotion and<br>engagement, and are well-briefed on progress, challenges and<br>achievement.   |         | 1214 We worked to share information widely with faculty, staff, and students through internal communication, including the resumption of OIST Tea Time and support for the creation of internal articles by the newly-appointed internal communications lead.   |                         |
|                                   | 1215 To sustain academic quality and collaboration, OIST will provide technical support, relevant documentation and training to OIST staff, researchers and students to support smooth delivery of remote meetings and seminars.   |         | <ul> <li>1215 After the second half of FY2022, there have been many hybrid seminars (held simultaneously onsite and online), and we provided support for live-streaming and online seminars, as well as advanced webinar training for organizers and others as needed.</li> <li>In addition, continuing from FY2021, we have been providing cutting-edge online even tools and functions to facilitate communication in a virtual space.</li> </ul> |                         |
| 1.3<br>Faculty<br>Affairs<br>Goal | We aim to recruit top talented professors at all levels who<br>demonstrate excellent scholarship and creativity in research<br>and an interest in interdisciplinary research. The office also<br>facilitates faculty evaluations, faculty meetings, space<br>allocation for units, researcher hiring and retention, faculty and<br>postdoctoral career development, including visitor programs<br>and programs for promoting excellence. Additionally, we<br>support the university library, and other miscellaneous faculty<br>and researcher matters. Further, we will provide Career<br>Development Programs for all personnel at OIST and enforce<br>archiving of research data of all OIST publications |         |   | Α                       |

|                                     | FY2022 Plan   | Metrics  | Achievements in FY2022  | Self-<br>evalu<br>ation |
|-------------------------------------|---|--|---|-------------------------|
| 1.3<br>Faculty<br>Affairs<br>Action | <ul><li>(Appointment)</li><li>1301 We will continue to recruit faculty members strategically considering budget and research space available.</li></ul>   | <ul> <li>Number of research<br/>units evaluated</li> <li>Number of tenure<br/>reviews and promotion</li> </ul> | <ul><li>(Appointment)</li><li>1301 We studied the budget and research space availability and strategically conducted recruiting activities in consideration of such resources.</li></ul>  |                         |
|                                     | <ul> <li>(Faculty/Research Evaluation)</li> <li>1302 We will conduct tenure reviews to faculty who negotiated their contracts or appointed at the internationally highest standards.</li> <li>1303 We will conduct tenure reviews of 5 faculty and promotion review of 2 faculty</li> </ul>   | <ul> <li>reviews</li> <li>The number of papers registered in the Institutional Repository</li> </ul>           | <ul> <li>(Faculty/Research Evaluation)</li> <li>1302 We conducted faculty reviews by external reviewers at internationally high standards.</li> <li>1303 5 tenure reviews started in FY2022 and 4 were complete and 1 is in progress. 4 promotion reviews started in FY2022</li> </ul>  |                         |
|                                     | 1304 We will conduct unit reviews for 7 research units led<br>by tenured and adjunct faculty members.   |  | <ul> <li>and 3 were complete, and 1 is in process.</li> <li>1304 7 research unit reviews were conducted.</li> </ul>   |                         |
|                                     | 1305 We will continue faculty appraisals. The appraisals will<br>be conducted by the Dean of Faculty Affairs (and if necessary,<br>the Provost) based on individual faculty research, teaching,<br>university service, and external grants received. The appraisal<br>will be reflected in the salary and other resources granted.<br>Faculty will be assured that individual (as opposed to<br>aggregated) information will not be made available outside of<br>OIST. In addition, we will check whether faculty members<br>have published Annual Reports on their websites by<br>encouraging them to do so. |  | 1305 The Faculty Annual Performance Appraisals were<br>conducted for full-time faculty members. We reviewed<br>individual faculty research, teaching, university service, and<br>external grants received. We also checked the units' Annual<br>Reports published on their websites, registration status of their<br>publications and articles at OISTIR, and the status of research<br>data archival, to fulfill the accountability in using public<br>expenses for the research projects. We also ensured that the<br>training of responsible conduct of research was completed by all<br>unit members and used the one-on-one meeting with DFA.<br>Attachment 1.3-1 Number of Research Honors/Awards |                         |
|                                     |   |  | Attachment 1.3-2 Outreach by Faculty and Researchers  |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|--|---------|--|-------------------------|
| (Research Productivity Report)<br>1306 We will continue to increase the number of research<br>items registered and enhance the support system for Open<br>Access university wide.  |         | (Research Productivity Report)<br>1306 This fiscal year, 270 articles were deposited in the<br>institutional repository (OISTIR) for a total of 1,938 articles.<br>The open access rate for research results is maintained in the<br>90% range every year, and we are actively disseminating<br>research results. The number of downloaded papers registered in<br>OISTIR was 152,135. |                         |
| <ul> <li>(Seniior Appointment &amp; Post Retirees)</li> <li>1307 A faculty member who has reached the retirement age, but his/her research is deemed to be suitable for extension and is invited by the President will be considered for continuation of research after conducting a rigorous review.</li> </ul>   |         | (Senior Appointment and Post Retirees)<br>1307 One extension for a faculty member close to retirement<br>age was granted following an "excellent" evaluation outcome<br>after a rigorous review. As a result, a 5-year extension of the<br>research funding was granted.   |                         |
| (Faculty Development)<br>1308 We are establishing a new centralized professional<br>development and career support center, called the C-Hub. C-Hub<br>will provide faculty with programs, workshops, resources, and<br>individual consultations to enhance their leadership and<br>management, mentorship, communication, collaboration and<br>writing skills, and provide orientation programs. |         | (Faculty Development)<br>1308 We designed and presented workshops, programs, and<br>events for the entire OIST community as well as for external<br>participants from other universities. Since its establishment, we<br>served 214 faculty, 346 students, 280 researchers, and 515<br>administrative staff.   |                         |
| (Students, Research, and Admin Staff Development)<br>1309 C-Hub provides students, research staff, and admin<br>staff in addition to faculty, with programs for developing their<br>leadership, management, and several other skills.  |         | (Students Research, and Admin Staff Development)<br>1309 We held Inclusive Leadership Symposium attended by<br>137 participants from OIST, Japan, and other countries. We also<br>provided a Peer Mentoring program for faculty, researchers,<br>students, and staff.  | -                       |

|                                       | FY2022 Plan   | Metrics   | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---------------------------------------|---|---|---|-------------------------|
|                                       | (Alumni Network (OAAN))<br>1310 By developing and launching the OIST Alumni and<br>Association Network, we will establish an efficient,<br>sustainable system to maintain close, active contact with<br>OIST's alumni and associates. Further, the creation of an<br>interactive online platform will enable the OAAN to engage<br>with the OIST community through career networking,<br>professional development, and mentoring through regional and<br>global events. |   | (Alumni Network (OAAN))<br>1310 We have created the OIST Alumni and Associates<br>Network (OAAN) Platform. This will allow OIST associates<br>and alumni to stay connected with OIST and conduct<br>interactions between the past and current OIST community of<br>researchers and students. We developed content to be able to<br>host seminars and networking events, and provide professional<br>development event and mentoring programs through the<br>OAAN.   |                         |
| 1.4<br>Global<br>Networking<br>Goal   | OIST will continue to create strong networks with the international and domestic science communities. It will do this, for example, by hosting joint academic and research symposia/workshops with universities and institutions, and by receiving interns. OIST will increase its reputation as an International Graduate Research University committed to the betterment of society through conducting leading basic research and training the best scientists.       |   |   | A                       |
| 1.4<br>Global<br>Networking<br>Action | (Global Networking)<br>1401 OIST will provide opportunities for students to interact<br>with other universities in Okinawa and Japan including student<br>exchange, networking and teaching.  | <ul> <li>Number of<br/>international courses<br/>and workshops<br/>organized by OIST (14)</li> <li>Impressions and<br/>feedbacks from the<br/>participants of<br/>international courses<br/>and workshops.</li> </ul> | (Global Networking)<br>1401 (Same as 1117) Due to COVID-19, opportunities for<br>interactions were limited, but 10 OIST students lectured at local<br>Okinawa universities. Through OIST's membership in the<br>Consortium for Career Development for PhD (CCDP), students<br>had 21 opportunities to participate in remote professional and<br>career development seminars and workshops with students from<br>other Japanese universities. Also, students had an opportunity to<br>build network with OIST alumni through a 3-day Alumni<br>Workshop. 8 Company information sessions held in this fiscal<br>year provided additional chances for the students to expand their |                         |

| FY2022 Plan  | Metrics                              | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|--------------------------------------|---|-------------------------|
|  | • Number of new partnership programs | professional networks.  |                         |
| 1402 OIST will focus on activities towards enhancing           | and extension of                     | 1402 During this reporting period, we initiated, planned, and     |                         |
| networking.  | existing programs with               | executed various domestic and international                       |                         |
|  | globally leading                     | workshops/seminars/conferences, a research internship             |                         |
|  | universities and                     | program, and a Summer Camp to provide online and on-site          |                         |
|  | institutions.                        | networking mechanisms for students and young researchers          |                         |
|  | - RIKEN : Conducting                 | with the following institutional partners. The seminar series has |                         |
|  | one symposium and                    | expanded the opportunities for young researchers to present       |                         |
|  | appointing an External               | their research.   |                         |
|  | Professor from RIKEN                 | - RIKEN: 1 symposium (hybrid-RIKEN x Hiroshima                    |                         |
|  | - The University of                  | University), 1 international conference (onsite-RIKEN x           |                         |
|  | Tokyo : Conducting one               | University of Tokyo), 1 partner faculty member                    |                         |
|  | seminars/workshops                   | - University of Tokyo: 4 bilateral seminars (online)              |                         |
|  | hybrid style                         | - Osaka University: 1 seminar (onsite); 2 bilateral seminars      |                         |
|  | (online/onsite)                      | (online)  |                         |
|  | - Tohoku University :                | - Keio University: 3 bilateral seminars (2 online, 1 onsite)      |                         |
|  | Conducting one                       | - Tohoku University: 2 Symposiums (hybrid).                       |                         |
|  | seminar/workshop                     | - Kyoto University: 1 symposium (hybrid).                         |                         |
|  | hybrid style                         |   |                         |
| 1403 We will host international courses and workshops of       | (online/onsite)                      | 1403 Due to the continuing COVID-19 pandemic, three               |                         |
| world-leading quality, providing students and young            | - Osaka University:                  | workshops and mini-symposia were either cancelled or              |                         |
| researchers with the opportunity of learning forefront science | Conducting one                       | postponed. On the other hand, with the relaxation of travel       |                         |
| and interacting with outstanding peers.                        | seminar/workshop                     | restrictions in the second half of the fiscal year, 11 workshops  |                         |
|  | hybrid style                         | and mini-symposiums were held online or as a hybrid meeting,      |                         |
|  | (online/onsite)                      | and 4 were held in-person, with 703 participants in attendance    |                         |
|  |                                      | in person and 1,041 participants online.                          |                         |
|  |                                      | Attachment 1.4-1 List of OIST Funded Workshops/Mini-              |                         |

|   | FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|--|---------|--|-------------------------|
|   | 1404 OIST will provide a Research Internship program available to talented students with excellent research potential.   |         | Symposia<br>1404 (Same as 1108)Graduate School continues to provide its<br>research internship program. After the COVID-19 restriction to<br>enter Japan was lifted, more Research Interns were able to come<br>to OIST.   |                         |
|   | 1405 OIST will provide a full range of student-centered and<br>flexible support to students in the areas of relocation, travel,<br>housing, language assistance, emergency care, and financial<br>assistance so that they can concentrate on their study.  |         | 1405 (Same as 1109) Graduate School supplied smooth<br>relocation and travel support after the change to border control<br>measures through the timely adjustment of rules and procedures<br>and providing clear instruction to students. Graduate School also<br>continued to provide adequate support to students in demanding<br>situations through individual consultation, proxy application,<br>language support, shopping support and coordination with other<br>parties, as necessary. |                         |
| Chapter. 2 (  | Governance & Administrative Transparency and Efficiency  |         |  | 1                       |
| 2.1<br>Basic<br>Structures<br>for<br>Governance<br>and Business<br>Operations<br>Goal (1) | The Board of Governors (BOG), which consists mainly of non-<br>executive members based on the OIST SC Act and the OIST<br>Bylaws unlike the case of most Japanese traditional<br>institutions, takes ultimate responsibility for operation of the<br>OIST SC and OIST Graduate University. The Board of<br>Councilors (BOC) reviews the operations of the corporation<br>with broad views of the society, including those of the local<br>community. These two boards play key roles together in<br>ensuring effective and transparent governance of the OIST SC<br>in accordance with pertinent Japanese laws and the OIST SC<br>Bylaws. The CEO/President will continue to provide the<br>leadership in the execution of the Business Plan and |         |  | Α                       |

|  | FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---|---------|---|-------------------------|
|  | accountable to the BOG. The governance of OIST SC especially features the appropriate relationship between these boards and the CEO/President. OIST will continue to ensure that the bylaws and Policies, Rules and Procedures (PRP) remain consistent with all relevant laws and regulations. Auditors of the corporation will conduct rigorous audits to ensure appropriateness and efficiency of the operations of the corporation, including comparison with international best practice. |         |   |                         |
| 2.1<br>Basic<br>Structures<br>for<br>Governance<br>and Business<br>Operation<br>Action (1) | (Basic Management)<br>2101 Regular BOG meetings will be held in May,<br>September/October and February, and regular BOC meetings<br>will be held in May and February. In the BOG meeting in May,<br>the performance and achievements of the previous fiscal year<br>will be reported and evaluated. The results of this assessment<br>are made available to the CAO for public sharing.   |         | (Basic Management)<br>2101 Regular BOG meetings were held in May, September,<br>2022, and February 2023, and regular BOC meetings were held<br>in May 2022 and February 2023. May and September meetings<br>were held hybrid(onsite with online video call), February<br>meetings were online meetings. In the BOG meeting in May, the<br>performance and achievements of FY2021 were reported and<br>evaluated. The result of this assessment was made available to<br>the CAO for public sharing.   |                         |
|  | 2102 BOG and BOC meetings in May and October will each<br>have about one extra day meeting, in addition to the two-days<br>main meetings, to accommodate more discussions focused on<br>the relevant role of the BOG and BOC. BOG and BOC sub-<br>committees will have the opportunity to pre- discuss important<br>issues. The BOG Steering Committee will its practice of<br>meeting with the Faculty Council during the pre-meetings.  |         | 2102 BOG and BOC meetings in May and BOG in September<br>were held onsite accommodating zoom for those who are unable<br>to travel due to COVID-19. In addition BOC held an ad-hoc<br>meeting via web in September in advance of the general BOG<br>meeting to collect opinions regarding the re-opening of the<br>OIST Clinic and Bylaws change. BOG and BOC meetings in<br>February were held online with some BOC members traveling<br>to participate onsite. To accommodate more discussions focused<br>on the relevant role of each BOG and BOC, most of BOG and |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|--|---------|--|-------------------------|
|  |         | BOC sub-committee meetings(Steering Committee, External<br>Funding Development, Sustainable Development of Okinawa,<br>and Academic & Research) were held in May, and all (Business<br>& Finance, and Audit & Compliance in addition to the ones held<br>in May) were held in September.   |                         |
| <ul> <li>2103 The CEO/President will exercise leadership in all matters of daily operation of the OIST SC and the OIST Graduate University and ensure steady implementation of the Business Plan.</li> <li>2104 The CEO/President will identify serious risks and prepare mitigation/control measures at biweekly executive committee meetings and report to the BOG.</li> </ul>   |         | <ul> <li>2103 The CEO/President continued to exercise leadership in all matters of daily operation of the OIST SC and the OIST. Graduate University and ensure steady implementation of the Business Plan.</li> <li>2104 Prevention of the spread of COVID-19 infection was a main focus of the Risk Management activities in FY2022. A new group of members dedicated to COVID-19 measures was formed under SG, exchanged information collected by the members daily, and updated the executives and OIST community regularly. Integrated and consistent university-wide guidelines were created under the guidance of the president, and information was kept up-to-date.</li> </ul> |                         |
| 2105 Auditors will conduct rigorous regular audits of all<br>aspects of business operations, including budget execution,<br>tendering and contracts, and the status of compliance, based on<br>the Auditing Plan developed in advance while coordinating<br>with internal audits and accounting audits, and conduct special<br>audits in addition when deemed necessary. Auditors will<br>conduct more thorough audits based on the strengthening of<br>the auditor system and maintain effective communications |         | 2105 The auditors conducted periodic audits of the status of<br>business operations from November 2022 to April 2023. In<br>conducting the periodic audits, the auditors carefully explained<br>the purpose, objectives, and meaning of the audits as stipulated<br>in the Private School Law, and many divisions actively<br>cooperated with us based on the understanding of these matters.<br>The auditors' audit is scheduled to be reported at the Board of<br>Governors and the Council Meetings scheduled to be held in   |                         |

|  | FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|--|--|---------|--|-------------------------|
|  | with the university management while keeping appropriate<br>independence. Auditors will be provided sufficient information<br>and staffing necessary for conducting their duties Plan and<br>result of Auditors' audit will be presented at BOG meetings,<br>etc. for recommendations to reflect on business operations.   |         | May 2023.<br>In addition to periodic audits, the auditors made efforts to further<br>understand the status of the University's business operations by<br>meeting with the Chief Compliance Officer (CCO) and<br>communicating with the Vice Presidents and others, as<br>necessary.  |                         |
| 2.1<br>Basic<br>Structure for<br>Governance<br>and Business<br>Operations<br>Goal (2)    | OIST Graduate University will continue to build and maintain<br>internal administrative organization following international<br>best practice for world-class international graduate universities<br>to ensure effective administration. While being autonomous,<br>OIST Graduate University will keep close contact with CAO<br>to ensure accountability to the Japanese Government for its<br>budget execution and business operations.  |         |  | A                       |
| 2.1<br>Basic<br>Structure for<br>Governance<br>and Business<br>Operations<br>Actions (2) | (Development of Administrative Organizations)<br>2106 The President/CEO, Vice CEO, and other executives<br>will continue to meet regularly to prepare major decisions,<br>share information and review the status of business operations.<br>The Executive and the Faculty Assembly will continue to meet<br>bi-monthly to share and improve the flow of information<br>between senior management and the Faculty. The Salary<br>Review Committee will continue to meet as needed. |         | (Development of Administrative Organizations)<br>2106 Continued to hold regular meetings with the<br>President/CEO, Vice CEO, and other executives to prepare<br>major decisions, to share information and review the status of<br>business operations. Information sharing meeting between the<br>Executive and the Faculty Assembly continued to provide<br>administration information from the senior management to the<br>Faculty. Continued to hold meetings of the Salary Review<br>Committee as needed. |                         |
|  | 2107 Weekly meetings of the President, Secretary General<br>and Provost will facilitate a smooth coordination between the<br>individual executives.  |         | 2107 Regular meetings of the President, Executive Vice<br>President, Secretary-General, Provost with the occasional<br>attendance of VPHR was held to facilitate a smooth<br>coordination between the individual executives.   |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---------|---|-------------------------|
| 2108 Maintain close communication with CAO and continue<br>the periodical meeting to share information such as the<br>implementation status of the Business Plan. In addition,<br>prepare business sketch and budget requests for the next fiscal<br>year well in advance through close discussion with CAO.   |         | 2108 Maintained close communication with CAO through the<br>Quarterly Meetings in April, July, and November and other<br>meetings held for specific issues. Appropriately and timely<br>shared necessary information such as progress in FY2022<br>Business Plan, FY2023 Business Sketch, budget request for<br>FY2023, and preparation of FY2023 Business Plan, etc.             |                         |
| 2109 Provision state-of-the-art IT tools, services and<br>support, enabling the University in its mission to become a<br>world leading university  |         | 2109 We implemented a service portal that unifies all services<br>and contact points for several departments. We also provided a<br>seating information tool that shows the user's seating location.  |                         |
| 2110 Continue to ensure that clear, concise and up to date<br>knowledge bases and user education programs exist to enable<br>users to appropriately and timely leverage IT tools, resources<br>and services.   |         | 2110 We are providing an IT service portal that aggregates and<br>instantly searches information on IT resources and services. In<br>addition, we have updated optimized information based on the<br>number of times it is viewed and feedback.   |                         |
| 2111 Identify, evaluate, design, deploy and maintain the<br>enterprise technology platform that supports the university<br>expansion. Ensure that platforms for research and education<br>are as automated and efficient as possible. We will ensure the<br>continuity of a flexible and secure network environment that<br>meets research requirements. |         | 2111 As part of our IT strategy, several ticketing systems have<br>been decommissioned and migrated to a single platform to<br>centralize Enterprise Applications in the existing platform.<br>Several Enterprise Applications, including our Document<br>Management System, Student Information System have been<br>upgraded to ensure an efficient Digital Transformation (DX). |                         |
| 2112 While taking ongoing discussion on efficiency<br>improvements of administrative tasks into consideration,<br>continue to work on development and endorsement of an IT<br>strategic plan. Ensure the alignment of the plan with the<br>business through continuous interaction and feedback. Track   |         | 2112 The mid-term IT Strategy has been drafted and IT<br>Strategy committee has been established. The committee<br>reviewed and approved the IT Strategy. External peer review<br>was not conducted due to the pandemic.  |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|---------|---|-------------------------|
| alignment with best practice through external peer review.  |         |   |                         |
| 2113 Drive digital transformation to identify, develop and<br>support enterprise systems and services that supports the<br>university's business efficiently.   |         | 2113 We have designed the concept of 'Enterprise Data Bus (EDB)', allowing different applications to share information (data) with other applications, thereby helping to improve the Institute's operation efficiency.   |                         |
| 2114 Best position the University within relevant local and<br>global IT initiatives to enhance and support the research and<br>administrative activities of the University, and best promote<br>Okinawa. While reducing operational costs and increasing<br>safety, contribute to business process and work style<br>innovation through digital transformation with working<br>together with other administrative divisions, and simplify and<br>automate office work and promote standardization and<br>efficiency. |         | 2114 The concept of 'Enterprise Service Desk' has been<br>designed and implemented on the service portal to promote<br>digital transformation (DX) to enable data and process<br>collaboration among multiple departments.  |                         |
| 2115 Reinforce information security governance, and<br>enhance information security control through information<br>security education, multi-factor authentication, and device-<br>based access control. Further enhance existing security threat<br>monitoring, enabling real-time threats detection such as<br>malicious software or hacking that may compromise the<br>integrity of OIST networks or systems as well as implementing<br>appropriate measure for Web site tempering and other threats.              |         | 2115 Implemented the ongoing mandatory online training<br>programs, "Information Security" and "Personal Information<br>Protection." Additionally, to increase the effectiveness of the<br>training, it was focused on necessary information for business<br>operations to stakeholders. We strengthen cybersecurity<br>monitoring services and link with machine learning and external<br>cybersecurity networks. Therefore it became possible to<br>detect risks with higher accuracy. Conducting vulnerability scan<br>on regular basis in order to detect security holes as early as<br>possible and prevent incidents such as web site defacing. |                         |

Phishing email drills were conducted twice for entire OIST staff

|   | FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|--|---------|---|-------------------------|
|   |  |         | and students, as well as giving heads-up to the staff and students<br>through the internal portal web site and the posters displayed<br>throughout the campus.                |                         |
|   | 2116 Provide legal advice in connection with legal issues<br>arising at the relevant divisions at OIST and ensure legally<br>appropriate operation of the OIST SC by providing overall<br>legal support in drafting, negotiation and execution of the<br>agreements handled by relevant divisions at OIST  |         | 2116 The total number of consultations in FY2022 was 142, which was 107% of that of FY2021, and the total number of contract review was 226, which was 96% of that of FY2021. |                         |
|   | 2117 Defend OIST SC from claims in and out of court and avoid financial damage and reputational risk.  |         | 2117 We successfully defended OIST SC from claims in and<br>out of court and avoid financial damage and reputational risk by<br>settling two lawsuits.                        |                         |
|   | 2118 In order to prevent any misconduct in and out of OIST,<br>all employees, faculties and students will be required to take a<br>program on Japanese laws and rules annually (especially on<br>drug restrictions, drinking and driving, carrying weapons,<br>criminal procedure/deportation, OIST discipline).   |         | 2118 The monthly new employee training by the HR Dept.<br>have continuously been done via Zoom.   |                         |
| 2.2<br>Budget<br>Allocation<br>and<br>Execution<br>Goal | On executing the budget including government subsidies,<br>OIST Graduate University will make appropriate and effective<br>allocation and execution of budget, by reviewing the cost<br>performance, to fulfill its accountability to the government,<br>sponsors, and general public. In particular, under the prevailing<br>severe fiscal circumstances, the university will make efforts to<br>improve cost efficiency to maintain and develop research and<br>education. |         |   | Α                       |

|            | FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|------------|--|---------|--|-------------------------|
| 2.2        | (Budget Allocation and Execution)                                |         | (Budget Allocation and Execution)                                |                         |
| Budget     | 2201 Strategic Resource Allocation Committee (SRAC),             |         | 2201 Strategic Resource Allocation Committee formulated          |                         |
| Allocation | formulate high-level budget allocation and reallocations         |         | high-level budget allocation and reallocations proposals of the  |                         |
| and        | proposals of the university resources, such as Personnel         |         | university resources, such as Personnel budget, Operational      |                         |
| Execution  | budget, Operational budget, Equipment budget, and Space.         |         | budget, Equipment budget in FY2022. The proposals were then      |                         |
| Actions    | The proposals will be then approved by the President/CEO.        |         | approved by the President/CEO.                                   |                         |
|            | 2202 Have budgetary units, which are the                         |         | 2202 The budgetary allocation/execution units which are          |                         |
|            | allocation/execution unit, consistent with the organizational    |         | consistent with the organizational structure received the        |                         |
|            | structure of the university and allocate the necessary budget to |         | necessary budget to implement the FY2022 Business Plan.          |                         |
|            | implement the Business Plan to each budgetary unit.              |         |  |                         |
|            | 2203 Reinforce the budget allocation and reporting process       |         | 2203 The budget allocation and reporting process in FY2022       |                         |
|            | by collaboration with the budget analyst assigned in each        |         | was reinforced in collaboration with the budget analyst assigned |                         |
|            | division. The status of budget execution will be reported        |         | in each division. The status of budget execution was reported    |                         |
|            | monthly to the President/CEO at the monthly Budget Review        |         | monthly to the President/CEO at the monthly Budget Review        |                         |
|            | Meeting in order to ensure appropriate and integrated budget     |         | Meeting in order to ensure appropriate and integrated budget     |                         |
|            | management of all funds including the Subsidy for Facilities.    |         | management of all funds including the Subsidy for Facilities. In |                         |
|            | In addition, report the budget execution status to CAO on        |         | addition, we reported the budget execution status to CAO on      |                         |
|            | monthly basis.   |         | monthly basis.   |                         |
|            | 2204 All research-related budgets shall be closely monitored     |         | 2204 FY2022 Mid-year review in September and November,           |                         |
|            | and adjusted through September and January budget reviews.       |         | and another review in January, at the beginning of the fourth    |                         |
|            |  |         | quarter were conducted.  |                         |
|            | 2205 Implement the procedures to comply with laws and            |         | 2205 As cases of negotiated contract are broken into some        |                         |
|            | University policy and rules - the procedure in budget            |         | patterns when individual contract expenditures exceed a          |                         |
|            | execution includes reviews by the person in charge of            |         | predetermined threshold, the section leader in charge of rules   |                         |

|  | FY2022 Plan   | Metrics   | Achievements in FY2022   | Self-<br>evalu<br>ation |
|--|---|---|--|-------------------------|
|  | compliance when individual budget expenditures exceed a predetermined threshold.  |   | and compliance reviewed the appropriateness of the negotiated contracts which do not exceed 5M JPY and the SG/AVP reviewed the ones which exceed 5M JPY.   |                         |
|  | 2206 Conduct internal audit, as well as develop human<br>resources through sending our staff to training courses<br>provided by government agencies, etc. on regular basis, to<br>ensure proper contract, procurement and accounting<br>procedures.                         |   | 2206 Conducted internal audit based on the internal auditing plan under the Chief Compliance Officer.  |                         |
|  | 2207 Exert efforts in ensuring fair and transparent procurement through measures such as establishing a committee including external experts and having their review on specifications of large research tools/equipment as necessary on the University's policy and rules. |   | 2207 We exerted efforts in ensuring fair and transparent<br>procurement through measures such as establishing a committee<br>including external experts and having their review on<br>specifications of large research tools/equipment for each<br>purchase based on the University's policy and rules.<br>The number of holding of Specification and Technical Review<br>Committee concerning large scale research tools/equipment is<br>7. |                         |
| 2.3<br>Efficiency of<br>Business<br>Operations<br>Goal (1)   | OIST Graduate University will continue its efforts to improve efficiency in its business operations.  |   |  | Α                       |
| 2.3<br>Efficiency of<br>Business<br>Operations<br>Action (1) | <ul><li>(Efficiency of Business Operations)</li><li>2301 Administration Review Committee will deliberate measures to establish a mechanism to ensure more effective and efficient business operation.</li></ul>   | • Number of unit-price<br>contracts : more than 32<br>(based on fixed value in<br>FY2020) | <ul> <li>(Efficiency of Business Operations)</li> <li>2301 Streamlined administrative operaions in the five areas of (1) strategic planning and excution, (2) government relations, (3) external communications, (4) human resources, and (5) IT.</li> </ul>   |                         |

| FY2022 Plan   | Metrics   | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|---|---|-------------------------|
| 2302       Support research activities, such as utilizing the methods of unit price contracts, bulk purchase for research materials and reagents, and multi-year contracts.         2303       Reduce costs of system and research equipment maintenance by reviewing the contracts etc.  | <ul> <li>Ratio of the number<br/>of purchase contracts<br/>concluded through<br/>tendering or other<br/>competitive processes :<br/>more than 62%</li> <li>Successful bid rate<br/>for those excluding</li> </ul> | <ul> <li>2302 Research Support activities were strengthened through efficient and money-saving ways, such as utilizing the methods of unit price contracts, bulk purchase for research materials and reagents, and multi-year contracts.</li> <li>The number of unit price contract : 39 items</li> <li>2303 The costs of system and research equipment maintenance were reduced by applying multi-year contracts and reviewing the methods of maintenance etc.</li> </ul>  |                         |
| 2304 Contracts of the University shall be based on the principle<br>of ensuring sufficient transparency and competitiveness, and in case<br>of making a negotiated contract, thorough information disclosure<br>will be ensured, such as by disclosing the reason for the negotiated<br>contract. Monitor procedure for negotiated contract continuously.<br>At the same time, review procurement policy, rules and procedures<br>continuously from the perspectives of efficiency and simplicity.<br>Continue improving the segregation and procedures related to<br>procurement for the future expansion of the University. (The<br>revision of the criteria has already been done) | research related<br>purchases.  | 2304 Transparency and competitiveness in contracts were<br>ensured through information disclosure of the negotiated<br>contracts including the justification of the contract. The<br>University continuously monitored procedure for negotiated<br>contracts, including the measurement of the effectiveness of<br>reduction of the threshold of negotiated contracts implemented<br>in the previous fiscal year. In order to accommodate the future<br>expansion of the University, reviewed the segregations and<br>procedures concerning procurement. For example, from the<br>perspectives of efficiency and simplicity, revised a procedure so<br>that seals can be omitted from the invoices that meet certain<br>requirements. In addition, revised procedures for lower bid<br>amount survey and related rules accordingly. |                         |
|   |   | Kallo of purchase contracts concluded through tendering orother competitive processes (number of contracts)Contract number : 124 (58.2%) [FY2021 109 (55.9%) ]Successful bid rate for those excluding research related  |                         |

|   | FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|--|---------|---|-------------------------|
|   |  |         | purchases : 94.2%   |                         |
|   | 2305 Based on the fruit of the previous investigations on price<br>differences between Japanese and international markets, continue<br>to take actions to decrease the said differences and to promote cost-<br>saving   |         | 2305 University held internal training in order to improve<br>negotiation skills of Procurement staffs based on the fruit of the<br>previous investigations.  |                         |
|   | 2306 Based on the collected reference data comparing prices of<br>supplies and equipment etc. in Japan and abroad, provide<br>information for internal users on how to negotiate prices effectively<br>with manufactures/agents/vendors to improve cost efficiency of<br>purchasing.   |         | 2306 (Same as 2305)University held internal training in order<br>to improve negotiation skills of Procurement staffs based on the<br>fruit of the previous investigations.  |                         |
|   | 2307 Support those efforts for administrative internationalization<br>made by national universities and other institutions through<br>conducting a training program at OIST to their staff members and<br>enhance administrative efficiency by absorbing their knowledge<br>and experience on university operation and management. |         | 2307 We hosted one employee each from Tohoku University<br>and Hitotsubashi University to help them improve their<br>capabilities and to enhanced our administrative efficiency<br>through learn their know-how developed in national university<br>operation and administration. |                         |
| 2.3   | OIST Graduate University makes the best use of its facilities  |         |   |                         |
| Efficiency of<br>Business<br>Operations<br>Goal (2) | and equipment.   |         |   |                         |
| 2.3   | (Effective Use of Facilities)  |         | (Effective Use of Facilities)   |                         |
| Efficiency of<br>Business<br>Operations             | 2308 Continue efforts for optimization of use of academic<br>and administration spaces, and research equipment through<br>regular survey of current spaces in the existing buildings, close  |         | 2308 BFM continues to take part in the space allocation<br>committee to discuss the issues related to Lab space allocation.<br>BFM actively met with space requestors prior to space  |                         |
| Actions (2)   | coordination among Facility Management Division, Space   |         | allocation committee meetings and assisted the allocation   |                         |

|   | FY2022 Plan  | Metrics  | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|--|--|---|-------------------------|
|   | Allocation Committee and Research Support Division, and<br>meeting and interviews with the research units to understand<br>their needs.  |  | committee and end-users to create the best solution for effective<br>use of the space.  |                         |
|   | 2309 Continue studies for optimization of use of Seaside<br>Campus and Seaside House Building in relation with future<br>expansion of OIST based on OIST strategic plans.  |  | 2309 Air conditioning units in the classroom and guestrooms<br>at Seaside House were renewed and the walls were repaired to<br>accommodate proper use of the facility. FM also repaired the<br>wooden deck, which had been damaged by a typhoon, to make<br>it available for general use.   |                         |
| 2.4<br>Personnel<br>Management<br>Goal    | To attract, retain and develop talent, we actively hear<br>employees' voice. We continue improving HR policies and<br>systems in more sustainable and effective way with full legal-<br>compliance, so that we can realize even more diverse and<br>inclusive workplace. We, as an enterprise strong supported by<br>national fund, will continue making efforts to control overall<br>people-related operating costs.   |  |   | A                       |
| 2.4<br>Personnel<br>Management<br>Actions | (Recruitment)<br>2401 We will stablish a strategic resource plan in order to<br>compete in the global talent acquisition market while ensuring<br>a sustainable talent cost model for OIST. Based on the<br>approved resource allocation plan and position requisitions, we<br>will implement a fair and timely talent acquisition process,<br>proactively searching qualified, competitive pool of<br>candidates. HR and CPR will collaborate to leverage social<br>media for proactive communication to the external market,<br>reaching out to potential candidates who are not yet actively<br>looking for jobs. | <ul> <li>Number of employees</li> <li>(by job categories,<br/>nationalities and gender)</li> <li>Ratio of staff in<br/>administrative divisions<br/>to the total headcounts</li> <li>Ratio of labor costs to<br/>the total operational<br/>budget</li> </ul> | <ul> <li>(Recruitment)</li> <li>2401 President, Executive Vice President, Provost, Secretary</li> <li>General, Vice President for Human Resources had periodical</li> <li>meeting to determine new enrollment plan strategically. As for</li> <li>recruiting activities, with elimination of border control under</li> <li>COVID-19 pandemic, we have strategically promoted</li> <li>recruitment from overseas, which is gradually returning back to</li> <li>normal operation, and we actively promoted assignment</li> <li>changes of internal resources.</li> </ul> |                         |

| FY2022 Plan  | Metrics   | Achievements in FY2022  | f-<br>lu<br>on |
|--|---|---|----------------|
|  | • Salary level of<br>employees (average<br>salary by job category)  | Ratio of labor costs to the total operational budget : 44.2% (current estimate)   |                |
| 2402 We will improve recruitment processes to hire strong<br>talent much faster and more effectively, thereby increasing new<br>hire experience and ultimately OIST's employer branding. We<br>will strive to recruit excellent Okinawans.           | <ul> <li>Number of employees<br/>taking training programs</li> <li>Annual learning hours<br/>for administration staff<br/>(40 hours)</li> </ul> | 2402 We improved quality of screening process by involving,<br>in addition to a hiring manager, people in customer organization<br>who work closely with the individual after her/his joining. At<br>the same time, we had debriefing session with all interviewers<br>not only to make hiring decision but also to build strong on-<br>boarding support for the individual. We improved our hiring<br>home page, so that applicants can easily understand about<br>achievements of OIST. |                |
|  |   | Addmin     Techn     Resea       etc.     Faculty     Techns     Total  |                |
|  |   | Okin<br>awa         168         0         39         7         214         22%           Othe<br>rs         288         76         111         288         763         78%  |                |
|  |   | Total         456         76         150         295         977         100%   |                |
| 2403 We will plan a talent acquisition mechanism, both<br>external and internal, that is fair, open and the one that will help<br>bring the best out of the individual through standardized job<br>description and a career framework with clarified |   | 2403 Job descriptions were improved with critical information. On the other hand, we promote assignment changes of internal resources. As a result, # of hiring needs filled with internal resources were increased by 160% (vs. FY2021) in   |                |

| FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|---------|---|-------------------------|
| competencies, skills/expertise and experiences in a transparent/visible manner  |         | FY2022.   |                         |
| <ul> <li>2404 Take steps to build and maintain a work environment that is equitable and welcoming to all employees and students.</li> <li>Offer unconscious bias training and other appropriate diversity related programming to all members of the university.</li> <li>University Community Services and Buildings and Facilities Management Division will collaborate to plan, design and outfit appropriate facilities for pregnant women and working parents at OIST.</li> <li>Offer networking opportunities for all employees, regardless gender, nationality, organizational hierarchy etc.</li> <li>Promote diversity, equity and inclusiveness through presentations and networking opportunities for OIST and the Okinawan community.</li> </ul> |         | <ul> <li>2404 We conducted "Assertive Communication", "Conflict Management" training in addition to "Unconscious bias" to reinforce our diversity and inclusion culture.</li> <li>We changed Child/Nursing Care Leave policy in a way that all employees, regardless their service years or full/part-time, can apply for the leave, and we also continue operating Work From Home policy.</li> <li>Female managers actively participated in various activities sponsored by external public workgroups to promote gender equality in Okinawa via giving a lecture about development of female leaders and exchanging information of best practices.</li> </ul> |                         |
| <ul> <li>2405 We will inform and train OIST staff on various mediums for harassment incident reporting and resolutions such as external hotlines and others.</li> <li>2406 We will provide trainings on harassment prevention and handling sensitive cases to managers and professionals</li> </ul>   |         | <ul> <li>2405 All employees and students were informed of a process of reporting and resolution of any incident and/or complaints via e-mail and corporate webpages, and moreover it is shared at the new employee orientation.</li> <li>2406 We conducted "Assertive Communication", "Conflict Management" training in addition to "Unconscious bias"</li> </ul>   |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|---------|--|-------------------------|
| 2407 Develop and implement policies to promote the health<br>and safety of persons with disabilities at OIST by the revision<br>of Act on Employment Promotion etc. of Persons with<br>Disabilities scheduled for 2018. Provide counsel and input on<br>removing barriers for providing equal working opportunities,<br>as appropriate. |         | 2407 From a hiring process of persons with disabilities, we actively collaborate with external professional organizations and implemented necessary arrangement to create health work environment. We take the same approach toward existing employees with disabilities.  |                         |
| <ul> <li>2408 The OIST Health Center will provide reliable health care services such as health checkups for staff/students and support for hospital visits to OIST community.</li> <li>2409 The OIST clinic will provide first aid and other services such as hospital referral to OIST community.</li> </ul>                           |         | <ul> <li>2408 In addition to providing regular services such as annual health checkups, stress check program, and various health consultations, the OIST Health Center provided services to OIST employees/students, local residents, and community caregivers as a part of PCR testing and vaccination team against COVID-19 and disseminate the results of the stress check program with follow-up instructions.</li> <li>2409 The OIST Clinic cooperated with internal staff such as a staff concurrently working in research unit and outsourced staff from cooperating medical institutions and provided initial</li> </ul> |                         |
|   |         | diagnosis and vaccinations against<br>COVID-19 and other diseases.   |                         |
| (Compensation)<br>2410 Referring to salary levels of national government  |         | (Compensation)<br>2410 We analyzed the compensation for the incumbents new   |                         |
| employees and those of academic institutions in and outside of  |         | hires attritions compensation changes for transfers/promotions   |                         |
| Japan embody and implement actions following "On the  |         | and ensured our pay postures are at an equitable and sustainable   |                         |
| Salary of Officers and Employees of Special Public  |         | level.   |                         |
| Corporations, etc. (by Administrative Management Bureau,  |         | Attachment 2. 4-2 Salary Level of Employees  |                         |
| Ministry of Internal Affairs and Communications on  |         |  |                         |

|                              | FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|------------------------------|---|---------|---|-------------------------|
|                              | November 17, 2017).   |         |   |                         |
|                              | <ul> <li>(Talent Development and Management)</li> <li>2411 Based on results of organization diagnosis and<br/>involvement of selected management staffs, reinforce<br/>following systems, in order to improve productivity and<br/>develop next generation employees in line with OIST<br/>strategies;</li> <li>Management by objective</li> <li>Recruiting system</li> </ul> |         | (Talent Development and Management)<br>2411 In addition to reinforcement of Recruiting process, we<br>promoted active assignment changes of internal resource. As a<br>result, # of positions with internal resources were increased by<br>160% (vs. FY2021) in FY2022. |                         |
|                              | <ul> <li>Performance evaluation system</li> <li>Job grading system</li> <li>Assignment planning system</li> </ul> 2412 Restructure capability development mechanism for   |         | 2412 Restructuring of capability building mechanism for   |                         |
|                              | environment (including on-line training) with individual learning hour target (40 hours).   |         | learning (including on-line training) by setting individual<br>learning hour target (40 hours/year).<br>Attachment 2.4-3 Number of Employees Taking Training<br>Programs  |                         |
| 2.5<br>Compliance<br>Goal    | OIST Graduate University will ensure compliance in all aspects of the university operations.  |         |   | Α                       |
| 2.5<br>Compliance<br>Actions | (Compliance)<br>2501 Review the budget execution status and contracts<br>exceeding a predetermined threshold as well as new and<br>revised policies, rules and procedures from a viewpoint of<br>compliance.  |         | <ul> <li>(Compliance)</li> <li>2501 Continued to review the budget execution status and contracts exceeding a predetermined threshold as well as new and revised policies, rules and procedures from a viewpoint of compliance.</li> </ul>                              |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|---------|--|-------------------------|
| 2502 Continue to establish and revise policies, rules and<br>procedures appropriately in cooperation with the General<br>Counsel Office at the right time in response to revision of<br>relevant laws and regulations or changing situation and hold<br>the PRP review committee as needed to maintain consistency<br>in policies, rules and procedures as a whole. Provide legal<br>advice to each policy owner division in drafting and revising<br>the policies, rules and procedures.   |         | <ul> <li>2502 Established and revised policies, rules and procedures appropriately in cooperation with the General Counsel Office at the right time in response to revision of relevant laws and regulations or changing situation.</li> <li>Held the PRP Review Committee in March and shared the result of the PRP review in FY2021 and FY2022, and the progress and future direction of PRP overhaul project.</li> </ul>  |                         |
| 2503 Continue to ensure appropriate creation, management<br>and retention of documents concerning decision making and its<br>processes in the operation, based on the Act concerning the<br>Management of Public Documents (Act No. 66 of 2009) and<br>University Policy and rules that are developed accordingly.  |         | 2503 Ensured appropriate creation, arrangement and<br>preservation of corporate documents concerning decision<br>making and its processes in the operation, based on the Act<br>concerning the Management of Public Records (Act No. 66 of<br>2009) and University policy and rules developed accordingly. In<br>addition, the necessary updates of corporate registration<br>information and proper operations of official seal, etc. were<br>appropriately provided.   |                         |
| 2504 Handle personal information properly based on the Act<br>on the Protection of Personal Information held by Incorporated<br>Administrative Agencies etc. (Act No. 59 of 2003), the Act on<br>the Use of Numbers to Identify a Specific Individual in the<br>Administrative Procedure (Act No. 27 of 2013) and the<br>University policy and rules that are developed accordingly. In<br>addition, awareness on personal information is to be improved<br>through obligation for faculty and employees to observe the<br>University policy and rules including maintenance of a ledger<br>or etc. that manages retained personal information. |         | 2504 Gave advice to relevant divisions, handled personal<br>information properly based on the Act on the Protection of<br>Personal Information held by Incorporated Administrative<br>Agencies etc. (Act No. 57 of 2003), the Act on the Use of<br>Numbers to Identify a Specific Individual in the Administrative<br>Procedure (Act No. 27 of 2013) and the University policy and<br>rules developed accordingly. In addition, efforts were made to<br>improve the awareness on personal information through making<br>training materials for staff, maintenance of personal information<br>registry and provision of information on the amended law in |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|---------|--|-------------------------|
|   |         | cooperation with CISO.   |                         |
| 2505 Through Auditors' audit and internal audit, provide<br>rigorous review of the status of compliance including the<br>implementation of the policies and rules, and reflect the result<br>as necessary.  |         | 2505 Conducted internal audits based on the internal audit<br>plan under the Chief Compliance Officer to ensure proper<br>contract, procurement and accounting procedures. When<br>negotiated contract expenditures exceed a predetermined<br>threshold, the section leader in charge of rules and compliance<br>reviewed the appropriateness of the negotiated contracts which<br>are less than 5M JPY and the SG/ AVP reviewed the ones which<br>exceed 5M JPY. Concerning compulsory training in compliance,<br>we provided the e-learning programs for all faculty and |                         |
| 2506 To facilitate evaluation of situations that may give rise<br>to conflicts of interest, formal written disclosure of external<br>activities and commitments is required of all University<br>officers and employees each year, based on the PRP Section<br>22.3.1 in "Avoiding Conflicts of Interest & Commitment". |         | <ul> <li>employees (mandatory training for newly hired).</li> <li>2506 To facilitate evaluation of situations that may give rise to conflicts of interest, continuing from previous fiscal year, the Secretary General required all university officers and employees to disclose their external activities and commitments on a formal basis based on the PRP Chapter 22, and implemented its management and operation.</li> </ul>  |                         |
| 2507 Review of research protocols by review boards and professional staff will ensure that our research activities are compliant with pertinent regulations and laws.   |         | 2507 Review of research protocols by review boards and professional staff were implemented to ensure our research activities are compliant with pertinent regulations and laws.  |                         |
| 2508 For proper management of competitive research funds<br>including KAKENHI (Grants-in-Aid for Scientific Research),<br>we will continuously and thoroughly implement proper<br>management to the researchers through posting Misconduct  | 37      | 2508 For proper management of competitive research funds<br>including KAKENHI (Grants-in-Aid for Scientific Research),<br>we continuously and thoroughly implemented proper<br>management to the researchers through posting Misconduct  |                         |

| prevention plan.<br>2509 In addition, to prevent misconduct of research funds,<br>various measures will be taken, including initiatives for<br>ensuring thorough familiarization of all faculty members and<br>researchers with rules of use of research funds, and increasing<br>the awareness of thereof from the time they decided to join<br>OIST. We will explain about our rules of use of research funds<br>to new faculty members at the preliminary meeting before their<br>start. Also, after their arrival, we will explain the rules in more<br>details.  | l  | FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|--|--|---------|---|-------------------------|
| 2509 In addition, to prevent misconduct of research funds, various measures will be taken, including initiatives for ensuring thorough familiarization of all faculty members and researchers with rules of use of research funds, and increasing the awareness of thereof from the time they decided to join OIST. We will explain about our rules of use of research funds to new faculty members at the preliminary meeting before their start. Also, after their arrival, we will explain the rules in more details.  | prevention pl  | lan.   |         | prevention plan.  |                         |
|   | 2509 In add<br>various mea<br>ensuring thor<br>researchers w<br>the awarenes<br>OIST. We will<br>to new faculty<br>start. Also, af<br>details. | Idition, to prevent misconduct of research funds,<br>asures will be taken, including initiatives for<br>rough familiarization of all faculty members and<br>with rules of use of research funds, and increasing<br>ss of thereof from the time they decided to join<br>ill explain about our rules of use of research funds<br>ty members at the preliminary meeting before their<br>fter their arrival, we will explain the rules in more |         | 2509 To prevent misconduct of research funds, we explained<br>our rules of use of research funds to new faculty members and<br>researchers upon their arrival to increase awareness. We<br>explained our rules of use of research funds to new faculty<br>members at the preliminary meeting before their start. Also,<br>after their arrival, we explained the rules in more detail. |                         |
| 2510       We will provide online training for Responsible         Conduct of Research to all researchers and continue to improve       2510       We provided online training for Responsible Conduct of         Our efforts to prevent research misconduct by providing lecture       and discussion training to faculty, students, and research staff.       2510       We provided online training for Responsible Conduct of   | 2510 We<br>Conduct of R<br>our efforts to<br>and discussio   | will provide online training for Responsible<br>Research to all researchers and continue to improve<br>prevent research misconduct by providing lecture<br>on training to faculty, students, and research staff.   |         | 2510 We provided online training for Responsible Conduct of Research to all employees and students, including short-term visitors.  |                         |
| 2511 Additionally, since an OIST investigative committee<br>determined in 2021 that research misconduct had occurred in<br>one case, we will ensure that every employee is informed about<br>procedures and contact point for reporting an allegation and<br>proper research data archiving.  | 2511 Addit<br>determined in<br>one case, we<br>procedures as<br>proper resear  | tionally, since an OIST investigative committee<br>in 2021 that research misconduct had occurred in<br>will ensure that every employee is informed about<br>and contact point for reporting an allegation and<br>rch data archiving.   |         | 2511 The procedures and contact point for reporting allegations is available in the online training. We provided information on proper research data archiving to research units.   |                         |
| 2512 The Field Work Safety Committee will continue to<br>conduct strict review of field work plans and the Safety and<br>Health Committee will ensure safety and health at labs through<br>workplace inspections. In addition, we will enhance safety on<br>research and educational activities at sea and the labs in<br>2512 The Field Work Safety Committee discussed and<br>reevaluated regarding effective review methods and safety<br>management systems. As a result, it was decided to separate<br>specialized committee for scientific diving and establish Diving<br>Control Board to oversee the University's scientific diving | 2512 The conduct stric<br>Health Comm<br>workplace in<br>research and  | Field Work Safety Committee will continue to<br>et review of field work plans and the Safety and<br>mittee will ensure safety and health at labs through<br>inspections. In addition, we will enhance safety on<br>d educational activities at sea and the labs in   |         | 2512 The Field Work Safety Committee discussed and<br>reevaluated regarding effective review methods and safety<br>management systems. As a result, it was decided to separate<br>specialized committee for scientific diving and establish Diving<br>Control Board to oversee the University's scientific diving   |                         |

|             | FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|-------------|---|---------|---|-------------------------|
|             | accordance with established Safety Management System.             |         | program in accordance with the standards of the American Academy of Underwater Sciences (AAUS). |                         |
| 2.6         | The fast growth of the Graduate University requires OIST to       |         |   |                         |
| Information | guarantee transparency of academic and administrative             |         |   |                         |
| Disclosure  | operations, and accountability to the general public. In order to |         |   |                         |
| and Public  | obtain broad support for OIST both from Japan and overseas,       |         |   | Α                       |
| Relations   | and to enhance worldwide recognition of the Graduate              |         |   |                         |
| Goal        | University, we will communicate actively with various             |         |   |                         |
|             | stakeholders and promote OIST.                                    |         |   |                         |
| 2.6         | (Information Disclosure and Public Relations)                     |         | (Information Disclosure and Public Relations)   |                         |
| Information | 2601 Disclose the information appropriately on the OIST           |         | 2601 Continued to disclose the information timely and   |                         |
| Disclosure  | website etc. to comply with the School Education Act (Act No.     |         | appropriately on the OIST website etc. to comply with the                                       |                         |
| and Public  | 26 of 1947) and the Act on Access to Information held by IAIs     |         | School Education Act and the Act on Public Access to  |                         |
| Relations   | (Act No. 140 of 2001).  |         | Information held by IAIs.   |                         |
| Actions     |   |         |   |                         |
|             | 2602 OIST will continue to improve its websites, including        |         | 2602 Released and redesigned the main website and   |                         |
|             | the News Center, to ensure that design, layout and user           |         | completely revamped the navigation structure around the   |                         |
|             | experience are consistent with best practices. Organize press     |         | University's main institutional goals of research, education,                                   |                         |
|             | events, which share information with journalists in Okinawa       |         | innovation, and outreach, and added "Research Specialties" tags                                 |                         |
|             | and on the mainland in order to secure positive press coverage.   |         | in other to make it easier to search for related articles and other                             |                         |
|             |   |         | information.  |                         |
|             |   |         |   |                         |
|             | 2603 Continually improve and grow social media and digital        |         | 2603 In this fiscal year, 1,500 posts were made to social media                                 |                         |
|             | channels (including Facebook, Twitter, Instagram, LinkedIn,       |         | (a 50% increase over the previous year), The average post                                       |                         |
|             | Flickr, YouTube, Vimeo).  |         | engagement rate for a was 6.44% (compared to 5.05% last year).                                  |                         |
|             |   |         | Twitter (EN): 23% increase in followers   |                         |
|             |   |         | Twitter (JA): 31% increase in followers   |                         |

|            | FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|------------|---|---------|---|-------------------------|
|            |   |         | LinkedIn: 82% increase in followers                               |                         |
|            |   |         | YouTube: 136 videos available                                     |                         |
|            |   |         | Mailing list: subscribers increase at a rate of 1~3% per month    |                         |
|            |   |         | Flickr (public photos): 93 albums created                         |                         |
|            |   |         | In the 10th anniversary project of the university, a video (story |                         |
|            |   |         | video) summarizing the history of the university from its         |                         |
|            |   |         | establishment to the present was made. In addition, by including  |                         |
|            |   |         | the University's credit in the photos, viewers can use them and   |                         |
|            |   |         | thereby help the University recognition. We have devised a way    |                         |
|            |   |         | to enhance a LINE account. It was established to provide          |                         |
|            |   |         | Japanese-language content.  |                         |
|            | 2604 Maintain and improve the library of OIST Policies,         |         | 2604 Maintained and improved the library of OIST Policies,        |                         |
|            | Rules and Procedures on the website.                            |         | Rules and Procedures on the website.                              |                         |
|            | 2605 In case of any incident, CPR will consult, where           |         | 2605 To ensure the University's reputation is maintained and      |                         |
|            | necessary with the General Counsel Office other executive       |         | it can respond immediately in the event of an emergency, the      |                         |
|            | members and release timely information in consideration of      |         | University maintains daily contact with the Japanese media and    |                         |
|            | reputation risk. To protect websites from zero-day attacks that |         | has conducted two Crisis Communications Training sessions.        |                         |
|            | leverage software vulnerabilities, OIST websites are hosted     |         | Protected by its cloud hosting service's update support and       |                         |
|            | with support to ensure that security updates are applied        |         | dynamic resource management, the OIST website has suffered        |                         |
|            | promptly.   |         | neither cyberattack nor slowdowns or unresponsiveness.            |                         |
| Chapter. 3 | Finance   |         |   | 1                       |
| 3          | Based on the OIST Mid-Term Strategy for External Funding        |         |   |                         |
| Finance    | revised again in the previous fiscal year, OIST Graduate        |         |   | Δ+                      |
| Goal       | University will broaden its financial basis strategically by    |         |   | 11                      |
|            | proactively increasing the amount of research grants,           |         |   |                         |

|         | FY2022 Plan   | Metrics                   | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---------|---|---------------------------|--|-------------------------|
|         | donations, and other income sources for more independent operation in the future. |                           |  |                         |
| 3       | (Gratnts)   | • Increase of the number  | (Grants)   |                         |
| Finance | 3101 Increase opportunities to deliver grant information,                         | of awarded research       | 3101 We provided grant-related information through our           |                         |
| Actions | available application support, importance of networking etc. to                   | grants (number and        | website and email.   |                         |
|         | OIST researchers through Grants and Research Collaborations                       | amount)                   |  |                         |
|         | Section's website and visit to their offices.                                     |                           |  |                         |
|         |   | • The total amount        |  |                         |
|         | 3102 Under the leadership of Dean of Research, we strive to                       | including academic        | 3102 To promote applications for a large-scale research          |                         |
|         | strategically prepare applications for the large-scale program                    | external funding,         | program, we organized research workshops with potential          |                         |
|         | with inter-institutional collaboration aided by a domestic and                    | collaborative research    | partner universities and visited the other party.                |                         |
|         | international network.  | and commissioned          |  |                         |
|         |   | research with industry,   |  |                         |
|         | 3103 Collect information about grant opportunities in Japan                       | donations, tuition and    | 3103 We distributed information on research grants to our        |                         |
|         | and abroad and communicate these on a regular basis to                            | other revenue is targeted | university research community via TIDA and email. In addition    |                         |
|         | members of our research community. In addition, the section                       | to 1,170 million yen      | to attending online briefings and review meetings, we made       |                         |
|         | will actively communicate with major funding agencies to                          | (7.06% of the ordinary    | efforts to collect information by having our Dean of Research    |                         |
|         | collect information about any precursory activities leading to                    | expenses of the subsidy   | and other staff members visit funding agencies for exchange of   |                         |
|         | announcements of new grants.  | budget).                  | opinions.  |                         |
|         | 3104 We anticipate healthy proportional increase of third-                        |                           | 3104 Achieved significant success in pursuing a broad range      |                         |
|         | party income hoping for added income encouraged through                           |                           | of grant funding opportunities ranging from local Prefectural    |                         |
|         | incentive systems.  |                           | research grants and private foundation grants to national Center |                         |
|         |   |                           | grants:  |                         |
|         |   |                           | - Promoted 75 grant opportunities from private foundations       |                         |
|         |   |                           | to the OIST research community and supported 34                  |                         |
|         |   |                           | applications by providing advice, translation, and               |                         |
|         |   |                           | administrative services. 15 proposals were awarded.              |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---------|---|-------------------------|
| 3105 Encourage and incentivize researchers to collaborate<br>with industry and apply for public and private-sector<br>innovation grants and contracts.         |         | <ul> <li>Received a grant from the Okinawa Prefectural Government to support the Startup Accelerator Program for the 5<sup>th</sup> year.</li> <li>Awarded funding from the "Center of Excellence for the Sustainable Integration of Land-based Aquaculture with Agriculture" (led by the University of Ryukyus), to support startup acceleration programs.</li> <li>Worked closely with the OIST Office of the Dean of Research to secure a 3B JPY, 10-year grant from MEXT to establish the Bioconvergence Center of Innovation.</li> <li>Awarded 1B JPY grant to fund a new incubator building (Incubator #3) through the "Development of Industry-Academia Co-Creation Center" Program from METI.</li> <li>Re-invited to participate in the 2023 Novartis Institutes for BioMedical Research (NIBR) Global Scholars Program (NGSP) - 1 of only 4 institutions in Japan and 60 globally.</li> <li>3105 We continued another incentive scheme for professors and Science Technology Group members to provide a one-off salary bonus in proportion to the amount of funding received. The scheme motivated the researchers to apply for grants, and we gained its first large institutional grant COI-NEXT Biovonvergence Center of Innovation, as one of the significant achievements.</li> </ul> |                         |
| <ul><li>(Donations)</li><li>3106 OIST manages gift and donation solicitation including representation in the USA. This activity will be strengthened</li></ul> |         | (Donation)<br>3106 Organized the new fundraising office structure to<br>separate the US part and focus on domestic Japan specifically. A  |                         |

|                         | FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|-------------------------|---|---------|---|-------------------------|
|                         | also in Japan with strategic outreach to perspective donors in<br>and outside Okinawa through the Tokyo office.   |         | new Chief Advancement Officer in charge of Japan and Asia<br>was hired. Stewarded major donations to OIST programs such<br>as Research Support, OIST x Keio Summer Exchange, Women<br>in Science (including a project featuring Japanese women<br>mathematicians) and Chimugukuru fund (internships for<br>scientists affected by the Russian-Ukraine war). Expanded the<br>operational capability of managing various types of donations,<br>such as Bequest gift, Naming rights, Donation through the<br>corporate Furusato nozei program, Corporate supporter<br>program. Enhanced networks in Kanto area through the Tokyo<br>office, and in Okinawa with the support of OIST affiliated<br>members. We raised over 24 million yen donations which was<br>the highest amount in the last three years. |                         |
|                         | 3107 Donation management process for Furusatonozei will<br>be established.  |         | 3107 Established donation management process and role<br>assignments for Furusatonozei in collaboration with the<br>Advancement Officer Japan and Asia.   |                         |
| Chapter. 4 Co           | ontribution to Self-Sustainable Development of Okinawa  |         |   | 1                       |
|                         | In order to promote the transfer of discoveries made in the   |         |   |                         |
| Contribution            | research laboratories to industry for societal and economic<br>hangit and to faster inneviation at QIST and in Okinewa wa   |         |   |                         |
| to Sell-<br>Sustainable | will implement the following broad measures:  |         |   |                         |
| Development             | a) Identify protect and market research discoveries with the  |         |   |                         |
| of Okinawa              | aim of promoting innovation and technology transfer   |         |   | <b>A</b> +              |
| Goal                    | <ul> <li>b) Manage the proof-of-concept program to support<br/>innovative technology research and drive inventions<br/>towards commercialization</li> <li>c) Foster entrepreneurship and the creation and incubation</li> </ul> |         |   |                         |

|   | FY2022 Plan   | Metrics   | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|---|---|--|-------------------------|
|   | <ul> <li>of startup companies with the aim of developing an innovation ecosystem (R&amp;D cluster) in Okinawa</li> <li>d) Expand collaborations with industry as a mechanism to develop new technologies and promote technology transfer</li> <li>e) Strengthen regional, national, and international partnerships with innovative public and private organizations with the aim of developing an innovation ecosystem (R&amp;D cluster) in Okinawa</li> <li>f) Understand the components and indicators of successful innovation in science and technology and their socio-economic impact on Okinawa</li> </ul> |   |  |                         |
| 4                                       | (Technology Transfer and Innovation)  | • Number of official  | (Technology Transfer and Innovation)   |                         |
| Contribution<br>to Self-<br>Sustainable | a) Identify, protect, and market research discoveries with the aim of promoting innovation and technology transfer  | contacts with companies,<br>with the view of future<br>collaborations and   | a) Identify, protect, and market research discoveries with<br>the aim of promoting innovation and technology transfer  |                         |
| Development<br>of Okinawa<br>Actions    | 4101 Proactively engage with faculty, researchers and<br>students to capture inventions by holding meetings and<br>educational events.  | <ul> <li>licensing (65)</li> <li>Number of collaborative projects with companies (collaboration/sponsored research agreements, MOUs, etc.) (20)</li> <li>Intellectual property indicators (number of invention disclosures (15),</li> </ul> | <ul> <li>4101 Organized 3 "Introduction to IP" seminars. Held more than 100 meetings with faculty and researchers to advise on IP</li> <li>Proactive outreach to faculty and researchers on intellectual property resulted in the following achievements:</li> <li>19 new invention disclosures</li> <li>40 new patent applications filed</li> <li>26 patents granted</li> </ul> Attachment 4. 1-1 Patent Status |                         |

| FY2022 Plan   | Metrics  | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|--|---|-------------------------|
| 4102 Evaluate market potential and competitive<br>environment of intellectual property to strengthen<br>commercialization strategy.   | patents filed (55) and<br>awarded (30),<br>commercialization of<br>intellectual property (2),<br>etc.)   | <ul> <li>4102 Received pro bono services from consulting firm to<br/>help develop commercialization strategy for OIST<br/>technologies.</li> <li>Developed marketing materials for 84 IPs available for<br/>licensing</li> </ul>  |                         |
| <ul> <li>b) Manage the Proof-of-Concept Program to support<br/>innovative technology research and to drive inventions<br/>towards commercialization</li> <li>4103 Provide hands-on support to POC projects and<br/>Technology Pioneer Fellows towards their commercialization<br/>targets.</li> </ul> | <ul> <li>Number of symposia,<br/>meetings, workshops, and<br/>seminars organized or<br/>hosted by OIST on topics<br/>related to innovation,<br/>entrepreneurship, and<br/>R&amp;D Cluster<br/>development (12)</li> <li>Number of participants<br/>in events, courses,<br/>symposia, meetings,<br/>workshops, and seminars<br/>organized or hosted by<br/>OIST on topics related to<br/>innovation,<br/>entrepreneurship, and<br/>R&amp;D Cluster<br/>development (375)</li> </ul> | <ul> <li>b) Manage the Proof-of-Concept Program to support<br/>innovative technology research and to drive inventions<br/>towards commercialization</li> <li>4103 Added 6 new POC projects in FY22 and continued to<br/>support 14 ongoing projects (Total: 20):</li> <li>7 ITR</li> <li>5 Phase I</li> <li>4 Phase II</li> <li>4 Technology Pioneer Fellowships.</li> <li>Hands-on support for POC projects included:</li> <li>103 hours of meetings with POC project teams</li> <li>12 OIST Innovation Society meetings</li> <li>61 hours of DeepTech training courses (12 sessions)</li> </ul> |                         |

| FY2022 Plan   | Metrics  | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|--|--|-------------------------|
| 4104 Expand and strengthen the panel of industry reviewers,<br>experts, and mentors for the POC Program.  | • Number of active POC<br>projects and Technology<br>Pioneer fellowships (21)  | <ul> <li>4104 Developed a website to recruit mentors and reviewers.</li> <li>Recruited 42 new reviewers and mentors to reach 100+ global experts.</li> <li>10 experts were engaged to advise the POC Program in FY22.</li> </ul>   |                         |
| 4105 Start a discussion on developing new programs that<br>more directly link POC projects to successful<br>commercialization outcomes including startups.        | • Number of OIST and<br>external startups<br>supported by<br>entrepreneurial projects,   | <ul><li>4105 POC project spins out new startup company, ACI Research.</li><li>2 technologies supported by POC Program have been licensed</li></ul>   |                         |
| c) Foster entrepreneurship and the creation and incubation of<br>startup companies with the aim of developing an<br>innovation ecosystem (R&D cluster) in Okinawa | the Startup Accelerator<br>Program, incubator<br>facility, and other<br>entrepreneurship   | c) Foster entrepreneurship and the creation and incubation of startup companies with the aim of developing an innovation ecosystem (R&D cluster) in Okinawa  |                         |
| 4106 Operate the Startup Accelerator Program to attract<br>entrepreneurs from around the world to incubate startups in<br>Okinawa.                                | <ul> <li>programs (25)</li> <li>Number of companies in<br/>the Innovation Network at<br/>OIST (INO) (20)</li> <li>Number of visits and<br/>visitors (including<br/>visitors on the day of the<br/>Science Festival)</li> </ul> | <ul> <li>4106 Two teams were selected to join the Accelerator</li> <li>Program (Cohort FY22):</li> <li>Osaka Heat Cool (Cohort FY22) participated in<br/>Consumer Electronics Show 2023, established a branch<br/>office in Okinawa, and received a grant from the Bank of<br/>Okinawa</li> <li>Anda (Colombia): Helping Parkinson's patients walk<br/>better and improve their quality of life through AR<br/>technology</li> </ul> |                         |
|   | • Number of local students who visited the campus  | <ul> <li>FY22 Achievements of Accelerator Program alumni:</li> <li>Osaka Heat Cool (Cohort FY22) participated in<br/>Consumer Electronics Show 2023, established a branch<br/>office in Okinawa, and received a grant from the Bank of</li> </ul>  |                         |
| FY2022 Plan  | Metrics   | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---|---|-------------------------|
| 4107 Provide a diverse range of support and educationa opportunities in entrepreneurship to meet the needs of faculty researchers, and students.   | <ul> <li>Number of lectures<br/>and talks for local<br/>students</li> <li>Number of employees<br/>from Okinawa<br/>(researchers and staff)</li> <li>% of hiring from<br/>Okinawa residences</li> <li>Number of externally<br/>organized international<br/>conferences and<br/>workshops and number<br/>of participants at the<br/>OIST venue</li> </ul> | <ul> <li>Okinawa</li> <li>Anda (Cohort FY22) incorporated in Okinawa as Tree<br/>Oceans and received a grant from the Bank of Okinawa</li> <li>REPS Japan (Cohort FY18) partnered with Ryubo to<br/>develop products to be sold in convenience stores across<br/>Japan</li> <li>Sage Sentinel Smart Solutions (Cohort FY20) incorporated<br/>in Okinawa</li> <li>HerLifeLab (Cohort FY21) received venture capital<br/>investment</li> <li>GenomeMiner (Cohort FY21) received venture capital<br/>investment</li> <li>EF Polymer (Cohort FY19) recruited 3 new employees<br/>in Okinawa</li> <li>Attachment 4. 1-3 Startups</li> <li>4107 Provided 20 entrepreneurship training courses and<br/>seminars for 384 participants</li> <li>Organized 3 events for Global Entrepreneurship Week (Nov 14-<br/>18) for 130 participants</li> </ul> |                         |
| 4108 Operate the Innovation Square Incubator Facility as a<br>launchpad for startups and a collaboration space for<br>researchers and industry partners. Evaluate needs for<br>additional innovation spaces. |   | <ul> <li>4108 38 tenants in the current 500m2 incubator building (Incubator #1).</li> <li>Added additional 312m2 of innovation spaces through effective use of space in the labs.</li> <li>Laboratory in Lab 3 Level C for POC and Accelerator teams</li> <li>Transformed the lobby of Lab 3 Level A into an open</li> </ul>  |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>atior |
|--|---------|--|-------------------------|
| 4109 Promote venture capital support for startups, including<br>the establishment of an OIST Venture Fund. |         | <ul> <li>innovation gathering space</li> <li>Opened a joint R&amp;D lab with Corundum Systems Biology<br/>in Lab 4</li> <li>Opened a joint R&amp;D lab with Sony CSL in Lab 5</li> <li>Designed new incubator building (Incubator #2)<br/>Received a grant to fund a new incubator building (Incubator<br/>#3) through the "Development of Industry-Academia Co-<br/>Creation Center" program sponsored by METI</li> <li>4109 Concluded a service agreement with Lifetime Ventures<br/>to establish the OIST-Lifetime Ventures Fund</li> <li>Targets 5B JPY in 2 funds (Seed Fund, Growth Fund). Seed<br/>Fund successfully closed.</li> <li>"Friends of OIST" group of individuals invested as LPs</li> <li>General Partner established an office at OIST</li> <li>Made seed investments in OIST startups</li> <li>New partnerships established for startup support:</li> <li>Development Bank of Japan</li> <li>Inspire Corporation</li> <li>AgVenture Lab</li> <li>BRAVE (the acceleration arm of Beyond Next Ventures)</li> <li>Bain &amp; Company</li> <li>Organized 6 pitch events with investors</li> </ul> |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---------|---|-------------------------|
| 4110 Start a discussion on developing new programs that<br>strengthen the scale and quality of human resources taking<br>risks to create new startups.   |         | <ul> <li>4110 Established a partnership with Hitotsubashi University<br/>Business School to connect science and business. Matched 5<br/>Hitotsubashi MBA students with OIST POC projects and<br/>startups for summer internships.</li> <li>Lifetime Ventures provided venture-building advice to OIST<br/>entrepreneurs.</li> <li>Lifetime Ventures offered venture capital internship<br/>opportunities to OIST students/researchers.</li> </ul> |                         |
| <ul> <li>d) Expand collaborations with industry to facilitate<br/>development of new technologies and promotion of<br/>technology transfer</li> </ul>  |         | <ul> <li>d) Expand collaborations with industry to facilitate<br/>development of new technologies and promotion of<br/>technology transfer</li> </ul>   |                         |
| 4111 Promote collaborative research with industry through<br>meetings, exhibitions, and networks. Operate an industry<br>affiliates program to expand connections with local, national,<br>and global companies. |         | <ul> <li>4111</li> <li>Held meetings with 80 companies to promote OIST research/startups.</li> <li>Participated in 4 exhibitions to showcase OIST research and technologies.</li> <li>Strengthened social media channels to promote OIST research, technologies and startups.</li> <li>Worked with a creative consultancy agency to re-brand the Technology Development &amp; Innovation Center as OIST Innovation.</li> </ul>                    |                         |
|  |         | Selected achievements include:         - Recruited 39 members to the OIST Innovation Network (INO).         - Established a joint R&D lab with Sony CSL for   |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|---------|--|-------------------------|
|   |         | <ul> <li>AI/Metaverse research.</li> <li>New research collaboration established with an INO member company.</li> <li>Bioconvergence Center of Innovation founded with 10 industry partners.</li> <li>OIST Innovation Newsletter for industry members amassed 100 subscribers.</li> <li>OIST Innovation LinkedIn channel surpassed 1,400 followers.</li> <li>Attachment 4. 1-4 Industry-related Collaboration and Innovation Seminars and Events</li> </ul>   |                         |
| 4112 Pursue grant funding for innovation research from the<br>Okinawa Prefectural Government, national government<br>programs, corporate foundations, and others. |         | <ul> <li>4112 (Same as 3104) Achieved significant success in pursuing a broad range of grant funding opportunities ranging from local Prefectural research grants and private foundation grants to national Center grants:</li> <li>Promoted 75 grant opportunities from private foundations to the OIST research community and supported 34 applications by providing advice, translation, and administrative services. 15 proposals were awarded.</li> <li>Received a grant from the Okinawa Prefectural Government to support the Startup Accelerator Program for the 5<sup>th</sup> year.</li> <li>Awarded funding from the "Center of Excellence for the Sustainable Integration of Land-based Aquaculture with Agriculture" (led by the University of Ryukyus), to support startup acceleration programs.</li> <li>Worked closely with the OIST Office of the Dean of Research to secure a 3B JPY, 10-year grant from MEXT to</li> </ul> |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|--|---------|--|-------------------------|
|  |         | <ul> <li>establish the Bioconvergence Center of Innovation.</li> <li>Awarded 1B JPY grant to fund a new incubator building<br/>(Incubator #3) through the "Development of Industry-<br/>Academia Co-Creation Center" Program from METI.</li> <li>Re-invited to participate in the 2023 Novartis Institutes for<br/>BioMedical Research (NIBR) Global Scholars Program<br/>(NGSP) - 1 of only 4 institutions in Japan and 60 globally.</li> </ul> |                         |
| 4113 Streamline complex industry agreements and advise on commercialization policies.  |         | 4113 Drafted 4 template agreements to streamline academic-<br>industry collaborations. Implemented a full revision of PRP<br>Chapter 14.   |                         |
| 4114 Start a discussion on developing new frameworks to implement the outcomes of collaborative research with industry.  |         | <ul> <li>4114 Explored 2 new frameworks to promote outcomes of collaborative research with industry:</li> <li>Joint R&amp;D labs for co-creation (e.g., Joint R&amp;D lab established with Sony CSL)</li> <li>Framework Agreements to promote broader, longer-term relationships with companies (e.g., agreement concluded with the Development Bank of Japan)</li> </ul>  |                         |
| e) Strengthen regional, national, and international<br>partnerships with innovative public and private<br>organizations with the aim of developing an innovation<br>ecosystem (R&D cluster) in Okinawa |         | <ul> <li>e) Strengthen regional, national, and international partnerships<br/>with innovative public and private organizations with the<br/>aim of developing an innovation ecosystem (R&amp;D cluster)<br/>in Okinawa</li> </ul>  |                         |
| 4115 Coordinate interactions with local, national, and global industry organizations.  |         | <ul> <li>4115</li> <li>Concluded an MOU with Okinawa Industry Promotion<br/>Public Corporation to strengthen industry and promote</li> </ul>   |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---------|---|-------------------------|
|  |         | <ul> <li>startups in Okinawa.</li> <li>Organized visits by 6 industry associations including<br/>Keidanren, Keizai Doyukai and OkinawaKonwakai.</li> <li>Joined the Okinawa Bio-Community as a member.</li> <li>Joined the Okinawa Startup Innovation Ecosystem<br/>Consortium as an organizing committee member.</li> <li>Selected to join the J-Innovation Hub, sponsored by METI.</li> <li>Joined the "Platform for All Regions of Kyushu and<br/>Okinawa for Startup Ecosystem (PARKS)" led by Kyushu<br/>University to support venture creation in the Kyushu-<br/>Okinawa region.</li> <li>Selected as a partner organization for the "Center of<br/>Excellence for the Sustainable Integration of Land-based<br/>Aquaculture with Agriculture" led by the University of<br/>Ryukyus.</li> <li>New framework agreement concluded with the<br/>Development Bank of Japan.</li> </ul> |                         |
| 4116 Network with government and industry experts to advise on strategy to strengthen the innovation ecosystem in Okinawa. |         | <ul> <li>4116</li> <li>Participated in exchange meetings with the Okinawa<br/>Prefectural Government and Onna Village on innovation<br/>and entrepreneurship.</li> <li>Connected to organizers of the "Global Innovation<br/>Campus", a Cabinet Office-sponsored initiative to promote<br/>startups.</li> <li>Networked with the Shibuya Startup Support initiative of<br/>the Shibuya City Office.</li> </ul>  |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---------|---|-------------------------|
| 4117 Organize meetings and events to gather stakeholders in innovation such as industry, government, entrepreneurs, and academia.  |         | 4117 Organized 45 seminars, courses, and events to promote innovation and entrepreneurship attended by 1,225 participants from industry and academia etc.   |                         |
| 4118 Start a discussion on developing new systems to<br>manage industry-academic-government partnerships that<br>contribute to regional economic development.  |         | 4118 Initiated 2 projects in the Bioconvergence Center of<br>Innovation to develop new systems for: (1) industry-academic-<br>government partnerships; and (2) startup acceleration.  |                         |
| <ul> <li>f) Understand the components and indicators of successful<br/>innovation in science and technology and their socio-<br/>economic impact on Okinawa</li> </ul>   |         | <ul> <li>f) Understand the components and indicators of successful<br/>innovation in science and technology and their socio-<br/>economic impact on Okinawa</li> </ul>  |                         |
| 4119 Establish partnerships and methods necessary to<br>advance analysis of innovation indicators at OIST and in<br>Okinawa.   |         | 4119 A software implemented to track, analyze, and report<br>on key performance indicators for technology<br>commercialization activities   |                         |
| (Networking with Local Institutions and Communities)<br>4120 OPG (Okinawa Prefecture Government)/OIST<br>Working Group will continue as a regular forum for<br>information/opinion exchanges and coordination. Through this<br>forum, stronger collaborative relationship e.g. in OIST's<br>contribution to the new Okinawa Development Plan and many<br>other specific joint activities will be further strengthened. |         | (Networking with Local Institutions and Communities)<br>4120 Closer cooperative relationships have been established<br>with the Science and Technology Promotion Division and<br>related departments of Okinawa Prefectural Government<br>through regular and irregular information exchanges and mutual<br>visits. |                         |
| 4121 Provide an annual program of campus visits, school<br>and community engagement, community-focused science<br>promotion, open talks an lectures, and education-led outreach.<br>Provide events that highlight Okinawan and other cultures and  |         | <ul> <li>4121</li> <li>"Public Lecture by Prof. Svante Pääbo in Tokyo" 2022<br/>Noble Prize in Physiology or Medicine. The lecture was<br/>held at the University of Tokyo: 950 attendees.</li> </ul>   |                         |

| FY2022 Plan  | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|--|---------|---|-------------------------|
| which help promote community cohesion. Ensure an<br>appropriate breadth of community engagement across the<br>Okinawan Prefecture, including remote islands. |         | <ul> <li>Distinguished Global Thinkers: "The Neanderthal Genome<br/>and Modern Human Evolution" by Dr. Svante Pääbo. The<br/>event was held in conjunction with the International House<br/>of Japan: 30 attendees.</li> <li>10<sup>th</sup> Anniversary Events:<br/>Main attendees: Hiroyuki Hosoda, Speaker of the House of<br/>Representatives, Kosaburo Nishime, Minister of State for<br/>Okinawa and Northern Affairs, Denny Tamaki, Governor<br/>of Okinawa Prefecture, Yoshimi Nagahama, Mayor of<br/>Onna Village, Takeshi Niinami, President and CEO,<br/>Suntory Holdings Limited.</li> <li>10<sup>th</sup> Anniversary Ceremony: 260 attendees</li> <li>Anniversary Concert: 270 attendees</li> </ul> |                         |
|  |         | <ul> <li>Due to the spread of COVID-19 infection, campus tours were suspended until November and resumed in December.</li> <li>Campus Visit (On-campus and Online)<br/>Visitors: 7,141 (online: 227)<br/>School Visit: 26 schools, 1,479 students (online:5)</li> <li>The following outreach programs were implemented in person and online.</li> <li>ONNA/OIST Children's School of Science (August 14 – August 19)<br/>Due to the spread of COVID-19 infection just before the event, the class was switched to online. 155 students from inside and outside Onna Village participated in one lesson for each of the six classes taught by OIST researchers and</li> </ul>                                      |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|---------|---|-------------------------|
|   |         | <ul> <li>professors.</li> <li>"SCORE!" Science contest for high school students<br/>8 schools, 16 teams, 46 students</li> <li>OIST Campus Art Wall Project</li> <li>9 students from Afuso Elementary School in Onna village<br/>joined painting on OIST Campus Art Wall at OIST Energy<br/>Lab 2.</li> <li>"OIST Science Festival" Science event for public<br/>600 people joined the event on campus and 200 people<br/>joined online.</li> <li>"OIST Science Trip" Science lecture and program in<br/>remote islands</li> <li>Science Trip in Iheya: 70 participants</li> <li>Science Trip in Yaeyama: 90 participants</li> <li>Science Trip in Miyako: 160 participants</li> </ul> |                         |
| (Other Matters concerning Okinawa Development)41224122We will maintain capacity of COVID-19 PCR tests and<br>genome sequencing of positive samples to co-operate with<br>prevention of spread of the new corona virus in Okinawa<br>Prefecture.41234123We will provide internship opportunities for local |         | <ul> <li>(Other Matters concerning Okinawa Development)</li> <li>4122 We maintained capacity of COVID-19 PCR tests to co-<br/>operate with prevention of spread of the new corona virus in<br/>Okinawa Prefecture. Total number of PCR tests conducted<br/>during FY2022 was 282,177, with the total number 447,995<br/>tests since FY2020.</li> <li>4123 We provided internship opportunities for local students</li> </ul>  |                         |
| students at Core Facilities, Health Center etc. to help their<br>improvement of job awareness and gain hands-on experience.   |         | at Core Facilities, Health Center etc. to help their improvement<br>of job awareness and gain hands-on experience.  |                         |

|                | FY2022 Plan  | Metrics               | Achievements in FY2022  | Self-<br>evalu<br>ation |
|----------------|--|-----------------------|---|-------------------------|
| Chapter. 5 Uni | versity Campus and Community Development; Safety and E   | nvironment Protection |   |                         |
| 5.1            | OIST Graduate University will develop the campus as  |                       |   |                         |
| Campus         | planned.   |                       |   |                         |
| Development    |  |                       |   | A                       |
| Goal           |  |                       |   |                         |
| 5.1            | (Campus Development)   |                       | (Campus Development)  |                         |
| Campus         | 5101 Closely monitor construction schedule of infrastructure   |                       | 5101 Lab 5 Building and Infrastructure works were completed   |                         |
| Development    | and building works for Lab 5 with tight management and   |                       | on schedule and within the allocated budget.  |                         |
| Actions        | continuous effort to reduce construction costs.  |                       |   |                         |
|                | 5102 We will promote facility management by<br>systematically renewing, repairing, and maintaining existing<br>campus buildings, facilities, and equipment from a medium- to<br>long-term perspective, based on an understanding of their<br>current status, in order to extend their service life and make<br>effective use of space. |                       | 5102 For the management of campus facilities, carefully considered long-term repair plans, equipment and infrastructure maintenance, repair, and replacement planning and budgeting was carried out for the next fiscal year. |                         |
|                | 5103 Based on the Act for Promoting Proper Tendering and<br>Contracting for Public Works (Act No. 127 of 2000), promote<br>disclose of pre- and post- tendering and contract information<br>such as tendering schedule and result, etc., to ensure<br>transparency.  |                       | 5103 BFM is strictly following required procedures, Act No.<br>127 of 2000, for Promoting Proper Tendering and Contracting<br>for Public Works and disclosing related information to the<br>public.                           |                         |
| 5.2            | Maintain an inclusive culture that fosters equitable   |                       |   |                         |
| University     | opportunities and services for all members of the OIST   |                       |   | Α                       |
| Community      | community from diverse backgrounds. Provide services,  |                       |   |                         |
| and            | programs, shared experiences, and a unifying identity that   |                       |   |                         |

|            | FY2022 Plan  | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|------------|--|---------|--|-------------------------|
| Education/ | contributes to a vibrant OIST community. The services and    |         |  |                         |
| Childcare  | programs operate across the entire OIST community, in        |         |  |                         |
| service    | collaboration with administration and academic units to      |         |  |                         |
| Goal       | provide meaningful and impactful engagement and services for |         |  |                         |
|            | all the OIST community.                                      |         |  |                         |
| 5.2        | (Developing the University Community)                        |         | (Developing the University Community)                            |                         |
| University | 5201 Enhance high-quality programs to support the daily      |         | 5201 In order for the OIST community to be able to get the       |                         |
| Community  | living needs of stakeholders (OIST employees, students, and  |         | information they are looking for, Resource Center kept updating  |                         |
| and        | their families)  |         | the website with the daily life and local information including  |                         |
| Education/ | - Enhance the onboarding experience for family members       |         | how-to videos, local information such as grocery information,    |                         |
| Childcare  | - Continue to collaborate with relevant Divisions/Sections   |         | Okinawa culture information.                                     |                         |
| service    | within OIST to introduce an early-inclusion program for      |         | Collaborated with Recruiting Section of HR, and Faculty          |                         |
| Actions    | individuals accepting positions at OIST and their families   |         | Recruiting Team, shared the information available at the         |                         |
|            | prior to relocation.   |         | Resource Center with candidates even before they accept the      |                         |
|            | - Continue to foster a network of family ambassadors. The    |         | position. Resource Center also provided in-person consultation   |                         |
|            | Family Ambassador Network is formed by spouses of            |         | with the candidates if they requested.                           |                         |
|            | OIST employees. The network's primary purpose is to          |         | Closely worked with Relocation Support Services Section to       |                         |
|            | share information about OIST and its surroundings from       |         | share the information such as the housing information especially |                         |
|            | the family member's perspective to new employees and         |         | when OIST employees were leaving an apartment.                   |                         |
|            | their family members. This network was designed to help      |         | Continued to support OIST staff who was hosting visiting         |                         |
|            | new employees and their family members obtain helpful        |         | researchers and guests by creating their account and providing   |                         |
|            | information from people familiar with living in Okinawa.     |         | guest card pass.   |                         |
|            | - Collaborate with HR division to ensure the smooth and      |         |  |                         |
|            | effective on-boarding of OIST employees and their            |         |  |                         |
|            | families through the provision of accurate information       |         |  |                         |
|            | regarding accommodation, child-care services, family         |         |  |                         |
|            | support, medical services and daily living needs.            |         |  |                         |
|            | - Provide support for OIST staff who provide services to     |         |  |                         |

|   | FY2022 Plan   | Metrics | Achievements in FY2022  | Self-<br>evalu<br>ation |
|---|---|---------|---|-------------------------|
|   | visiting researchers and invited guests, such as data<br>registration and maintenance of the database, and provide<br>assistance to visiting researchers and invited guests<br>during their stay at OIST.   |         |   |                         |
| 5<br>d                                      | 5202 Provide quality and cost-effective food services to the<br>liverse OIST community.   |         | 5202 Discussed issues and provision of better services at Food<br>Services Advisory Committee and worked closely for the<br>selection of café operator. Made various arrangements with the<br>internal and external stakeholders to provide the products that<br>reflect the needs of a diverse OIST community at the new shop<br>in the Village Center. Worked closely with CPR for regular<br>updates on food services to OIST community. Jointly organized<br>a seminar with SDGs Initiatives on plant-based food and the<br>possibility for sustainable future. |                         |
| 5<br>r                                      | 5203 Continue to develop and oversee the procedures to regulate quality of food vendor services   |         | 5203 Held monthly meetings with Café, Restaurant and Shop<br>operators to review services and discuss new initiatives.<br>Transferred the bento vendor management to the restaurant<br>operator to increase variety and convenience.  |                         |
| 5<br>q<br>ti<br>n<br>ti<br>s<br>n<br>n<br>n | The Ganjuu Service will continue to provide high<br>quality evidence-based services that support the wellbeing of<br>he whole OIST community (students, staff and family<br>members including children) with the aim of helping people<br>hrive and perform at their best. We will work closely with all<br>stake holders to ensure that the range of services provided,<br>meet the needs of the OIST community. We will support<br>members of the OIST community and advocate on their behalf |         | 5204 The Ganjuu Service have continued to provide a responsive service that supports the wellbeing and mental health of the OIST community. The service has worked closely with key stakeholders throughout the organization and has a strong working relationship with the OIST Researcher Community (ORC) and Student Council. The Ganjuu Service ran a range of workshops and other engagement activities for the wider OIST community and provided training and reflective practice   |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|---------|--|-------------------------|
| when requested to do so, to raise and address inequalities. We<br>will continue to provide workshops that support the wellbeing<br>of the OIST community and increase individual resilience<br>among OIST community members and continue to support<br>wellbeing initiatives. Together with other community service,<br>we will try to restore the sense of community that has been hit<br>hard by COVID-19 over the last year.         |         | workshops to Graduate School and CDC staff. The Ganjuu<br>Service also provided support to the OIST community following<br>the marine accident and advised on best practice regarding the<br>organization's psychological response.  |                         |
| 5205 Recreation Services will oversee community engagement activities including support and oversight of club activities.   |         | 5205 Recreation Services has managed a total of 46 official OIST clubs, helping to ensure that club activities are conducted safely and appropriately.   |                         |
| 5206 Oversee the use of shared community space in the<br>OIST village zone, fitness gym, Seaside House (Lounge, Deck,<br>Patio, Tennis Courts, Soccer field), Clay Factory, Lounge in the<br>new housing.   |         | 5206 Responded to approximately 250 facility reservation<br>requests from the OIST community. Conducted 47 orientation<br>sessions for prospective gym users and have managed 232 active<br>users. Recreation Services also ensured that all community<br>spaces were in safe condition, and made arrangements for<br>repairs as needed. |                         |
| <ul> <li>5207 Schedule and support recreational activities, events, classes, seminars for the whole OIST community that encourage physical, social and emotional wellbeing.</li> <li>Identify opportunities to partner with local community constituents to host events and share facilities.</li> <li>Identify leisure activities in Okinawa and Okinawan cultural opportunities for OIST community members to participate.</li> </ul> |         | <ul> <li>5207 Organized 38 recreation activities, workshops and programs for OIST community.</li> <li>Fitness activities : 14</li> <li>Social activities : 2</li> <li>Cultural engagement opportunities : 12</li> <li>Educational programs : 7</li> <li>SDG-related activities and workshops : 3</li> </ul>                              |                         |
|   | 59      |  |                         |

| FY2022 Plan   | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|---------|--|-------------------------|
| 5208 The University will investigate the availability of and<br>promote access to recreation and sporting facilities on and off<br>campus.  |         | 5208 Have continued discussions with the Housing<br>Management Section to increase the space available for<br>recreational activities on campus. Worked with the Onna Board<br>of Education to utilize the sports facilities managed by Onna<br>Village for OIST club activities.  |                         |
| 5209 Informed by survey results, continue to improve the quality of language education services provided to staff, family members and Okinawan community members.   |         | 5209 The Language Education Section ran three surveys at the<br>end of each semester. Points for improvement were discussed<br>with each related teacher who then implemented changes in<br>class. A survey was conducted in the grammar class to determine<br>OIST relevant needs of students. E-mail lessons were added to<br>grammar lessons after being identified as something students<br>needed for their jobs. |                         |
| 5210 Explore more flexible teaching schedules and enhanced program offerings.   |         | 5210 The number of Japanese classes was adjusted based on<br>the demands and teachers' availability. Survival Japanese<br>seminars (walk-in classes) and advanced conversation practice<br>were also offered. Basic English classes were offered at lunch<br>time to provide more accessibility for the target audience.   |                         |
| (Education and Childcare Services for OIST Family)<br>5211 Continue efforts to improve the educational<br>environment of children of OIST employees and students<br>through STEM and SEL programming in the provided<br>childcare services. |         | <ul> <li>(Education and Childcare Services for OIST Family)</li> <li>5211 The CDC Classroom teachers plan each week to include</li> <li>5 areas of focus (Physical, Language and Literacy, Art, STEM (Science, Tech, Engineering and Math) and SEL (Social Emotional Learning).</li> <li>The SAP implemented STEM and weekly SEL programming during both the Holiday and regular Afterschool Programs.</li> </ul>      |                         |

| FY2022 Plan   |  | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---|--|--|-------------------------|
| <ul> <li>5212 Continue to provide a high quality, fully bilingual (English and Japanese) Pre-school and School-aged (Afterschool/Holiday) programs through Child Development Center (CDC) and School Aged Program (SAP). Enrollment in these programs is expected to continue to grow.</li> <li>Review CDC and SAP staffing models to ensure continuous high-quality childcare services</li> <li>Review CDC and SAP revenue and expenses to support a sustainable funding model through appropriate budgeting and fees</li> <li>Review space needs for the CDC and the SAP</li> <li>Improve the administrative processes and training for staff in the CDC and SAP</li> <li>The CDC Liaison Committee will meet regularly to support the CDC and SAP</li> <li>The CDC Oversite Committee will review CDC and SAP operations and provide advice and recommendations</li> <li>The CDC Parent Teacher Committee will meet regularly with CDC management to offer advice and recommendations</li> </ul> |  | <ul> <li>5212 CDC and SAP continues to maintain a higher ratio than legally required for children and SAP ensure that there is a monitor on the bus to ensure safety.</li> <li>CDC and SAP budget and execution was regularly monitored and adjusted accordingly.</li> <li>CDC staff were offered multiple opportunities to attend trainings, including 3 staff who went to the biggest conference for Early Childhood Educators in the world to provide a high quality and fully bilingual programs.</li> </ul> |                         |
| 5213 Continue to provide appropriate educational opportunities in English for the children of OIST staff and students attending Japanese public schools in Okinawa. To support recruitment and retention of the best faculty, staff and students, OIST will support families to access internationally recognized schooling. e.g. providing information on international schools.   |  | <ul> <li>5213 Education Coordinator has provided English educational support to English speaking OIST children who attend Japanese schools and updated the schooling option website to keep the information up to date.</li> <li>In response to the requests from the Faculty Recruiting Team, the Education Coordinator provided schooling information to faculty candidates in person or on zoom several times.</li> </ul>   |                         |

|                           | FY2022 Plan   | Metrics | Achievements in FY2022   | Self-<br>evalu<br>ation |
|---------------------------|---|---------|--|-------------------------|
|                           | <ul> <li>Continue to assess and review the educational opportunities for international and Japanese families in Okinawa and to make this information readily available to parents.</li> <li>Continue to evaluate the feasibility of an international Baccalaureate IB K-12 school for OIST families and the local community.</li> <li>Develop a process to conduct periodic international school parent satisfaction survey and validate school educational and support service accreditation.</li> </ul> |         | Organized an information session at OIST with the participation<br>of several international schools in Okinawa so that parents could<br>obtain school information directly.  |                         |
|                           | (Student Support)<br>5214 OIST will provide comprehensive support to provide a<br>safe and healthy environment for students, including<br>proactively reaching out to students for early problem<br>detection, increasing communication with students, and<br>seamless coordination of support across campus.   |         | (Student Support)<br>5214 (Same as 1110) Graduate School provided adequate<br>support to students in need through understanding their needs<br>through consultation, advocating on their behalf in<br>communication with other parties as necessary, and supplying<br>plans for solution and needed support, etc., promptly. |                         |
|                           | 5215 Schedule and support recreational activities, events, classes, seminars for the OIST students that encourage physical, social and emotional wellbeing.   |         | <ul> <li>5215 (Same as 5207) Organized 38 recreation activities, workshops and programs for OIST community.</li> <li>Fitness activities : 14</li> <li>Social activities : 2</li> <li>Cultural engagement opportunities : 12</li> <li>Educational programs : 7</li> <li>SDG-related activities and workshops : 3</li> </ul>   |                         |
| 5.3<br>Safety<br>Goal (1) | The Emergency Response and Business Continuity Plans will<br>be operated and rehearsed with training exercises, and safety<br>and emergency response at each department will be ensured   |         |  | A                       |

|               | FY2022 Plan  |  | FY2022 PlanMetricsAchievements in FY2022                         |  | Achievements in FY2022 | Self-<br>evalu<br>ation |
|---------------|--|--|--|--|------------------------|-------------------------|
|               | through workplace inspections.                               |  |  |  |                        |                         |
| 5.3           | (Safety)   |  | (Safety)   |  |                        |                         |
| Safety        | 5301 The Emergency Response and Business Continuity          |  | 5301 We secured stable operations via immediate update and       |  |                        |                         |
| Action (1)    | Plans will be operated and further rehearsed with training   |  | deploy OIST counter actions based on the national and            |  |                        |                         |
|               | exercises.   |  | prefectural policies on COVID-19.                                |  |                        |                         |
|               | 5302 Ensure safety and emergency response at each            |  | 5302 The results of workplace inspections by Health Officers     |  |                        |                         |
|               | department through workplace inspections.                    |  | were shared at the monthly Safety and Health Committee           |  |                        |                         |
|               |  |  | meeting. Safety posters were created and posted throughout the   |  |                        |                         |
|               |  |  | campus to raise awareness of safety issues frequently identified |  |                        |                         |
|               |  |  | out during inspections. The Safety and Health Committee          |  |                        |                         |
|               |  |  | investigates all reported workplace accidents and near-miss      |  |                        |                         |
|               |  |  | reports. The results of the investigation reports are used to    |  |                        |                         |
|               |  |  | disseminate information and conduct safety education activities  |  |                        |                         |
|               |  |  | to prevent the recurrence of similar accidents on campus.        |  |                        |                         |
|               | 5303 Enhance the sustainability of the campus under natural  |  | 5303 To provide OIST as an evacuation site for neighborhood      |  |                        |                         |
|               | disasters in collaboration with Onnason and offer the campus |  | residents, in the event of a major emergency situation, BFM      |  |                        |                         |
|               | to local residents for evacuation under disasters.           |  | have secured stockpiles of emergency food and other supplies.    |  |                        |                         |
|               |  |  | BFM have added a container to store stockpiles and made efforts  |  |                        |                         |
|               |  |  | to secure an appropriate quantity of stockpiles.                 |  |                        |                         |
| 5.4           | OIST Graduate University will conduct its business in an     |  |  |  |                        |                         |
| Environmental | environmentally friendly manner and support sustainability   |  |  |  |                        |                         |
| Protection    | efforts towards the advancement of The Sustainable           |  |  |  |                        |                         |
| Goal (2)      | Development Goals (SDGs) by the United Nations.              |  |  |  |                        |                         |
| 5.4           | (Environmental Protection)                                   |  | (Environmental Protection)                                       |  |                        |                         |
| Environmental | 5401 Promote use of recycled products.                       |  | 5401 BFM continues to encourage the use of recycled              |  |                        |                         |

|                           | FY2022 Plan  |  | Achievements in FY2022                                   |   |  | Self-<br>evalu<br>ation                                    |  |
|---------------------------|--|--|--|---|--|--|--|
| Protection<br>Actions (2) |  |  | products when pla<br>are used for copy                   | acing constru-<br>paper and to          | uction orders<br>ilet paper on               | . Recycled products campus.                                |  |
|                           | 5402 Monitor and optimize operations to minimize volume of greenhouse gas emission and energy consumption.           |  | 5402 Since the<br>LNG consumption<br>emissions per staff | LNG cogen<br>on has incr<br>f member ha | eration syste<br>eased by ov<br>s reduced by | m began operation,<br>ver 50%, but CO <sup>2</sup><br>11%. |  |
|                           |  |  | Item   | Amount<br>per n                         | per staff<br>10nth                           | Reduction  |  |
|                           |  |  |  | FY2021                                  | FY2022                                       | (%)  |  |
|                           |  |  | CO2 emission<br>(tCO2)                                   | 1.51                                    | 1.35   | -11%   |  |
|                           |  |  | Electricity<br>(kwh)                                     | 1,656                                   | 1,577  | -5%  |  |
|                           |  |  | Water (m3)   | 3.54                                    | 4.25   | +17%   |  |
|                           |  |  | A-oil (Liter)  | 56.7                                    | 58.25  | +3%  |  |
|                           |  |  | LP Gas (m3)  | 0.12                                    | 0.09   | -23%   |  |
|                           |  |  | LNG Gas(m3)  | 7.01                                    | 14.69  | +52%   |  |
|                           | 5403 Minimize environmental impact on surrounding waters through providing measures such as enhancing the proper use |  | 5403 Periodic<br>monitoring survey                       | water qua                               | lity testing<br>lucted to con                | and environmental firm that there is no                    |  |
|                           | and management of the water recycling system. In addition, prevent impact to local aquifers.                         |  | environmental im<br>on local aquifers.                   | pact on the s                           | urrounding w                                 | vaters and no impact                                       |  |

| FY2022 Plan  |  | Achievements in FY2022   |  |   | Se<br>eva<br>ati                           | elf-<br>/alu<br>tion |
|--|--|--|--|---|--|----------------------|
|  |  | BOD<br>SS<br>PH  | Onna Village<br>Standard<br>10ppm<br>10ppm<br>5~7                                  | OIST's<br>Standard<br>2ppm<br>2ppm<br>5~7   |  |                      |
| 5404 For various construction works associated with facility<br>development, provide sufficient measures such as installation<br>of turbid water treatment plants to prevent red soil run off. |  | 5404 BFM ha<br>eliminate red soi<br>careful protective<br>ponds and the util | as been working<br>l running to the w<br>measures, includir<br>lization of muddy w | hard to minimize<br>vaterways and sea<br>ng construction of p<br>vater purification p | e and/or<br>through<br>retention<br>lants. |                      |
| 5405 Manage campus facilities and landscaping to preserve natural balance and protect indigenous species.  |  | 5405 FM cond<br>worked to manag<br>natural balance an                        | ucted environmenta<br>ge campus facilitie<br>nd protect indigeno                   | al monitoring surv<br>s and grounds to<br>us species.                                 | veys and preserve                          |                      |

# 令和4年度 業務実績報告 添付資料リスト

# List of Attachment Documents to the FY2022 Performance Report

| No. | File No. | 資料名                             |
|-----|----------|---------------------------------|
| 1   | 1.1-1    | 外部の奨学金等を獲得した学生数                 |
| 2   | 1.1-2    | 令和4年度 学術交流協定一覧                  |
| 3   | 1.1-3    | 学生に関する情報                        |
| 4   | 1.2-1    | 令和4年度 OIST 研究施設の外部利用者           |
| 5   | 1.2-2    | 令和4年度 OIST論文・発表数                |
| 6   | 1.3-1    | 令和4年度 研究に関する受賞実績                |
| 7   | 1.3-2    | 令和4年度 アウトリーチ活動実績                |
| 8   | 1.4-1    | 令和4年度 OIST主催によるワークショップ・ミニシンポジウム |
| 9   | 2. 4-1   | 令和4年度 職位毎・国籍別職員数                |
| 10  | 2.4-2    | 令和4年度 職員の給与水準                   |
| 11  | 2.4-3    | 令和4年度 研修の受講職員数                  |
| 12  | 3. 1-1   | 外部資金・寄附金獲得状況                    |
| 13  | 4. 1-1   | 特許出願状況                          |
| 14  | 4. 1-2   | 令和4年度 POCプロジェクト                 |
| 15  | 4. 1-3   | 令和4年度 スタートアップ                   |
| 16  | 4.1-4    | 令和4年度 受託研究等(産学連携)及びイベント         |

| No. | File No. | Document Name   |
|-----|----------|---|
| 1   | 1.1-1    | Number of Students Receiving External Scholarships, etc.                      |
| 2   | 1.1-2    | FY2022 List of Agreements with Other Universities                             |
| 3   | 1.1-3    | Students Information  |
| 4   | 1.2-1    | FY2022 The Number of Use of our Research Facilities by External Organizations |
| 5   | 1.2-2    | FY2022 OIST Publications and Presentations                                    |
| 6   | 1.3-1    | FY2022 Number of Research Honors/Awards                                       |
| 7   | 1.3-2    | FY2022 Outreach by Faculty and Researchers                                    |
| 8   | 1.4-1    | FY2022 List of OIST Funded Workshops/Mini-Symposia                            |
| 9   | 2. 4-1   | FY2022 Number of Employees  |
| 10  | 2. 4-2   | FY2022 Salary Level of Employees  |
| 11  | 2. 4-3   | FY2022 Number of Employees Taking Training Programs                           |
| 12  | 3. 1-1   | FY2022 External Grants and Donations Table                                    |
| 13  | 4. 1-1   | Patent Status   |
| 14  | 4. 1-2   | FY2022 POC Projects   |
| 15  | 4. 1-3   | FY2022 Startups   |
| 16  | 4.1-4    | FY2022 Industry-related Collaboration and Innovation Seminars and Events      |

# Attachment 1.1-1 Number of Students Receiving External Scholarships, etc.

添付資料1.1-1 外部の奨学金等を獲得した学生数

1. Number of external scholarship received in FY22/令和4年(2022)年度外部資金受給者数

| External Fund                                 | 外部資金の名称                   | # of students receiving the fund/<br>受給者数 |
|---|---------------------------|---|
| FY22 JSPS Fellows (DC)                        | 令和4年度採用分日本学術振興会特別研究員(DC)  | 7   |
| Kuma Foundation Creators Scholarship (FY2022) | クマ財団クリエイター奨学金・6期生(2022年度) | 1   |
| Tobe Maki Scholarship Foundation 継続           | 公益財団法人 戸部眞紀財団 継続          | 1   |

#### 2. Number of grant applications supported and success ratio in FY22/令和4(2022)年度外部資金申請者数

| External Fund                                     | 外部資金の名称                     | # of application/申請者数 | # of Acceptance/獲得数 | Success Ratio/獲得率 |
|---|-----------------------------|-----------------------|---------------------|-------------------|
| FY23 JSPS fellows (DC)                            | 令和5年度採用分日本学術振興会特別研究員(DC)    | 68                    | 10                  | 15%               |
| JSPS Ikushi Prize                                 | 令和4年度日本学術振興会育志賞             | 3                     | 0                   | 0%                |
| FY2023 JEES • T.Banaji Indiann Student Scholarshi | 令和5年度JEES・T.バナージインド留学生奨学金   | 1                     | 0                   | 0%                |
| FY2022 Tobe Maki Scholarship Foundation           | 2022年度(令和4年度) 公益財団法人 戸部眞紀財団 | 2                     | 0                   | 0%                |

### Attachment 1. 1-3 FY2022 List of Agreements with Other Universities

# 添付資料1.1-3 令和4年度 学術交流協定一覧

| University / Institution                                       | 大学・機関                    | Country | 国     | Type of Agreement   | 協定のタイプ                              | New / Continue | 新規/継続 |
|--|--------------------------|---------|-------|---|-------------------------------------|----------------|-------|
| University of the Ryukyus                                      | 琉球大学                     | Japan   | 日本    | Agreement of Cooperation  | 連携協力に関する協定書                         | Continue       | 継続    |
| Okinawa National College of Technology                         | 沖縄工業高等専門学校               | Japan   | 日本    | Agreement of Cooperation  | 連携協力に関する協定書                         | Continue       | 継続    |
| Graduate School of Medicine, Osaka University                  | 大阪大学大学院医学系研究科            | Japan   | 日本    | Special Research Student  | 特別研究学生                              | Continue       | 継続    |
| Graduate School of Informatics Kyoto University                | 京都大学大学院情報学研究科            | Japan   | 日本    | Special Research Student  | 特別研究学生                              | Continue       | 継続    |
| Institute of Medical Science, The University of Tokyo          | 東京大学医科学研究所               | Japan   | 日本    | Academic Exchange Agreement   | 学術交流協定                              | Continue       | 継続    |
| Okinawa Churashima Foundation                                  | 沖縄美ら島財団                  | Japan   | 日本    | Agreement on Scientific and Academic Cooperation  | 科学・学術協力に関する基本協定書                    | Continue       | 継続    |
| School of Science, The University of Tokyo                     | 東京大学理学部                  | Japan   | 日本    | Memorandum of Understanding on Student Exchange   | 学生交流に関する覚書                          | Continue       | 継続    |
| Academia Sinica  | 中央研究院 (Academia Sinica)  | Taiwan  | 台湾    | Memorandum of Understanding on Scientific and Academic<br>Cooperation                                   | 科学・学術協力に関する覚書                       | Continue       | 継続    |
| RIKEN  | 理化学研究所                   | Japan   | 日本    | Agreement on Scientific and Academic Cooperation  | 科学・学術協力に関する基本協定書                    | Continue       | 継続    |
| The University of Tokyo  | 東京大学                     | Japan   | 日本    | Agreement on Scientific and Academic Cooperation  | 科学・学術協力に関する基本協定書                    | Continue       | 継続    |
| Keio University  | 学校法人慶應義塾(慶應義塾大学)         | Japan   | 日本    | Agreement on Scientific and Academic Cooperation  | 科学・学術協力に関する基本協定書                    | New            | 新規    |
| Naha Coast Guard Office  | 那覇海上保安部                  | Japan   | 日本    | Comprehensive Collaboration Agreement   | 包括業務協力に関する協定書                       | New            | 新規    |
| Toyota Technological Institute ("TTI")                         | 豊田工業大学                   | Japan   | 日本    | Agreement on External Co-supervision of an OIST student   | 学外副研究指導に関する合意書                      | Continue       | 継続    |
| Ecole Pratique des Hautes Etudes (EPHE)                        | 高等研究実習院 (EPHE)           | France  | フランス  | Agreement on Acceptance of Student  | 学生受け入れに関する合意書 特別研究学生                | Continue       | 継続    |
| University of Augsburg (UniA)                                  | アウクスブルク大学                | Germany | ドイツ   | Agreement on External Co-supervision of an OIST student   | 学外副研究指導に関する合意書                      | Continue       | 継続    |
| The Hebrew University of Jerusalem (HUJI)                      | ザ・ヒーブロー・ユニバーシティ・オブ・エルサレム | Israel  | イスラエル | Agreement on External Co-supervision of an OIST Student   | 学外副研究指導に関する合意書                      | Continue       | 継続    |
| National Chiao Tung University                                 | 国立交通大学                   | Taiwan  | 台湾    | Agreement on Acceptance of Student  | 学生受け入れに関する合意書 特別研究学生                | Continue       | 継続    |
| Johannes Gutenberg University Mainz (JGU)                      | ヨハネス・グーテンベルク大学マインツ       | Germany | ドイツ   | Agreement on Acceptance of Student  | 学生受け入れに関する合意書 特別研究学生                | Continue       | 継続    |
| The Graduate University for Advanced Studies, SOKENDAI         | 総合研究大学院大学                | Japan   | 日本    | Agreement on Acceptance of Student  | 学生受け入れに関する合意書 特別研究学生                | Continue       | 継続    |
| Max Planck Institute for the Physics of Complex Systems        | マクスプランク (MPIPCS)         | Germany | ドイツ   | Request for approval on External Study and Research of a PhD student and conclusion of the agreement    | エクスターナルスタディアンドリサーチ及び協定締結の<br>承認について | New            | 新規    |
| Deutsches Zentrum für Neurodegenerative Erkrankungen<br>(DZNE) | ドイツ神経変性疾患センター            | Germany | ドイツ   | Request for approval on External Study and Research of a PhD student and conclusion of the agreement    | エクスターナルスタディアンドリサーチ及び協定締結の<br>承認について | New            | 新規    |
| Institut Català d'Investigació Química ("ICIQ")                | ICIQ インスティテュート カタラナ      | Spain   | スペイン  | Request and Standard Terms and Conditions for Hosting an<br>OIST Student                                | 学生受け入れに関する依頼及び標準規約                  | New            | 新規    |
| Weizmann Institute of Science                                  | ワイツマン科学研究所               | Germany | ドイツ   | Agreement on External Co-supervision of an OIST Student   | 学外副研究指導のための契約締結について                 | New            | 新規    |
| University of Tokyo  | 東京大学                     | Japan   | 日本    | Agreement on External Co-supervision of an OIST Student   | 学外副研究指導のための契約締結について                 | New            | 新規    |
| Harvard University   | ハーバード 大学                 | USA     | 米国    | Request for approval on External Study and Research of a PhD<br>student and conclusion of the agreement | エクスターナルスタディアンドリサーチの承認について           | New            | 新規    |
| Osaka University   | 大阪大学                     | Japan   | 日本    | Request for approval on External Study and Research of a PhD<br>student and conclusion of the agreement | エクスターナルスタディアンドリサーチの承認について           | New            | 新規    |
| Kyoto University   | 京都大学                     | Japan   | 日本    | Request for approval on External Study and Research of a PhD<br>student and conclusion of the agreement | エクスターナルスタディアンドリサーチ及び協定締結の<br>承認について | New            | 新規    |
| Queen Mary University London                                   | クイーン・メアリー ロンドン大学 (QMUL)  | UK      | 英国    | Request for approval on External Study and Research of a PhD<br>student and conclusion of the agreement | エクスターナルスタディアンドリサーチ及び協定締結の<br>承認について | New            | 新規    |
| The Graduate University for Advanced Studies, SOKENDAI         | 総合研究大学院大学                | Japan   | 日本    | Agreement on Acceptance of Student  | 学生受け入れに関する合意書 特別研究学生                | New            | 新規    |
| The Graduate University for Advanced Studies, SOKENDAI         | 総合研究大学院大学                | Japan   | 日本    | Agreement on Acceptance of Student  | 学生受け入れに関する合意書 特別研究学生                | New            | 新規    |
| The Graduate University for Advanced Studies, SOKENDAI         | 総合研究大学院大学                | Japan   | 日本    | Agreement on Acceptance of Student  | 学生受け入れに関する合意書 特別研究学生                | New            | 新規    |
| Stanford University  | スタンフォード大学                | USA     | 米国    | Request for approval on External Study and Research of a PhD student and conclusion of the agreement    | エクスターナルスタディアンドリサーチ及び協定締結の<br>承認について | New            | 新規    |
| Weizmann Institute of Science                                  | ワイツマン科学研究所               | Germany | ドイツ   | Request for approval on External Study and Research of a PhD student and conclusion of the agreement    | エクスターナルスタディアンドリサーチ及び協定締結の<br>承認について | New            | 新規    |
| Institute of Biological Chemistry, Academia Sinica             | IBC - 中央研究院生物化學研究所       | Taiwan  | 台湾    | Request for approval on External Study and Research of a PhD student and conclusion of the agreement    | エクスターナルスタディアンドリサーチ及び協定締結の<br>承認について | New            | 新規    |

### Attachment 1. 1-3 Students Information 添付資料1. 1-3 学生に関する情報

| Metrics   | 指標                          | Number / 数值 |
|---|-----------------------------|-------------|
| 1 Number of applications for the PhD program (AY2022) | 国内外からの博士課程志願者数(令和4学年度)      | 573         |
| Japanese  | 国内                          | 19          |
| International   | 海外                          | 554         |
| Male  | 男性                          | 399         |
| Female  | 女性                          | 174         |
| 2 Number of admitted PhD students (Class 2022)        | 国内外からの博士課程入学者数(令和4学年度入学生)   | 50          |
| Japanese  | 国内                          | 9           |
| International   | 海外                          | 41          |
| Male  | 男性                          | 35          |
| Female  | 女性                          | 15          |
| 3 Number of graduates (Total)                         | 博士課程修了者数(合計)                | 118         |
| AY2016  | 平成28学年度                     | 7           |
| AY2017  | 平成29学年度                     | 13          |
| AY2018  | 平成30学年度                     | 15          |
| AY2019  | 令和元学年度                      | 25          |
| AY2020  | 令和2学年度                      | 17          |
| AY2021  | 令和3学年度                      | 23          |
| AY2022*   | 令和4学年度*                     | 18          |
| 4 PhD student retention rate (%)*                     | <br>博士課程学生の定着率*             |             |
| Class 2012  | 平成24学年度入学生                  | 85          |
| Class 2013  | 平成25学年度入学生                  | 85          |
| Class 2014  | 平成26学年度入学生                  | 85          |
| Class 2015  | 平成27学年度入学生                  | 88          |
| Class 2016  | 平成28学年度入学生                  | 91          |
| Class 2017  | 平成29学年度入学生                  | 89          |
| Class 2018  | 平成30学年度入学生                  | 91          |
| Class 2019  | 令和元学年度入学生                   | 87          |
| Class 2020  | 令和2学年度入学生                   | 92          |
| Class 2021  | 令和3学年度入学生                   | 98          |
| Class 2022  | 令和4学年度入学生                   | 98          |
| 5 Average number of students per faculty member*      | 教員1人あたりの平均学生数*              | . 3         |
| Number of applications for the RI program             | リサーチインターンシップ・プログラム応募者数      |             |
| <sup>6</sup> (GS fund and Unit fund)                  | (研究科オフィス及びユニットの予算負担)        |             |
| AY2019  | 令和元学年度                      | 2,894       |
| AY2020  | 令和2学年度                      | 3,723       |
| AY2021  | 令和3学年度                      | 3,118       |
| AY2022*   | 令和4学年度*                     | 2,876       |
| _ Number of Research Interns                          | リサーチインターンの人数                |             |
| <pre>/ (GS fund and Unit fund)</pre>                  | (研究科オフィス及びユニットの予算負担)        |             |
| AY2019  | 令和元学年度                      | 52          |
| AY2020  | 令和2学年度                      | 57          |
| AY2021  | 令和3学年度                      | 117         |
| AY2022*   | 令和4学年度*                     | 102         |
| Number of agreements with other universities          | 学術交流協定締結数                   |             |
| • (List of FY2022 separately attached)                | (令和4年度の締結分は「学術交流協定一覧」に別途記載) | 34          |

# Attachment 1.2-1 FY2022 The number of use of our research facilities by external organizations 添付資料1.2-1 令和4年度OIST 研究施設の外部利用者

| 利用概要                          |        | 利用者区分            | 団体数 | 利用人数 | 利用期間               | 利用金額<br>(円) |
|-------------------------------|--------|------------------|-----|------|--------------------|-------------|
| 300kV クライオ電子顕微鏡の利用            | 民間企業   | 業(OISTスタートアップ企業) | 1   | 2    | 2022/4/1-2023/3/31 | 151,492     |
| 200kV クライオ電子顕微鏡の利用            | 民間企業   | 業(OISTスタートアップ企業) | 1   | 2    | 2022/4/1-2023/3/31 | 85,337      |
| PLASSYS BESTEK MEB550S2-HVの利月 | 月 学術機問 | 均                | 1   | 3    | 2023/3/7-2023/3/31 | 550,000     |

| Outline of Use                        | User Classification             | # of<br>Organizations | # of total<br>users | Duration of Use    | Amount<br>(Yen) |
|---------------------------------------|---------------------------------|-----------------------|---------------------|--------------------|-----------------|
| Use of 300kV Cryo Electron Microscope | Private company (OIST Start-up) | 1                     | 2                   | 2022/4/1-2023/3/31 | 151,492         |
| Use of 200kV Cryo Electron Microscope | Private company (OIST Start-up) | 1                     | 2                   | 2022/4/1-2023/3/31 | 85,337          |
| Use of PLASSYS BESTEK MEB550S2-HV     | Acadmic institute               | 1                     | 3                   | 2023/3/7-2023/3/31 | 550,000         |

# 添付資料1.2-2 令和4年度 OIST論文·発表数

# Attachment 1.2-2 FY2022 OIST Publications and Presentations

OIST 論文数 · 講演数

(ユニット別)

令和4年度

OIST Scientific Productivity

(by unit)

FY2022

|    | Unit Name Books and edited J<br>books |                | Book Chapter and<br>Journal Articiles (incl.<br>conference<br>proceedings) | Seminars and<br>Presentations at<br>conferences including<br>poster presentations | Dissertations, Online<br>Databases, etc. | Unit Total |  |
|----|---------------------------------------|----------------|--|---|--|------------|--|
|    | ユニット名                                 | 書籍の執筆・編<br>集の数 | 書籍の章及び学術<br>論文 (国際会議論文<br>含む)  | セミナーの数, 学会で<br>のプレゼン(ポスター<br>プレゼン含む)の数  | 博士論文、オンラ<br>インデータベース<br>等                | ユニット別合計    |  |
|    | Total                                 | 2              | 579  | 1076  | 62                                       | 1719       |  |
| 1  | Abdulla                               | 0              | 3  | 7   | 0  | 10         |  |
| 2  | Armitage                              | 0              | 2  | 8   | 1  | 11         |  |
| 3  | Bandi                                 | 0              | 3  | 4   | 0  | 7          |  |
| 4  | Bourguignon                           | 0              | 16   | 40  | 0  | 56         |  |
| 5  | Busch                                 | 0              | 20   | 16  | 4  | 40         |  |
| 6  | Chakraborty                           | 0              | 1  | 3   | 1  | 5          |  |
| 7  | Christine Luscombe                    | 0              | 18   | 26  | 0  | 44         |  |
| 8  | Cid                                   | 0              | 1  | 3   | 0  | 4          |  |
| 9  | Dani                                  | 0              | 5  | 25  | 1  | 31         |  |
| 10 | De Schutter                           | 0              | 9  | 18  | 1  | 28         |  |
| 11 | Dieckmann                             | 0              | 6  | 15  | 0  | 21         |  |
| 12 | Doya                                  | 0              | 10   | 26  | 2  | 38         |  |
| 13 | Economo                               | 0              | 31   | 20  | 1  | 52         |  |
| 14 | Ekert                                 | 0              | 1  | 13  | 0  | 14         |  |
| 15 | Elkouss                               | 0              | 4  | 7   | 0  | 11         |  |
| 16 | Feichtner-Kozlov                      | 0              | 2  | 5   | 0  | 7          |  |
| 17 | Feng                                  | 0              | 1  | 1   | 0  | 2          |  |
| 18 | Fried                                 | 0              | 11   | 3   | 2  | 16         |  |
| 19 | Froese                                | 0              | 11   | 12  | 0  | 23         |  |
| 20 | Fukai Unit                            | 0              | 7  | 25  | 0  | 32         |  |

|    | Unit Name     | Books and edited<br>books | Book Chapter and<br>Journal Articiles (incl.<br>conference<br>proceedings) | Seminars and<br>Presentations at<br>conferences including<br>poster presentations | Dissertations, Online<br>Databases, etc. | Unit Total |
|----|---------------|---------------------------|--|---|--|------------|
|    | ユニット名         | 書籍の執筆・編<br>集の数            | 書籍の章及び学術<br>論文 (国際会議論文<br>含む)  | セミナーの数, 学会で<br>のプレゼン(ポスター<br>プレゼン含む)の数  | 博士論文、オンラ<br>インデータベース<br>等                | ユニット別合計    |
| 21 | Fukunaga      | 0                         | 5  | 4   | 1  | 10         |
| 22 | Gioia         | 0                         | 0  | 1   | 0  | 1          |
| 23 | Goda          | 0                         | 2  | 5   | 0  | 7          |
| 24 | Goryanin      | 0                         | 21   | 2   | 0  | 23         |
| 25 | Hikami        | 0                         | 2  | 4   | 0  | 6          |
| 26 | Hoehn         | 0                         | 2  | 16  | 0  | 18         |
| 27 | Husnik        | 0                         | 8  | 16  | 1  | 25         |
| 28 | Ishikawa      | 0                         | 3  | 5   | 1  | 9          |
| 29 | Kabe          | 0                         | 3  | 14  | 0  | 17         |
| 30 | Kazu (Tanaka) | 0                         | 2  | 16  | 7  | 25         |
| 31 | Khusnutdinova | 0                         | 9  | 11  | 0  | 20         |
| 32 | Kitano        | 0                         | 2  | 14  | 0  | 16         |
| 33 | Kiyomitsu     | 0                         | 2  | 6   | 0  | 8          |
| 34 | Kondrashov    | 0                         | 0  | 4   | 0  | 4          |
| 35 | Kono          | 0                         | 7  | 21  | 0  | 28         |
| 36 | Konstantinov  | 0                         | 4  | 6   | 1  | 11         |
| 37 | Kuhn          | 0                         | 2  | 29  | 0  | 31         |
| 38 | Kusumi        | 0                         | 3  | 2   | 1  | 6          |
| 39 | Laudet        | 1                         | 16   | 11  | 1  | 29         |
| 40 | Laurino       | 0                         | 5  | 41  | 0  | 46         |
| 41 | Liu           | 0                         | 3  | 8   | 0  | 11         |
| 42 | Luscombe      | 0                         | 13   | 17  | 1  | 31         |
| 43 | Maruyama      | 0                         | 0  | 0   | 0  | 0          |
| 44 | Masai         | 0                         | 1  | 19  | 2  | 22         |
| 45 | Meitinger     | 0                         | 0  | 4   | 0  | 4          |
| 46 | Miller        | 0                         | 3  | 10  | 3  | 16         |
| 47 | Mitarai       | 0                         | 10   | 23  | 2  | 35         |

|    | Unit Name        | Books and edited<br>books | Book Chapter and<br>Journal Articiles (incl.<br>conference<br>proceedings) | Seminars and<br>Presentations at<br>conferences including<br>poster presentations | Dissertations, Online<br>Databases, etc. | Unit Total |
|----|------------------|---------------------------|--|---|--|------------|
|    | ユニット名            | 書籍の執筆・編<br>集の数            | 書籍の章及び学術<br>論文 (国際会議論文<br>含む)  | セミナーの数, 学会で<br>のプレゼン(ポスター<br>プレゼン含む)の数  | 博士論文、オンラ<br>インデータベース<br>等                | ユニット別合計    |
| 48 | Myers            | 0                         | 3  | 5   | 0  | 8          |
| 49 | Narita           | 0                         | 13   | 16  | 0  | 29         |
| 50 | Neiman           | 0                         | 22   | 15  | 0  | 37         |
| 51 | Nemoto           | 0                         | 11   | 34  | 0  | 45         |
| 52 | Nic Chormaic     | 0                         | 20   | 44  | 1  | 65         |
| 53 | Okada            | 0                         | 7  | 17  | 1  | 25         |
| 54 | Paabo            | 0                         | 3  | 3   | 0  | 6          |
| 55 | Рао              | 0                         | 1  | 0   | 0  | 1          |
| 56 | Pigolotti        | 0                         | 5  | 8   | 0  | 13         |
| 57 | Qi               | 0                         | 20   | 5   | 0  | 25         |
| 58 | Ravasi           | 1                         | 12   | 5   | 0  | 18         |
| 59 | Reiter           | 0                         | 1  | 17  | 0  | 18         |
| 60 | Rokhsar          | 0                         | 2  | 4   | 0  | 6          |
| 61 | Rosti            | 0                         | 11   | 31  | 4  | 46         |
| 62 | Sallan           | 0                         | 3  | 9   | 0  | 12         |
| 63 | Satoh            | 0                         | 14   | 6   | 0  | 20         |
| 64 | Saze             | 0                         | 3  | 11  | 0  | 14         |
| 65 | Shannon          | 0                         | 4  | 8   | 1  | 13         |
| 66 | Shen             | 0                         | 17   | 54  | 4  | 75         |
| 67 | Shintake         | 0                         | 12   | 4   | 0  | 16         |
| 68 | Skoglund         | 0                         | 3  | 1   | 0  | 4          |
| 69 | Speyer           | 0                         | 4  | 14  | 0  | 18         |
| 70 | Stephens         | 0                         | 2  | 13  | 1  | 16         |
| 71 | Sugiyama         | 0                         | 2  | 4   | 0  | 6          |
| 72 | Takahashi        | 0                         | 2  | 4   | 0  | 6          |
| 73 | Takahashi Hiroki | 0                         | 4  | 19  | 6  | 29         |
| 74 | Tanaka           | 0                         | 4  | 6   | 0  | 10         |

|         | Unit Name   | Books and edited<br>books | Book Chapter and<br>Journal Articiles (incl.<br>conference<br>proceedings) | Seminars and<br>Presentations at<br>conferences including<br>poster presentations | Dissertations, Online<br>Databases, etc. | Unit Total |
|---------|-------------|---------------------------|--|---|--|------------|
|         | ユニット名       | 書籍の執筆・編<br>集の数            | 書籍の章及び学術<br>論文 (国際会議論文<br>含む)  | セミナーの数, 学会で<br>のプレゼン(ポスター<br>プレゼン含む)の数  | 博士論文、オンラ<br>インデータベース<br>等                | ユニット別合計    |
| 75      | Tani        | 0                         | 7  | 6   | 1  | 14         |
| 76      | Terenzio    | 0                         | 2  | 13  | 1  | 16         |
| 77      | Toriumi     | 0                         | 4  | 13  | 0  | 17         |
| 78      | Touber      | 0                         | 1  | 0   | 3  | 4          |
| 79      | Tripp       | 0                         | 6  | 6   | 0  | 12         |
| 80      | Twamley     | 0                         | 10   | 21  | 0  | 31         |
| 81      | Uusisaari   | 0                         | 3  | 14  | 0  | 17         |
| 82      | Watanabe    | 0                         | 5  | 12  | 1  | 18         |
| 83      | Wickens     | 0                         | 3  | 4   | 1  | 8          |
| 84      | Wolf        | 0                         | 11   | 11  | 1  | 23         |
| 85      | Yamada      | 0                         | 2  | 0   | 1  | 3          |
| 86      | Yamamoto    | 0                         | 10   | 11  | 0  | 21         |
| 87      | Yanagida    | 0                         | 6  | 3   | 0  | 9          |
| 88      | Yokobayashi | 0                         | 5  | 7   | 1  | 13         |
| 89      | Yoshida     | 0                         | 2  | 3   | 0  | 5          |
| 90 Zhou |             | 0                         | 7  | 9   | 0  | 16         |

# Attachment 1.2-2 OIST Publications and Presentations 添付資料1.2-2 令和4年度 OIST論文·発表数

OIST論文数・講演数

(平成24-令和4年度)

|             |                        |                   | 学会での講演                         |          |                       |                   | 出版物合計                         |
|-------------|------------------------|-------------------|--------------------------------|----------|-----------------------|-------------------|-------------------------------|
|             | 書籍の                    | 書籍の章及び            | (ポスタープレゼンを含                    |          | 博士論文, オンライ            |                   | (書籍、書籍の章、学術論文                 |
|             | 執筆・編集                  | 学術論文              | む)                             | セミナー     | ンデータベース等              | 講演合計              | 等)                            |
|             |                        |                   |                                |          |                       |                   |                               |
|             |                        | Book Chapters and | Presentations at conferences   |          | Dissertations, online | Presentations and | Publications (including books |
|             | Books and edited books | Journal Articles  | including poster presentations | Seminars | databases, etc        | seminars          | and book chapters)            |
| FY2012(H24) |                        | 192               | 309                            | 147      | 0                     | 456               | 192                           |
| FY2013(H25) | 2                      | 211               | 430                            | 119      | 0                     | 549               | 213                           |
| FY2014(H26) |                        | 261               | 491                            | 166      | 0                     | 657               | 261                           |
| FY2015(H27) | 2                      | 292               | 535                            | 167      | 1                     | 702               | 294                           |
| FY2016(H28) | 2                      | 324               | 616                            | 182      | 4                     | 798               | 326                           |
| FY2017(H29) | 2                      | 270               | 692                            | 191      | 7                     | 883               | 272                           |
| FY2018(H30) | 1                      | 393               | 703                            | 183      | 3                     | 886               | 394                           |
| FY2019(H31) | 7                      | 380               | 641                            | 196      | 16                    | 837               | 387                           |
| FY2020(R2)  | 1                      | 470               | 302                            | 125      | 33                    | 427               | 471                           |
| FY2021(R3)  | 3                      | 529               | 487                            | 217      | 33                    | 704               | 532                           |
| FY2022 (R4) | 2                      | 579               | 783                            | 293      | 62                    | 1076              | 581                           |



複数教員による共同出版数(令和4年度)

Number of joint publications between different faculty members (FY2022)

# **FY2022 OIST Internal Collaborative Publications**

- Tsai, H.-F.; Carlson, D. W.; Koldaeva, A.; Pigolotti, S.; Shen, A. Q. Optimization and Fabrication of Multi-Level Microchannels for Long-Term Imaging of Bacterial Growth and Expansion. *Micromachines* 2022, *13* (4), 576. https://doi.org/10.3390/mi13040576.
- (2) Le Kien, F.; Kornovan, D. F.; Nic Chormaic, S.; Busch, T. Repulsive Casimir-Polder Potentials of Low-Lying Excited States of a Multilevel Alkali-Metal Atom near an Optical Nanofiber. *Phys. Rev. A* 2022, *105* (4), 042817. https://doi.org/10.1103/PhysRevA.105.042817.
- (3) Kashimoto, R.; Tanimoto, M.; Miura, S.; Satoh, N.; Laudet, V.; Khalturin, K. Transcriptomes of Giant Sea Anemones from Okinawa as a Tool for Understanding Their Phylogeny and Symbiotic Relationships with Anemonefish. *Zoolog. Sci.* 2022, 39 (4), 374–387. https://doi.org/10.2108/zs210111.
- (4) Le Kien, F.; Nic Chormaic, S.; Busch, T. Optical Force between Two Coupled Identical Parallel Optical Nanofibers. *Phys. Rev. A* **2022**, *105* (6), 063517. https://doi.org/10.1103/PhysRevA.105.063517.
- (5) Le Kien, F.; Nic Chormaic, S.; Busch, T. Transfer of Angular Momentum of Guided Light to an Atom with an Electric Quadrupole Transition near an Optical Nanofiber. *Phys. Rev. A* 2022, *106* (1), 013712. https://doi.org/10.1103/PhysRevA.106.013712.
- (6) Wepfer, P. H.; Nakajima, Y.; Fujimura, A.; Mikheyev, A. S.; Economo, E. P.; Mitarai, S. The Oceanographic Isolation of the Ogasawara Islands and Genetic Divergence in a Reef-Building Coral. *J. Biogeogr.* 2022, 49 (11), 1978–1990. https://doi.org/10.1111/jbi.14475.
- (7) Bhat, D.; Hauf, S.; Plessy, C.; Yokobayashi, Y.; Pigolotti, S. Speed Variations of Bacterial Replisomes. *eLife* **2022**, *11*, e75884. https://doi.org/10.7554/eLife.75884.
- (8) Youssef, M. M. M.; Hamada, H. T.; Lai, E. S. K.; Kiyama, Y.; El-Tabbal, M.; Kiyonari, H.; Nakano, K.; Kuhn, B.; Yamamoto, T. TOB Is an Effector of the Hippocampus-Mediated Acute Stress Response. *Transl. Psychiatry* 2022, *12* (1), 1– 15. https://doi.org/10.1038/s41398-022-02078-7.
- (9) Sonnenschein, J.; Tsulaia, M. A Note on Shape Invariant Potentials for Discretized Hamiltonians. *Mod. Phys. Lett. A* 2022, 37 (23), 2250153. https://doi.org/10.1142/S021773232250153X.
- (10) Dronova, M. G.; Ye, F.; Cooper, S. E.; Krishnadas, A.; Hoffmann, C. M.; Fujisawa, Y.; Okada, Y.; Khomskii, D. I.; Feng, Y. Controlling Inversion Disorder in a Stoichiometric Spinel Magnet. *Proc. Natl. Acad. Sci.* **2022**, *119* (43), e2208748119. https://doi.org/10.1073/pnas.2208748119.

- (11) Wu, T.; Ono, L. K.; Yoshioka, R.; Ding, C.; Zhang, C.; Mariotti, S.; Zhang, J.; Mitrofanov, K.; Liu, X.; Segawa, H.; Kabe, R.; Han, L.; Qi, Y. Elimination of Light-Induced Degradation at the Nickel Oxide-Perovskite Heterojunction by Aprotic Sulfonium Layers towards Long-Term Operationally Stable Inverted Perovskite Solar Cells. *Energy Environ. Sci.* **2022**, *15* (11), 4612–4624. https://doi.org/10.1039/D2EE01801B.
- (12) Xu, X.; Serra, G.; Villa, A.; Muñoz-Mármol, R.; Vasylevskyi, S.; Gadea, M.; Lucotti, A.; Lin, Z.; Boj, P. G.; Kabe, R.; Tommasini, M.; Díaz-García, M. Á.; Scotognella, F.; Paternò, G. M.; Narita, A. Synthesis of Zigzag- and Fjord-Edged Nanographene with Dual Amplified Spontaneous Emission. *Chem. Sci.* 2022, *13* (44), 13040–13045. https://doi.org/10.1039/D2SC04208H.
- (13) Purba, E. R.; Saita, E.; Akhouri, R. R.; Öfverstedt, L.-G.; Wilken, G.; Skoglund, U.; Maruyama, I. N. Allosteric Activation of Preformed EGF Receptor Dimers by a Single Ligand Binding Event. *Front. Endocrinol.* **2022**, 13.
- (14) Furukawa, E.; Bado, P.; da Costa, R. Q. M.; Melo, B.; Erthal, P.; de Oliveira, I. P.; Wickens, J. R.; Moll, J.; Tripp, G.; Mattos, P. Reward Modality Modulates Striatal Responses to Reward Anticipation in ADHD: Effects of Affiliative and Food Stimuli. *Psychiatry Res. Neuroimaging* **2022**, *327*, 111561. https://doi.org/10.1016/j.pscychresns.2022.111561.
- (15) Takeuchi, T.; Suzuki, Y.; Watabe, S.; Nagai, K.; Masaoka, T.; Fujie, M.; Kawamitsu, M.; Satoh, N.; Myers, E. W. A High-Quality, Haplotype-Phased Genome Reconstruction Reveals Unexpected Haplotype Diversity in a Pearl Oyster. *DNA Res.* 2022, 29 (6), dsac035. https://doi.org/10.1093/dnares/dsac035.
- (16) Le Kien, F.; Nic Chormaic, S.; Busch, T. Direction-Dependent Coupling between a Nanofiber-Guided Light Field and a Two-Level Atom with an Electric Quadrupole Transition. *Phys. Rev. A* 2023, 107 (1), 013713. https://doi.org/10.1103/PhysRevA.107.013713.
- (17) Herrera, M.; Ravasi, T.; Laudet, V. Anemonefishes: A Model System for Evolutionary Genomics. F1000Research February 21, 2023. https://doi.org/10.12688/f1000research.130752.1.
- (18) Moore, B.; Herrera, M.; Gairin, E.; Li, C.; Miura, S.; Jolly, J.; Mercader, M.; Izumiyama, M.; Kawai, E.; Ravasi, T.; Laudet, V.; Ryu, T. The Chromosome-Scale Genome Assembly of the Yellowtail Clownfish Amphiprion Clarkii Provides Insights into the Melanic Pigmentation of Anemonefish. *G3 GenesGenomesGenetics* 2023, 13 (3), jkad002. https://doi.org/10.1093/g3journal/jkad002.
- (19) Tan, J.; Xu, X.; Liu, J.; Vasylevskyi, S.; Lin, Z.; Kabe, R.; Zou, Y.; Müllen, K.; Narita, A.; Hu, Y. Synthesis of a π-Extended Double [9]Helicene. *Angew. Chem. Int. Ed.* 2023, *n/a* (n/a), e202218494. <u>https://doi.org/10.1002/anie.202218494</u>.
- (20) Wu, T.; Xu, X.; Ono, L. K.; Guo, T.; Mariotti, S.; Ding, C.; Yuan, S.; Zhang, C.; Zhang, J.; Mitrofanov, K.; Zhang, Q.; Raj, S.; Liu, X.; Segawa, H.; Ji, P.; Li, T.; Kabe, R.; Han, L.; Narita, A.; Qi, Y. Graphene-Like Conjugated Molecule as Hole-Selective Contact for Operationally Stable.

# The above collaborative publications were published by the following units:

- 1) Shen/Pigolotti
- 2) Busch/Nic Chormaic
- 3) Laudet/Satoh
- 4) Busch/Nic Chormaic
- 5) Busch/Nic Chormaic
- 6) Mitarai/Economo
- 7) Pigolotti/Yokobayashi/Luscombe
- 8) Yamamoto/Kuhn/Yoshida
- 9) Neiman/Shannon
- 10) Feng/Okada
- 11) Qi/Kabe
- 12) Narita/Kabe
- 13) Maruyama/Skoglund
- 14) Tripp/Wickens
- 15) Myers/Satoh
- 16) Busch/Nic Chormaic
- 17) Laudet/Ravasi
- 18) Laudet/Ravasi
- 19) Narita/Kabe
- 20) Qi/Narita/Kabe

# Attachment 1.3-1 FY2022 Number of Research Honors/Awards

| Research Honors and Awards (Faculty) |  |  |   |                    |  |  |  |
|--------------------------------------|--|--|---|--------------------|--|--|--|
| No.                                  | Research Unit  | Awards/Honors  | URL   | Date Awarded       |  |  |  |
| 1                                    | Myers Unit (Algorithms for Ecological and Evolutionary Geno  | Prof. Gene Myers received IEEE Frances E. Allen Medal.   | https://www.mpi-cbg.de/news-outreach/news-<br>media/article/first-ieee-frances-e-allen-medal-for-<br>eugene-myers-and-webb-miller | May 6, 2022        |  |  |  |
| 2                                    | Qi Unit (Energy Materials and Surface Sciences Unit)         | Prof. Yabing Qi received Kao Science Award.  | https://www.kao-<br>foundation.or.jp/award/science/result/r3.html   | June 2, 2022       |  |  |  |
| 3                                    | Kabe Unit (Organic Optoelectronics Unit)                     | Prof. Ryota Kabe received Konica Minolta imaging science award from the imaging society of Japan.  | n/a   | June 22, 2022      |  |  |  |
| 4                                    | Doya Unit (Neural Computation Unit)                          | Team of OIST and the University of the Ryukyus received 2022 Outstanding Research Award from the Japanese Neural<br>Network Society for their paper "Consideration of the effects of the basal ganglia activity and past experience on the<br>choice behavior in rats using partial information decomposition" presented at IEICE Neurocomputing Workshop in<br>June 2021.<br>Team members: Mr. Iori Sugiura (master's student, University of the Ryukyus), Mr. Tsukasa Irei (PhD student,<br>University of the Ryukyus), <u>Prof. Kenij Doya (Doya Unit)</u> , Prof. Koji Kurata (University of the Ryukyus), Prof. Ryota<br>Miyata (University of the Ryukyus) | https://jnns.org/winner/2022%E5%B9%B4%E5%BA<br>%A6/   | July 2022          |  |  |  |
| 5                                    | Takahashi Unit (Cellular and Molecular Synaptic Function Uni | Prof. Tomoyuki Takahashi has been named a 2022 International Union of Physiological Sciences (IUPS) Academy<br>Fellow.   | https://www.iups.org/physiology/iups-academy-of-<br>physiology/   | July 20, 2022      |  |  |  |
| 6                                    | Kono Unit (Membranology Unit)                                | Prof. Keiko Kono received Yeast Genetics Forum President's Prize from Yeast Genetics Forum.  | https://www.yeast-forum.org/meeting_student.html  | September 9, 2022  |  |  |  |
| 7                                    | Rosti Unit (Complex Fluids and Flows Unit)                   | Prof. Marco Edoardo Rosti received the RYUMON Award as a Distinguished Young Researcher in Fluid Mechanics by<br>Japanese Society of Fluid Mechanics.<br>This award is presented to the author or authors of outstanding papers on Fluid Mechanics published within 10 years<br>from the end of the award year.  | https://www.nagare.or.jp/en/awards.html   | September 21, 2022 |  |  |  |
| 8                                    | Stephens Unit (Biological Physics Theory Unit)               | Prof. Greg Stephens was elected as Fellow of the American Physical Society.  | https://www.aps.org/programs/honors/fellowships/  | October 2022       |  |  |  |
| 9                                    | Qi Unit (Energy Materials and Surface Sciences Unit)         | Prof. Yabing Qi was listed as one of the Clarivate Highly Cited Researchers  | https://clarivate.com/highly-cited-researchers/   | November 15, 2022  |  |  |  |
| 10                                   | Pääbo Unit (Human Evolutionary Genomics Unit Unit)           | Prof. Svante Pääbo received the 2022 Nobel Prize in Physiology or Medicine for his discoveries concerning the<br>genomes of extinct hominins and human evolution.  | https://www.nobelprize.org/prizes/medicine/2022/p<br>ress-release/  | December 2022      |  |  |  |
| 11                                   | Cid Unit (Applied Cryptography Unit)                         | Prof. Carlos Cid was appointed as a Community Fellow of the International Cyber Security Center of Excellence (INCS-<br>CoE)   | https://incs-coe.org/about/   | December 12, 2022  |  |  |  |
| 12                                   | Liu Unit (Geometric Partial Differential Equations Unit)     | Prof. Qing Liu received the 14th Hukuhara Prize from the Division of Functional Equations, the Mathematical Society<br>of Japan for his contributions in analysis on profiles of solutions to nonlinear parabolic equations allowing degeneracy.   | https://www.mathsoc.jp/section/dfe/index.html   | December 24, 2022  |  |  |  |
| 13                                   | Christine Luscombe Unit (pi-Conjugated Polymers Unit)        | Prof. Christine Luscombe received the "SPSJ Science Award 2022" from the Society of Polymer Science Japan.<br>Research Title: Synthesis of Semiconducting Polymers by Polycondensations based on Direct Arylation and Catalyst-<br>Transfer  | https://main.spsj.or.jp/c15/gakujutsu/gakujyutsuran.<br>php   | February 23, 2023  |  |  |  |
| 14                                   | Pigolotti Unit (Biological Complexity Unit)                  | Prof. Simone Pigolotti was awarded the Outstanding Referee program of the APS Journal as an outstanding scientist<br>who has made exceptional contributions to the peer reviews of the journals.   | https://journals.aps.org/OutstandingReferees  | March 2023         |  |  |  |
| 15                                   | Christine Luscombe Unit (pi-Conjugated Polymers Unit)        | The poster titled "The 'step-growth' and 'chain-growth' dilemma: why we need to reconsider the terminology used"<br>has been awarded 1st prize for Materials for Global Twitter Poster Conference organized by the Royal Society of<br>Chemistry.<br>Prof. Christine Luscombe is a co-author of the poster.  | https://www.rsc.org/our-events/rsc-<br>poster/winners/#RSCMat   | March 17, 2023     |  |  |  |

#### Research Honors and Awards (Researchers and Students)

| No. | Research Unit  | Awards/Honors   | URL   | Date Awarded       |
|-----|--|---|---|--------------------|
| 1   | Uusisaari Unit (Neuronal Rhythms in Movement Unit)                             | Dr.Da Guo (researcher) has received 2022 JNS-CNS Travel Award from the Committee of International Collaboration<br>Affairs of the Japan Neuroscience Society.   | https://www.jnss.org/etc?id=220516-<br>01&u=1c986208fa7f4cfdf552835fd65ee678&<br>;c=2 | May 13, 2022       |
| 2   | Dani Unit (Femtosecond Spectroscopy Unit)                                      | Dr. Filchito Renee Bagsican (researcher) received "16th Kondo Prize Winners (2022), Research Paper Award" for his<br>research paper "Terahertz Excitonics in Carbon Nanotubes: Exciton Autoionization and Multiplication."                                    | https://www.ile.osaka-u.ac.jp/ja/education/kondo-<br>prize/list/index.html            | June 22, 2022      |
| 3   | Okada Unit (Quantum Materials Science Unit)                                    | Dr. Yuita Fujisawa (researcher) received "Young Scientist Presentation Award" as a result of his presentation at The<br>Japan Society of Applied Physics 2022 Spring Meeting and the award was given at following Autumn meeting.                             | https://www.jsap.or.jp/young-scientist-presentation-<br>award/recipients52            | September 2022     |
| 4   | Qi Unit (Energy Materials and Surface Sciences Unit)                           | Dr. Luis Ono (researcher) was listed as one of the Clarivate Highly Cited Researchers   | https://clarivate.com/highly-cited-researchers/                                       | November 15, 2022  |
| 5   | Shen Unit (Micro/Bio/Nanofluidics Unit)  | Dr. Tatiana Porto Santos (researcher) received Marie Curie Fellowship: MSCA European Postdoctoral Fellowships<br>from MSCA.   | n/a   | February 2023      |
| 6   | Shannon Unit (Theory of Quantum Matter Unit)                                   | Ms. Leilee Chojnacki (PhD student) received HFM2022 Poster Prize from International Conference on Highly<br>Frustrated Magnetism 2022 for the work "Analogues of light and gravity in the collective excitations of quantum<br>magnets."                      | https://hfm2022.sciencesconf.org/   | June 22, 2022      |
| 7   | Uusisaari Unit (Neuronal Rhythms in Movement Unit)                             | Mr. Salvatore Lacava (PhD student) has received the Satellite Meeting Travel Award 2022 from NCM (Neural Control<br>of Movement).   | n/a   | July 2022          |
| 8   | Laurino Unit (Protein Engineering and Evolution Unit)                          | Mr. Dan Kozome (PhD student) received a Poster Award for his presentation at the 36th Protein Society Annual<br>Symposium, San Franciso, USA, July 8, 2022.   | n/a   | July 8, 2022       |
| 9   | Shannon Unit (Theory of Quantum Matter Unit)                                   | Ms. Leilee Chojnacki (PhD student) received the Best Poster Award For the work "Analogues of light and gravity in the<br>collective excitations of quantum magnets" at the 29th International Conference on Low Temperature Physics                           | https://www.lt29.jp/prizes_awards.html  | August 22, 2022    |
| 10  | Twamley Unit (Quantum Machines Unit)   | Ms. Tatiana Iakovleva (PhD student) received the Best Poster Prize at the 29th International Conference on Low<br>Temperature Physics in Sapporo(Japan) on Aug 18-24 2022.<br>Title of poster: Ultra-precise distance measurement in an optomechanical system | https://www.lt29.jp/prizes_awards.html  | August 24, 2022    |
| 11  | Laurino Unit (Protein Engineering and Evolution Unit)                          | Mr. Yoshiki Ochiai (PhD student) received the best presentation award at the 62nd Summer School of Life Science,<br>Sendai Japan for his presentation.  | n/a   | August 26-28, 2022 |
| 12  | Nic Chormaic Unit<br>(Light-Matter Interactions for Quantum Technologies Unit) | Ms Maki Maeda (PhD student) has received the Poster Presentation Prize at the 7th Photonics Workshop, JSAP, in<br>Naha, Japan, for 'Manipulation of resonance modes and polarization singularities of a higher-order mode optical<br>nanofiber cavity'.       | https://annex.jsap.or.jp/photonics/en/event-<br>schedule/221125-1126                  | November 26, 2022  |
| 13  | Kono Unit (Membranology Unit)  | Mr. Kojiro Suda (PhD student) received EMBO Science Pitch Prize from European Molecular Biology Organization for<br>the excellent presentation in the 45th Annual Meeting of the Molecular Biology Society of Japan.  | https://www.mbsj.jp/meetings/annual/2022/embo_sc<br>ience_pitch_prize.pdf             | December 2, 2022   |
| 14  | Kono Unit (Membranology Unit)  | Mr. Kojiro Suda received MBSJ2022 Science Pitch Award from The Molecular Biology Society of Japan for the excellent<br>presentation in the 45th Annual Meeting of the Molecular Biology Society of Japan.   | https://www.mbsj.jp/meetings/annual/2022/science_<br>pitch_award.pdf                  | December 2, 2022   |
| 15  | Mitarai Unit (Marine Biophysics Unit)  | Mr. Otis Brunner (PhD student) received best student talk prize at British Ecological Society Meeting 2022, Edinburgh,<br>UK. December 19th, 2022   | n/a   | December 19, 2022  |
| 16  | Bourguignon Unit (Evolutionary Genomics Unit)                                  | Mr. Kensei Kikuchi (PhD student) received the Best English Presentation Award at 70th Annual Meeting of Ecological<br>Society of Japan  | https://www.esj.ne.jp/esj/award/epa/list.html   | March 2023         |
| 17  | Dani Unit (Femtosecond Spectroscopy Unit)                                      | Mr. Vivek Pareek (PhD student) received Ovshinsky Student Travel Awards   | https://engage.aps.org/dmp/honors/prizes-<br>awards/student-travel-awards             | March 7th 2023     |

# Attachment 1.3-2 FY2022 Outreach by Faculty and Researchers 添付音料 1.3-2 令和4年度 アウトリーチ活動実績

| イベント数 | <u>日付/Date</u> | 研究ユニット/セクション<br>Unit/Section  | プログラム名/参加者  | 内容/Contents             | 会場/Venue                             | グループ/<br>Group | 参加者数/<br>Number of<br>people |
|-------|----------------|---|---|-------------------------|--------------------------------------|----------------|------------------------------|
| 1     | 2022/7/5       | Science and Technology Group<br>サイエンス・テクノロジー・グループ   | うんな中学校職業体験<br>Unna JHS Internship program                           | Science program/科学プログラム | OIST                                 | oJ             | 4                            |
| 2     | 2022/7/12      |   | アメラジアンスクール  | Science program/科学プログラム | 現地開催                                 |                | 12                           |
| 3     | 2022/7/14      | Marine Science Section<br>海洋科学セクション   | 海の日サイエンスカフェ<br>Ocean dav Science Café                               | Science talk/サイエンストーク   |                                      | G              | 60                           |
| 4     | 2022/7/14      | Nucleic Acid Chemistry and Engineering Unit<br>核酸化学・エ学ュニット  | 知念高校訪問<br>School visit program                                      | Career education/キャリア教育 | Chinen H.S<br>知念高校                   | он             | 80                           |
| 5     | 2022/7/14      | Nucleic Acid Chemistry and Engineering Unit<br>核酸化学 - エ学ユニット  | ー般オンラインツアー<br>Online Campus tour                                    | Research outline/研究紹介   | Online/オンライン                         | G              | 18                           |
| 6     | 2022/8/3       | Marine Biophysics Unit<br>海洋生態物理学ユニット   | キッズサイエンスカレッジ with 沖縄こどもの<br>国<br>Spignon program with Kodomona kupi | Science program/科学プログラム | 沖縄こどもの国<br>Okinawa Kodomo no kuni    | OE             | 20                           |
| 7     | 2022/8/5       | Marine Biophysics Unit<br>海洋生態物理学ユニット   | サイエンストリップ伊平屋島<br>Science trip to Iheyajima                          | Science program/科学プログラム | Iheya Community Center/伊<br>平屋公民館    | OE             | 70                           |
| 8     | 2022/8/13      | Marine Science Section<br>海洋科学セクション   | サイエンステックラボ2022<br>Science Tech Lab 2022                             | Science program/科学プログラム | OIST                                 | OE             | 20                           |
|       | 2022/8/15      | Marine Eco-Evo-Devo Unit<br>海洋生態進化発生生物学ユニット   | こどもかがく教室1ー2年生クラス<br>School of Science                               | Science program/科学プログラム | Online/オンライン                         | OE             | 17                           |
|       | 2022/8/16      | Optical Neuroimaging Unit<br>光学ニューロイメージングユニット   | こどもかがく教室1-3年生クラス  | Science program/科学プログラム | Online/オンライン                         | OE             | 24                           |
|       | 2022/8/17      | Biodiversity and Biocomplexity Unit<br>生物多様性・複雑性研究ユニット  | こどもかがく教室 オープンクラス<br>School of Science                               | Science program/科学プログラム | Online/オンライン                         | OE             | 100                          |
| 9     | 2022/8/18      | Neural Computation Unit神経計算ユニット   | こどもかがく教室 中学生クラス   | Science program/科学プログラム | Online/オンライン                         | oJ             | 15                           |
|       | 2022/8/18      | Micro/Bio/Nanofluidics Unit<br>マイクロ・バイオ・ナノ流体ユニット  | こどもかがく教室 3-4クラス<br>School of Science                                | Science program/科学プログラム | Online/オンライン                         | OE             | 24                           |
|       | 2022/8/19      | Developmental Neurobiology Unit<br>神経発生ユニット   | こどもかがく教室 5-6クラス<br>School of Science                                | Science program/科学プログラム | Online/オンライン                         | OE             | 24                           |
| 10    | 2022/8/23      |   | 第10回スコア!インターンシップ  |                         | OIST                                 | он             |                              |
| 11    | 2022/9/12      | Quantum Machines Unit量子マシンユニット  | ALLやんぱる サイエンスクラブ<br>All Yanbaru Science club                        | Science program/科学プログラム |                                      | OE             | 20                           |
| 12    | 2022/9/29      | Neural Computation Unit<br>神経計算ユニット   | 沖縄カトリック中学校<br>School Visit program                                  | Career education/キャリア教育 | Okinawa Catholic J.H.S<br>沖縄カトリック中学校 | oJ             | 80                           |
| 13    | 2022/10/4      | Computational Neuroscience Unit<br>計算脳科学ユニット  | 名護小学校<br>School Visit program                                       | Science program/科学プログラム | Nago E.S<br>名護小学校                    | OE             | 25                           |
| 14    | 2022/10/17     |   | 茨城県立水戸農業高等学校<br>School Visit program                                |                         | OIST                                 |                | 35                           |
| 15    | 2022/10/20     |   | 森川特別支援学校  |                         | Online/オンライン                         |                | 5                            |
| 16    | 2022/10/26     | Neural Computation Unit<br>神経計算ユニット   | 文教大学付属高校<br>School Visit program                                    | Research outline/研究紹介   | OIST                                 | н              | 272                          |
| 17    | 2022/11/4      | Cognitive Neurorobotics Research Unit<br>認知脳ロボティクス研究ユニット  | 南星中学校<br>School Visit program                                       | Research outline/研究紹介   | Nansei J.H.S<br>南星中学校                | oJ             | 30                           |
| 18    | 2022/11/12     | Nonlinear and Non-equilibrium Physics Unit<br>非線形・非平衡物理学ユニット<br>Experimental Quantum Information Physics Unit | サイエンスフェスタ2022<br>Science Festival 2022                              | Science event/科学イベント    | OIST                                 | Other          | 600                          |
| 19    | 2022/11/18     | Micro/Bio/Nanofluidics Unit<br>マイクロ・バイオ・ナノ流体ユニット  | 屋部小学校<br>School Visit program                                       | Science program/科学プログラム | Yabu E.S<br>屋部小学校                    | OE             | 20                           |
| 20    | 2022/11/28     | Marine Climate Change Unit海洋気候変動ユニット  | 松川小学校<br>School Visit program                                       | Science program/科学プログラム | OIST                                 | OE             | 82                           |
| 21    | 2022/12/1      | Developmental Neurobiology Unit<br>神経発生ユニット   | 屋我地小学校<br>School Visit program                                      | Science program/科学プログラム | Yagaji E.S<br>屋我地小学校                 | OE             | 15                           |
| 22    | 2022/12/2      |   | 恩納村SDGs探求プロジェクト<br>Onna village SDG project                         | Research outline/研究紹介   |                                      |                | 10                           |
| 23    | 2022/12/2      | Marine Science Section<br>海洋科学セクション   | サイエンストリップ八重山①<br>Science trip to Yaeyama                            | Science event/科学イベント    | Yaeyama H.S<br>八重山高校                 | он             | 20                           |
|       | 2022/12/4      | Marine Science Section<br>海洋科学セクション   | サイエンストリップ八重山②<br>Science trip to Yaeyama                            | Science event/科学イベント    | Community Center Ishigaki<br>石垣市公民館  | OE             | 70                           |
| 24    | 2022/12/8      | Marine Genomics Unit<br>マリンゲノミックスユニット   | 盈進学園<br>School Visit program  | Research outline/研究紹介   | OIST                                 | J              | 40                           |
| 25    | 2022/12/10     | Fluid Mechanics Unit流体力学ユニット  | SCORE   | Science event/科学イベント    | OIST                                 | он             | 46                           |
| 26    | 2022/12/11     | Nucleic Acid Chemistry and Engineering Unit<br>核酸化学・エ学ユニット  | サイエンステックフェス<br>Science Tech festival                                | Science program/科学プログラム | Naha San−A<br>那覇サンエー                 | OE             | 200                          |
| 27    | 2022/12/15     | Quantum Wave Microscopy Unit量子波光学顕微鏡ユニット  | International school<br>School visit program                        | Research outline/研究紹介   | OIST                                 | Other          | з                            |
| 28    | 2022/12/17     | Computational Neuroscience Unit<br>計算脳科学ユニット  | サイエンスカレッジ(沖縄こどもの国主催)<br>Science colleage Okinawa city               | Science program/科学プログラム | 沖縄こどもの国<br>Okinawa Kodomo no kuni    | OE             | 15                           |
| 29    | 2022/12/19     | User Support Team<br>ユーザーサポートチーム  | 名護商工高等学校<br>School visit program                                    | Career education/キャリア教育 | OIST                                 | он             | 40                           |
| 30    | 2022/12/21     | Organic Optoelectronics Unit<br>有機光エレクトロニクスユニット   | 西武学園文理高等学校  | Research outline/研究紹介   | OIST                                 | н              | 46                           |
| 31    | 2023/1/11      | Media Relations Section<br>メディア連携セクション  | 沖縄尚学高等学校附属中学校<br>School visit program                               | Career education/キャリア教育 | OIST                                 |                | 40                           |
| 32    | 2023/1/18      | Neural Computation Unit<br>神経計算ユニット   | 読谷中学校講演会<br>Science talk for JHS students                           | Science talk/サイエンストーク   | Yomitan J.H<br>読谷中学校                 | oj             | 320                          |
| 33    | 2023/1/18      | Environmental Science and Informatics Section<br>環境科学・インフォマティクスセクション  | 広島県立広島叡智学園中学校<br>School visit program                               | Science program/科学プログラム | OIST                                 | J              | 40                           |
|       | 2023/1/19      | Environmental Science and Informatics Section<br>環境科学・インフォマティクスセクション  | 広島県立広島叡智学園中学校<br>School visit program                               | Science program/科学プログラム | OIST                                 | J              | 40                           |
| 34    | 2023/1/27      | Neural Computation Unit<br>神経計算ユニット   | 古堅中学校講演会<br>Science talk for JHS students                           | Science talk/サイエンストーク   | Furugen J.H<br>古堅中学校                 | oJ             | 280                          |

| イベント数 | 日付/Date     | 研究ユニット/セクション<br>Unit/Section                                    | プログラム名/参加者   | 内容/Contents             | 会場/Venue                              | グループ/<br>Group | 参加者策/<br>Number of<br>people |
|-------|-------------|---|--|-------------------------|---------------------------------------|----------------|------------------------------|
| 35    | 2023/1/27   | Macroevolution Unit<br>大進化ユニット                                  | 伊是名青年の家訪問  | Science program/科学プログラム | OIST                                  | Other          | 10                           |
| 36    | 2023/1/29   |   | うるま市産業フェス<br>Uruma city Industrial festival          | Science program/科学プログラム | Uruma city<br>うるま市                    | OE             | 100                          |
| 37    | 2023/1/30   |   | スバンテ・ペーボ教授講演会 in 東京                                  |                         | 現地開催                                  |                | 950                          |
| 38    | 2023/2/3    |   | 鹿児島県徳之島町長訪問  |                         | 現地開催                                  |                | 5                            |
| 39    | 2023/2/5    |   | 読谷村まなびの日フェスタ   |                         | 現地開催                                  |                | 200                          |
| 40    | 2023/2/17   |   | 沖縄尚学付属中学校<br>School visit program                    |                         | 現地開催                                  |                | 42                           |
| 41    | 2023/2/21   |   | 名護高校<br>School visit program                         |                         | 現地開催                                  |                | 80                           |
| 42    | 2023/2/28   | Marine Genomics Unit<br>マリンゲノミックスユニット                           | 安慶田中学校出前授業<br>School visit program                   | Research outline/研究紹介   | Ageda J.H<br>安慶田中学校                   | oJ             | 120                          |
| 43    | 2023/3/2    | Optical Neuroimaging Unit<br>光学ニューロイメージングユニット                   | AMICUS職業体験<br>School visit program                   | Research outline/研究紹介   | OIST                                  | Other          | 5                            |
|       | 2023/3/3    | Human Developmental Neurobiology Unit<br>発達神経生物学ユニット            | サイエンストリップ宮古 ADHD講座<br>Science trip to Miyakojima     | Science talk/サイエンストーク   | Miyako Community center<br>宮古未来創造センター | G              | 103                          |
| 44    | 2023/3/4    | Marine Climate Change Unit<br>海洋気候変動ユニット                        | サイエンストリップ宮古 細胞模型講座<br>Science trip to Miyakojima     | Science event/科学イベント    | Miyako City Museum<br>宮古島市博物館         | OE             | 62                           |
| 45    | 2023/3/3    |   | E.C.Killin elementary school<br>School visit program |                         | 現地開催                                  |                | 50                           |
| 46    | 2023/3/8    | Scientific Imaging Section<br>イメージングセクション                       | 白鷗大学足利中学校<br>School visit program                    | Research outline/研究紹介   | OIST                                  | J              | 44                           |
| 47    | 2023/3/14   | Cell Signal Unit<br>細胞シグナルユニット                                  | 興国高校<br>School visit program                         | Science program/科学プログラム | OIST                                  | н              | 10                           |
| 48    | 2023/3/17   | Nucleic Acid Chemistry and Engineering Unit<br>核酸化学・エ学ユニット      | 具志川高校<br>School visit program                        | Career education/キャリア教育 | Gushikawa H.S<br>具志川高校                | он             | 240                          |
| 49    | 2023/3/27   |   | スコアインターンシップ受け入れ                                      |                         | OIST                                  |                | 7                            |
| 50    | 2023/3/29   |   | DUO international school                             |                         | OIST                                  |                | 15                           |
| 51    | 20230203協会) |   | シェアードサービス経営者部会(一般社<br>団法人コーポレート機能協会)                 |                         | 現地開催                                  |                | 15                           |
| 52    | 2023/3/29   | Evolution, Cell Biology, and Symbiosis Unit<br>進化・細胞・共生の生物学ユニット | DUO international school                             | Research outline/研究紹介   | OIST                                  | OE             | 15                           |

OE 県内小学校/Elementary school in Okinawa OJ 県内中学校/Middle school in Okinawa OH 県内高校/High school in Okinawa E 県外小学校/Elementary school outside Okinawa J 県外中学校/Middle school outside Okinawa H 県外高校/High school outside Okinawa G 一般/General visitors Other その他

#### Attachment 1.4-1

\*Keyword: OIST Workshop

#### List of OIST funded Workshops in FY2022

|    | Status    | Start Date        | End Date          | Title   | Venue   | Number of expected<br>participants for<br>cancelled/postponed<br>events | Participants<br>(total inc.<br>remote<br>participants) | Onsite<br>Participants<br>(of total) | Remote<br>Participants<br>(of total) | Overseas<br>Participants<br>(of total incl.<br>remote<br>participants) |
|----|-----------|-------------------|-------------------|---|---|---|--|--------------------------------------|--------------------------------------|--|
| 1  | Hybrid    | April 12, 2022    | April 14, 2022    | OIST Workshop "Ultracold Atoms Japan"   | OIST Main Campus<br>and OIST Seaside<br>House           | n/a   | 225  | 40                                   | 185                                  | 97   |
| 2  | Hybrid    | May 23, 2022      | May 27, 2022      | OIST Workshop "Analysis on Metric Spaces"   | OIST Conference<br>Center                               | n/a   | 75   | 12                                   | 63                                   | 27   |
| 3  | Online    | May 30, 2022      | June 3, 2022      | OIST Workshop "Informational Architecture of Spacetime"   | Online (Zoom)   | n/a   | 124  | 0                                    | 124                                  | 100  |
| 4  | Online    | June 6, 2022      | June 9, 2022      | OIST Workshop "Cells, energetics, and information: New<br>perspectives on nonequilibrium systems"               | Online (Zoom)   | n/a   | 147  | 147                                  | 0                                    | 88   |
| 5  | Hybrid    | June 13, 2022     | June 29, 2022     | OIST Workshop "OIST Computational Neuroscience Course<br>(OCNC 2022)"   | OIST Seaside House                                      | n/a   | 42   | 39                                   | 3                                    | 30   |
| 6  | Hybrid    | July 4, 2022      | July 5, 2022      | International Symposium on Artificial Intelligence and Brain<br>Science 2022                                    | OIST Conference<br>Center                               | n/a   | 756  | 149                                  | 607                                  | 260  |
| 7  | Hybrid    | October 3, 2022   | October 7, 2022   | OIST Workshop "OIST Workshop on Axonal Degeneration<br>and Regeneration"  | OIST Main Campus<br>and OIST Seaside<br>House           | n/a   | 84   | 39                                   | 45                                   | 37   |
| 8  | Hybrid    | November 7, 2022  | November 11, 2022 | OIST Workshop "International Conference on Embodied<br>Cognitive Science (ECogS)"                               | OIST Seaside House                                      | n/a   | 38   | 38                                   | 0                                    | 9  |
| 9  | Hybrid    | January 10, 2023  | January 12, 2023  | OIST Workshop "Recent trends in microrheology and<br>microfluidics"   | OIST Main Campus,<br>OIST Conference<br>Center and OIST | n/a   | 40   | 40                                   | 0                                    | 11   |
| 10 | Hybrid    | March 6, 2023     | March 9, 2023     | OIST Workshop "Nervous System Assembly"   | OIST Conference<br>Center                               | n/a   | 43   | 41                                   | 2                                    | 22   |
| 11 | In-person | March 20, 2023    | March 24, 2023    | OIST Workshop "Women at the intersection of mathematics<br>and theoretical physics meet in Okinawa"             | OIST Main Campus  | n/a   | 48   | 48                                   | 0                                    | 15   |
| 12 | Cancelled | November 18, 2022 | November 28, 2022 | OIST Workshop "OIST Developing Neural Circuits Course<br>(DNC) 2022 (former Developmental Neurobiology Course)" | OIST Main Campus  | 42  |  | -                                    | -                                    |  |
| 13 | Postponed | February 13, 2023 | February 17, 2023 | OIST Workshop "International Symposium on Optoclectronic<br>Devices (ISOD 2023)"                                | <del>OIST Conference</del><br><del>Center</del>         | 63  |  | -                                    | -                                    |  |
|    |           |                   |                   |   |   | Total   | 1622   | 593                                  | 1029                                 | 696  |

# \*Keyword:OIST Mini Symposium

# List of OIST funded Mini-Symposia in FY2022

|     | Status    | Start Date        | End Date          | Title   | Venue   | Number of expected<br>participants for<br>cancelled/postponed<br>events | Participants<br>(total inc.<br>remote<br>participants) | Onsite<br>Participants<br>(of total) | Remote<br>Participants<br>(of total) | Overseas<br>Participants<br>(of total incl.<br>remote<br>participants) |
|-----|-----------|-------------------|-------------------|---|---|---|--|--------------------------------------|--------------------------------------|--|
| 1   | In-person | November 29, 2022 | December 1, 2022  | OIST Mini Symposium "Phylogeny and classification of<br>termites"   | OIST Main Campus                              | n/a   | 19   | 19                                   | 0                                    | 10   |
| 2   | In-person | January 25, 2023  | January 27, 2023  | OIST Mini Symposium "Translating evidence on altered<br>motivational processes in ADHD into behavioral management<br>strategies: Toward new research ideas" | OIST Main Campus<br>and OIST Seaside<br>House | n/a   | 17   | 17                                   | 0                                    | 7  |
| 3   | In-person | February 28, 2023 | March 2, 2023     | OIST Mini Symposium "Yielding and Flow of Soft Matter<br>Systems"   | OIST Main Campus<br>and OIST Seaside<br>House | n/a   | 30   | 30                                   | 0                                    | 7  |
| 4 4 | Cancelled | February 23, 2023 | February 24, 2023 | OIST Mini Symposium "Sex differences in neural circuits"  | OIST Main Campus                              | 15  | -  | -                                    | -                                    | -  |
|     |           |                   |                   |   |   | Total   | 66   | 66                                   | 0                                    | 24   |

#### Keyword: OIST Jointly Funded WS

# List of OIST Jointly-funded Workshops FY2022

|   | Status | Start Date         | End Date           | Title  | Venue        | Number of expected<br>participants for<br>cancelled/postponed<br>events | Participants<br>(total inc.<br>remote<br>participants) | Onsite<br>Participants<br>(of total) | Remote<br>Participants<br>(of total) | Overseas<br>Participants<br>(of total incl.<br>remote<br>participants) |
|---|--------|--------------------|--------------------|--|--------------|---|--|--------------------------------------|--------------------------------------|--|
| 1 | Hybrid | September 18, 2022 | September 21, 2022 | The 92nd IUVSTA workshop on Advanced Spectroscopy and<br>Transport for 2D Materials at Surfaces and The 4th Asia-<br>Pacific Symposium on Solid Surfaces (APSSS-4) | CC-All, Zoom | n/a   | 56   | 44                                   | 12                                   | 24   |
|   |        |                    |                    |  |              | Total   | 56   | 44                                   | 12                                   | 24   |
#### Attachment 1.4-1

wword: Acad/OIST Co-Hosted; Acad/OIST Executive WS; Acad/Section Co-hosted; Acad/Section Hosted; Acad/Unit Co-hosted; Acad/Unit hosted

#### List of OIST Unit/Section co-organized & nominally co-hosted academic events at OIST FY2022

|    | Start Date         | End Date           | Title   | Venue   | Participants<br>(total inc.<br>remote<br>participants) | Onsite<br>Participants<br>(of total) | Remote<br>Participants<br>(of total) | Overseas<br>Participants<br>(of total incl.<br>remote<br>participants) |
|----|--------------------|--------------------|---|---|--|--------------------------------------|--------------------------------------|--|
| 1  | April 21, 2022     | April 22, 2022     | The 1st Workshop on Nobel Turing Challenge  | Online (Zoom)   | 83   | 0                                    | 83                                   | Unknown  |
| 2  | April 25, 2022     | April 26, 2022     | "<br>"Oceans – and the impact of humans and climate change" - OIST-Tohoku<br>U. 2nd Joint Workshop on Marine Science  | OIST Main Campus and<br>Zoom                                      | 141  | 43                                   | 98                                   | 7  |
| 3  | May 11, 2022       | May 11, 2022       | International Conference on Geometric Modeling and Processing 2022<br>(GMP 2022)  | Online (Zoom)   | 91   | 0                                    | 91                                   | 85   |
| 4  | May 24, 2022       | May 26, 2022       | Alumni Workshop 2022  | OIST Main Campus  | 51   | 51                                   | 0                                    | 0  |
| 5  | June 2, 2022       | July 4, 2022       | post-NEURO2022 meeting  | OIST Main Campus  | 15   | 15                                   | 0                                    | 0  |
| 6  | July 4, 2022       | July 6, 2022       | All about astrocytes  | OIST Main Campus  | 25   | 25                                   | 0                                    | 1  |
| 7  | August 1, 2022     | August 10, 2022    | OIST-KEIO International Research Summer Camp 2022   | OIST Main Campus  | 38   | 38                                   | 0                                    | 0  |
| 8  | August 16, 2022    | August 19, 2022    | SHIMA   | OIST Main Campus,<br>OIST Conference                              | 18   | 18                                   | 0                                    | 0  |
| 9  | August 27, 2022    | August 28, 2022    | ALIFE Workshop 2022   | OIST Seaside House  | 25   | 25                                   | 0                                    | 0  |
| 10 | September 7, 2022  | September 9, 2022  | The 55th annual meeting of the Yeast Genetics Society of Japan  | OIST Conference Center  | 239  | 167                                  | 72                                   | 0  |
| 11 | September 8, 2022  | September 9, 2022  | 10th Plant Imaging Meeting  | OIST Main Campus  | 23   | 23                                   | 0                                    | 0  |
| 12 | September 12, 2022 | September 15, 2022 | Aquaculture Workshop  | OIST Main Campus and<br>OIST Seaside House                        | 20   | 20                                   | 0                                    | 4  |
| 13 | September 23, 2022 | September 30, 2022 | QEd Summer School   | OIST Main Campus and<br>Rizzan Sea Park Hotel<br>Conference Venue | 140  | 85                                   | 55                                   | 0  |
| 14 | October 8, 2022    | October 10, 2022   | SKY Labo x OIST: STEAM & Design Thinking Workshop   | OIST Conference Center  | 15   | 15                                   | 0                                    | 0  |
| 15 | October 24, 2022   | October 25, 2022   | OIST-Tohoku University Joint Workshop on Biodiversity: From Genes and<br>Species to Ecosystem Services and Resilience   | Tohoku University   | 157  | 56                                   | 101                                  | 10   |
| 16 | October 31, 2022   | October 31, 2022   | Ecological Approaches to Anthropogenic Environment, from Basic to<br>Applied  | OIST Conference Center  | 35   | 30                                   | 5                                    | 0  |
| 17 | November 1, 2022   | November 1, 2022   | Nobel Turing Challenge Initiative (NTCI): The 2nd Workshop on Nobel<br>Turing Challenge   | Kobe Campus,<br>RIKEN/Zoom  | 98   | 98                                   | 0                                    | Unknown  |
| 18 | November 2, 2022   | November 4, 2022   | OIST-Kyoto University Joint Workshop -Challenges in Biomedical<br>Complexity-   | OIST Main Campus  | 100  | 70                                   | 30                                   | 2  |
| 19 | November 9, 2022   | November 11, 2022  | Silver Workshop V: Complex Geometry and related topics  | OIST Main Campus  | 25   | 20                                   | 5                                    | 3  |
| 20 | November 21, 2022  | November 22, 2022  | The 2nd Workshop on Ladder Polymer Science  | OIST Seaside House,<br>OIST Conference Center                     | 18   | 18                                   | 0                                    | 0  |
| 21 | November 22, 2022  | November 22, 2022  | Collective Intelligence in Living/Non-Livings Populations   | OIST Main Campus  | 25   | 25                                   | 0                                    | 0  |
| 22 | November 25, 2022  | November 25, 2022  | The 7th Okinawa Marine Science Workshop   | University of the Ryukyus   | 50   | 50                                   | 0                                    | 0  |
| 23 | November 25, 2022  | November 25, 2022  | Workshop on Life Mind Continuity  | OIST Conference Center  | 40   | 40                                   | 0                                    | 0  |
| 24 | December 1, 2022   | December 1, 2022   | OIST/Humanoids 2022 Joint Workshop  | OIST Conference Center  | 70   | 70                                   | 0                                    | 30   |
| 25 | December 17, 2022  | December 17, 2022  | Neural Computation Workshop 2022  | OIST Seaside House  | 46   | 33                                   | 13                                   | 2  |
| 26 | December 21, 2022  | December 21, 2022  | Workshop of Invasive Alien Species and Examples of Efforts in Okinawa   | OIST Conference Center  | 80   | 50                                   | 30                                   | 0  |
| 27 | December 26, 2022  | December 27, 2022  | GEAR5.0 Life Science Conference   | OIST Conference Center  | 55   | 55                                   | 0                                    | 0  |
| 28 | January 16, 2023   | January 18, 2023   | Geometric PDEs and Applications   | OIST Main Campus and<br>Zoom                                      | 16   | 14                                   | 2                                    | 8  |
| 29 | February 1, 2023   | February 2, 2023   | Inclusive Leadership Symposium 2023   | OIST Conference Center  | 138  | 138                                  | 0                                    | 11   |
| 30 | February 6, 2023   | February 10, 2023  | 2023 Cryo-Electron Microscopy Course at OIST  | OIST Main Campus  | 37   | 37                                   | 0                                    | 4  |
| 31 | February 27, 2023  | February 28, 2023  | Japan-U.S. Science Communication and Policy Fellowship Network  | OIST Main Campus  | 31   | 28                                   | 3                                    | 16   |
| 32 | February 27, 2023  | March 3, 2023      | Interdisciplinary Science Conference in Okinawa (ISCO2023) - Physics<br>and Mathematics meet Medical Science -  | OIST Conference Center  | 135  | 134                                  | 1                                    | 25   |
| 33 | March 10, 2023     | March 10, 2023     | COI-NEXT Kick off Symposium — One World One Health — Center for the Global Bioconvergence Innovation for a Sustainable Society Based on "Healthy Mind, Healthy Body, and a Healthy Environment" | OIST Conference Center  | 241  | 199                                  | 42                                   | 11   |
| 34 | March 21, 2023     | March 25, 2023     | OIST x OYW Spring Camp 2023   | OIST Main Campus  | 30   | 30                                   | 0                                    | 0  |
|    |                    |                    |   | Total   | 2351   | 1720                                 | 631                                  | 219  |

|                    |                                  |   | Permanent |                  |       | Fixed-term |     | Part-time        |       |    |     | Ager             | ncy Temp |    | Total |                  |       |     |     |                  |       |       |
|--------------------|----------------------------------|---|-----------|------------------|-------|------------|-----|------------------|-------|----|-----|------------------|----------|----|-------|------------------|-------|-----|-----|------------------|-------|-------|
| Division           | Job Title                        | F | м         | Non-<br>Japanese | Total | F          | м   | Non-<br>Japanese | Total | F  | м . | Non-<br>Japanese | Total    | F  | м     | Non-<br>Japanese | Total | F   | М   | Non-<br>Japanese | Total | Total |
| Admin              | Vice President                   |   |           |                  |       | 2          | 6   | 4                | 8     |    |     |                  |          |    |       |                  |       | 2   | 6   | 4                | 8     | 8     |
|                    | Associate Vice President         |   |           |                  |       | 2          |     | 2                | 2     |    |     |                  |          |    |       |                  |       | 2   | 0   | 2                | 2     | 2     |
|                    | Dean                             |   |           |                  |       |            | 1   | 1                | 1     |    |     |                  |          |    |       |                  |       | 0   | 1   | 1                | 1     | 1     |
|                    | Senior Manager                   |   | 3         |                  | 3     | 5          | 8   | 4                | 13    |    |     |                  |          |    |       |                  |       | 5   | 11  | 4                | 16    | 16    |
|                    | Manager                          | 1 |           |                  | 1     | 10         | 8   | 3                | 18    |    |     |                  |          |    |       |                  |       | 11  | 8   | 3                | 19    | 19    |
|                    | Assistant Manager                |   |           |                  |       | 7          | 6   | 2                | 13    |    |     |                  |          |    |       |                  |       | 7   | 6   | 2                | 13    | 13    |
|                    | Research Support Specialist      |   |           |                  |       | 3          | 5   | 4                | 8     |    |     |                  |          |    |       |                  |       | 3   | 5   | 4                | 8     | 8     |
|                    | Specialist                       | 1 | 1         |                  | 2     | 24         | 25  | 18               | 49    |    |     |                  |          |    |       |                  |       | 25  | 26  | 18               | 51    | 51    |
|                    | Information Technology Engineers |   |           |                  |       |            | 12  | 9                | 12    |    |     |                  |          |    |       |                  |       | 0   | 12  | 9                | 12    | 12    |
|                    | Administrative Staff             | 1 | 1         |                  | 2     | 145        | 37  | 25               | 182   |    |     |                  |          |    |       |                  |       | 146 | 38  | 25               | 184   | 184   |
|                    | Research Unit Administrator      |   |           |                  |       | 42         |     |                  | 42    |    |     |                  |          |    |       |                  |       | 42  | 0   | 0                | 42    | 42    |
|                    | Part-time                        |   |           |                  |       |            |     |                  |       | 1  | 4   |                  | 5        |    |       |                  |       | 1   | 4   | 0                | 5     | 5     |
|                    | Agency Temp Staff                |   |           |                  |       |            |     |                  |       |    |     |                  |          | 68 | 1     | 1 15             | 79    | 68  | 11  | 15               | 79    | 79    |
| Admin Total        |                                  | 3 | 5         | i i              | 8     | 240        | 108 | 72               | 348   | 1  | 4   |                  | 5        | 68 | 1     | 1                | 79    | 312 | 128 | 87               | 440   | 440   |
| Research Support   | Associate Vice President         |   | 1         |                  | 1     |            |     |                  |       |    |     |                  |          |    |       |                  |       | 0   | 1   | 0                | 1     | 1     |
| Division (RSD)     | Senior Manager                   |   |           |                  |       |            | 2   | 1                | 2     |    |     |                  |          |    |       |                  |       | 0   | 2   | 1                | 2     | 2     |
|                    | Manager                          |   |           |                  |       |            |     |                  |       |    |     |                  |          |    |       |                  |       | 0   | 0   | 0                | 0     | 0     |
|                    | Assistant Manager                |   |           |                  |       | 1          | 2   | 1                | 3     |    |     |                  |          |    |       |                  |       | 1   | 2   | 1                | 3     | 3     |
|                    | Research Support Leader          | 1 | 1         |                  | 2     |            | 7   | 4                | 7     |    |     |                  |          |    |       |                  |       | 1   | 8   | 4                | 9     | 9     |
|                    | Research Support Specialist      |   |           |                  |       | 11         | 25  | 20               | 36    |    |     |                  |          |    |       |                  |       | 11  | 25  | 20               | 36    | 36    |
|                    | Specialist                       |   |           |                  |       | 1          |     | 3                | 1     |    |     |                  |          |    |       |                  |       | 1   | 0   | 3                | 1     | 1     |
|                    | Information Technology Engineers |   |           |                  |       |            | 2   | 5                | 2     |    |     |                  |          |    |       |                  |       | 0   | 2   | 5                | 2     | 2     |
|                    | Research Support Technician      |   |           |                  |       | 1          | 3   | 2                | 4     |    |     |                  |          |    |       |                  |       | 1   | 3   | 2                | 4     | 4     |
|                    | Administrative Staff             |   |           |                  |       | 8          | 2   |                  | 10    |    |     |                  |          |    |       |                  |       | 8   | 2   | 0                | 10    | 10    |
|                    | Part-time                        |   |           |                  |       |            |     |                  |       | 2  |     |                  | 2        |    |       |                  |       | 2   | 0   | 0                | 2     | 2     |
|                    | Agency Temp Staff                |   |           |                  |       |            |     |                  |       |    |     |                  |          | 11 |       | 9 5              | 20    | 11  | 9   | 5                | 20    | 20    |
| RSD Total          |                                  | 1 | 2         |                  | 3     | 22         | 43  | 36               | 65    | 2  |     |                  | 2        | 11 |       | 9 5              | 20    | 36  | 54  | 41               | 90    | 90    |
| Research Unit (RU) | Professor                        |   |           |                  |       | 7          | 33  | 24               | 40    |    |     |                  |          |    |       |                  |       | 7   | 33  | 24               | 40    | 40    |
|                    | Associate/ Assistant Professor   |   |           |                  |       | 9          | 28  | 23               | 37    |    |     |                  |          |    |       |                  |       | 9   | 28  | 23               | 37    | 37    |
| Researcher         | Group Leader                     |   |           |                  |       | 4          | 12  | 7                | 16    |    |     |                  |          |    |       |                  |       | 4   | 12  | 7                | 16    | 16    |
|                    | Staff Scientist                  |   |           |                  |       | 14         | 57  | 44               | 71    |    |     |                  |          |    |       |                  |       | 14  | 57  | 44               | 71    | 71    |
|                    | Science Technology Associate     |   |           |                  |       | 11         | 6   | 9                | 17    |    |     |                  |          |    |       |                  |       | 11  | 6   | 9                | 17    | 17    |
|                    | Postdoctoral Scholar             |   |           |                  |       | 55         | 137 | 177              | 192   |    |     |                  |          |    |       |                  |       | 55  | 137 | 177              | 192   | 192   |
| Technician         | Research Unit Technician         |   |           |                  |       | 56         | 65  | 65               | 121   |    |     |                  |          |    |       |                  |       | 56  | 65  | 65               | 121   | 121   |
|                    | Part-time                        |   |           |                  |       |            |     |                  | 0     | 20 | 12  | 7                | 32       |    |       |                  |       | 20  | 12  | 7                | 32    | 32    |
| RUA                | Administrative Staff             |   |           |                  |       | 21         |     |                  | 21    |    |     |                  |          |    |       |                  |       | 21  | 0   | 0                | 21    | 21    |
| Temp Staff         | Agency Temp Staff                |   |           |                  |       |            |     |                  | 0     |    |     |                  |          | 5  |       | 1 1              | 6     | 5   | 1   | 1                | 6     | 6     |
| RU Total           |                                  |   |           |                  |       | 177        | 338 | 349              | 515   | 20 | 12  | 7                | 32       | 5  |       | 1 1              | 6     | 202 | 351 | 357              | 553   | 553   |
| Total              |                                  |   |           |                  | 11    | 439        | 489 | 457              | 928   | 23 | 16  | 7                | 39       | 84 | . 2   | 21 6             | 105   | 550 | 533 | 485              | 1083  | 1083  |

# Attachment 2.4-1 FY2022 Number of Employees

## as of 2023/03/31

|    |     | Notionarity   | Admin     | BSD | Research             | h Unit           | Total |
|----|-----|---------------|-----------|-----|----------------------|------------------|-------|
|    |     | Nationality   | Aamin     | RSD | (Faculty/Researcher) | (Non Researcher) | TOLA  |
| 1  | AR  | Argentinian   |           |     | 2                    |                  | 2     |
| 2  | AT  | Austrian      |           |     | 2                    | 2                | 4     |
| 3  | AU  | Australian    | 4         | 1   | 4                    | 2                | 11    |
| 4  | BD  | Bangladeshi   |           | 1   | 1                    |                  | 2     |
| 5  | BE  | Belgian       |           |     | 5                    | 2                | 7     |
| 6  | BG  | Bulgarian     | 1         |     |                      | 1                | 2     |
| 7  | BJ  | Benin         |           |     |                      | 1                | 1     |
| 8  | BR  | Brazilian     |           |     | 5                    | 3                | 8     |
| 9  | CA  | Canadian      | 5         | 1   | 3                    | 1                | 10    |
| 10 | CH  | Swiss         | 1         | 1   | 1                    | •                | 3     |
| 11 |     | Chinese       | 2         |     | 20                   | 7                | 38    |
| 12 |     | Colombian     | 2         |     | 1                    | 1                | 3     |
| 12 | CD  | Costa Bisan   | 2         |     | 1                    |                  |       |
| 14 |     |               |           |     | ו<br>ס               | 2                | 1     |
| 14 |     |               |           |     | ۲<br>۲               | 2                | 4     |
| 15 |     | German        | 3         |     | 15                   | 0                | 24    |
| 16 |     |               |           |     |                      | 1                | 1     |
| 17 | EG  | Egyptian      | 1         |     | 1                    | 1                | 3     |
| 18 | ES  | Spanish       | 2         |     | 6                    | 1                | 9     |
| 19 | FI  | Finnish       | 1         |     | 1                    |                  | 2     |
| 20 | FR  | French        | 3         | 2   | 15                   | 6                | 26    |
| 21 | GB  | British       | 9         |     | 13                   | 8                | 30    |
| 22 | GE  | Georgian      |           |     |                      | 2                | 2     |
| 23 | GH  | Ghana         |           |     | 1                    |                  | 1     |
| 24 | GR  | Greek         |           |     | 1                    | 2                | 3     |
| 25 | HK  | Hong Kong     |           |     | 1                    |                  | 1     |
| 26 | HU  | Hungary       |           |     | 1                    |                  | 1     |
| 27 | ID  | Indonesian    | 1         | 1   | 3                    | 1                | 6     |
| 28 | IE  | Irish         |           | 1   | 4                    | 2                | 7     |
| 29 | IL  | Israeli       | 2         |     | 1                    | 2                | 5     |
| 30 | IN  | Indian        | 4         |     | 40                   | 5                | 49    |
| 31 | IR  | Iran          |           |     |                      | 1                | 1     |
| 32 | IT  | Italian       |           | 2   | 12                   | 2                | 16    |
| 33 | .IP | Japanese      | 356       | 54  | 62                   | 134              | 606   |
| 34 | KR  | South Korean  | 2         | 2   | 3                    | 2                | 9000  |
| 35 | K7  | Kazakhstan    | 2         | 2   | 1                    | 1                | 2     |
| 36 |     | Srilankan     |           |     | 1                    | 1                | 2     |
| 27 |     |               | 1         |     | 1                    | 1                | 2     |
| 20 |     | Lituanian     |           | 1   | I                    | I                | 3     |
| 30 |     |               |           | 1   |                      |                  | 1     |
| 39 | MG  | Madagascar    |           | 1   |                      | 0                |       |
| 40 |     | Mexican       | 1         |     | 4                    | 2                | 1     |
| 41 | M Y | Malaysian     |           |     | 4                    |                  | 4     |
| 42 | NG  | Nigeria       | 1         |     |                      | _                | 1     |
| 43 | NL  | Dutch         |           |     | 1                    | 3                | 4     |
| 44 | NZ  | New Zealand   |           | 1   | 3                    |                  | 4     |
| 45 | PE  | Peru          |           |     | 1                    | 1                | 2     |
| 46 | PH  | Filipino      | 3         | 1   | 1                    | 2                | 7     |
| 47 | PK  | Pakistan      |           |     |                      | 1                | 1     |
| 48 | PL  | Polish        |           |     | 4                    |                  | 4     |
| 49 | RO  | Rumanian      |           |     | 2                    | 1                | 3     |
| 50 | RU  | Russian Fed.  | 1         | 2   | 8                    | 12               | 23    |
| 51 | SA  | Saudi Arabia  | 1         |     |                      |                  | 1     |
| 52 | SE  | Swedish       |           | 1   | 1                    | 2                | 4     |
| 53 | SK  | Slovakian     |           |     | 1                    | 1                | 2     |
| 54 | TN  | Tunisian      | 1         | 1   |                      |                  | 2     |
| 55 | TR  | Turkish       |           |     | 2                    | 3                | 5     |
| 56 | TW  | Taiwanese     | 3         | 4   | 6                    | 5                | 18    |
| 57 | UA  | Ukrainian     | 1         | 1   | 2                    |                  | 4     |
| 58 | US  | American      | 22        | 8   | 20                   | 15               | 65    |
| 59 | VN  | Vietnamese    | 1         |     | 2                    | 1                | 4     |
| 59 | YE  | Yemen         | · · ·     |     |                      | 1                | 1     |
| 59 | 74  | South African | 1         |     |                      | •                | 1     |
|    |     | <b>7</b>      | · · · · · |     |                      |                  |       |
| 59 | ZM  | Zampia        |           |     | 1                    |                  | 1     |
| 59 | ZW  | Zimbabwe      |           |     |                      | 1                | 1     |
| 59 | 77  | Overseas      | 9         | 3   |                      |                  | 12    |
|    |     |               | 445       | 00  |                      | 054              | 4000  |
|    |     |               | 445       | 90  | 302                  | 251              | 1088  |

Compensation/Salary of OIST SC's Executive Officers and Employees

I Compensation of Executive Officers

- 1 Items concerning the Basic Policy of Executive Officers' Compensation
- ① How to determine Executive Officers' salary levels.

The salary level of executive officers was determined in accordance with the international standard and with consideration on their responsibilities of overseeing management, education, and research of an internationally outstanding graduate university. In particular, the CEO/President require appropriate salary level as he/she is required to have a proven track record in organizational management at internationally recognized research institutions, and to be a reasearcher and a respected and accomplised educator who is capable of leading internationally recognized faculty members in the midst of itnernational competition.

② How performance is reflected into Executive Officers' compensation in FY2021 (How performance-based salary works and has been adopted)

A Special Adjustment Allowance may be awarded to full-time executive officers when it is deemed necessary in consideration of their internationally excellent research and educational experiences, difficulty of duties, and past achievements, etc.

③ Details of Executive Officers' compensation levels and revisions made in FY2021

| Head of<br>Corporation  | <ol> <li>Details of the officer's salary standard<br/>The compensation of an officer is defined as the sum of base salary (annual<br/>salary), a special adjustment allowance, commuting allowance, and housing<br/>allowance. The base salary is within the range up to the maximum amount<br/>and the special adjustment allowance is determined by the Board of<br/>Governors upon the discussion with Cabinet Office.<br/>In particular, the CEO/President require appropriate salary level as he/she is<br/>required to have a proven track record in organizational management at<br/>internationally recognized research institutions, and to be a reasearcher and a<br/>respected and accomplised educator who is capable of leading internationally<br/>recognized faculty members in the midst of itnernational competition.</li> <li>Revisions in FY2021<br/>None</li> </ol> |  |
|-------------------------|---|--|
| Governor                | <ol> <li>Details of the officer's salary standard<br/>The compensation of an officer is defined as the sum of base salary (annual<br/>salary), a special adjustment allowance, commuting allowance, and housing<br/>allowance. The base salary is within the range up to the maximum amount and<br/>special adjustment allowance is determined by the Board of Governors upon<br/>the discussion with Cabinet Office, .</li> <li>Revisions in FY2021<br/>None</li> </ol>  |  |
| Governor<br>(Part Time) | <ol> <li>Details of the Salary Standard of Officers         The compensation of part-time Officers is determined by the Board of             Governors based on their working hours and jobs.         Details of the Revisions in FY2021         None     </li> </ol>   |  |

| Auditor                | <ol> <li>Details of the officer's salary standard<br/>The compensation of an officer is defined as the sum of base salary (annual<br/>salary), a special adjustment allowance, commuting allowance, and housing<br/>allowance. The base salary is within the range up to the maximum amount and<br/>special adjustment allowance is determined by the Board of Governors upon<br/>the discussion with Cabinet Office, .</li> <li>Revisions in FY2021<br/>None</li> </ol> |  |
|------------------------|--|--|
| Auditor<br>(Part Time) | <ol> <li>Details of the officer's salary standard<br/>The compensation of part-time Officers is determined by the Board of<br/>Governors based on their working hours and jobs.</li> <li>Revisions in FY2021<br/>None</li> </ol>   |  |

## 2 Payment Condition of Officer Compensation

|                         | Total Annual Co | mpensatio                | -<br>n in FY202 | 1        |   | Accession/Re | tirement Status | -                  |
|-------------------------|-----------------|--------------------------|-----------------|----------|---|--------------|-----------------|--------------------|
| Position                |                 | Compensation<br>(Salary) | Bonus           | Others(d | letails)                                | Accession    | Retirement      | Former<br>position |
| Head of                 | K Yen           | K Yen                    | K Yen           | K Yen    | Adjustment                              |              |                 |                    |
| Corporation<br>A        | 75,024          | 30,000                   |                 | 45,024   | & Commuting<br>Allowance)               |              |                 |                    |
| "^"                     | K Yen           | K Yen                    | K Yen           | K Yen    | ореска                                  |              |                 |                    |
| A<br>Governor           | 15,018          | 15,000                   |                 | 18       | Adjustment<br>& Commuting<br>Allowance) |              | Dec 31          | *                  |
| "B"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 5,303           | 5,000                    |                 | 303      | ( )                                     | Jan 1        | Mar 1           | * X                |
| "C"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 740             |                          |                 |          | ( )                                     |              |                 | *                  |
| "D"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 660             |                          |                 |          | ( )                                     |              |                 |                    |
| "E"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 740             |                          |                 |          | ( )                                     |              |                 |                    |
| "F"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 740             |                          |                 |          | ( )                                     |              |                 |                    |
| "G"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 740             |                          |                 |          | ( )                                     |              |                 |                    |
| "H"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 535             |                          |                 |          | ( )                                     | Jul 1        |                 |                    |
| " "                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 678             |                          |                 |          | ( )                                     | May 1        |                 |                    |
| "J"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 740             |                          |                 |          | ( )                                     |              |                 |                    |
| "K"                     | K Yen           | K Yen                    | K Yen           | K Yen    |   |              |                 |                    |
| Governor<br>(part-time) | 740             |                          |                 |          | ( )                                     |              |                 |                    |

| "L"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
|-------------------------|--------|--------|-------|-------|---|---|--------|------------|
| Governor<br>(part-time) | 740    |        |       |       | ( | ) | Mar 31 |            |
| "M"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Governor<br>(part-time) | 900    |        |       |       | ( | ) |        |            |
| "N"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Governor<br>(part-time) | 660    |        |       |       | ( | ) |        |            |
| "O"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Governor<br>(part-time) | 740    |        |       |       | ( | ) |        |            |
| "P"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Governor<br>(part-time) | 163    |        |       |       | ( | ) | May 31 |            |
| "Q"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Governor<br>(part-time) | 740    |        |       |       | ( | ) |        | *          |
| "R"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Governor<br>(part-time) | 740    |        |       |       | ( | ) |        |            |
| "S"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Governor<br>(part-time) | 740    |        |       |       | ( | ) |        |            |
| "Δ"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Auditor                 | 15,000 | 15,000 |       |       | ( | ) |        | $\diamond$ |
| "B"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Auditor<br>(part-time)  | 3,400  | 3,400  |       |       | ( | ) |        |            |
| "C"                     | K Yen  | K Yen  | K Yen | K Yen |   |   |        |            |
| Auditor<br>(part-time)  | 3,400  | 3,400  |       |       | ( | ) |        |            |

\*1: In the colum of the "others (details)," enter the total amount of allowances, e.g. commuting allowance.

\*2: In the colum of "Former Position", enter either of the following marks according to the type of the Officer's former job.

Retired public employee"\*", Seconded officer "\$", Retiree of IAI, etc. "%", Retired public employee, and then worked & retired from IAI, etc. "\* X", leave the column empty if none of the categories apply. 3 Appropriateness of Executive Officers' Compensation Standard [Validation by Corporation]

| <b>V</b> andation by    |   |    |
|-------------------------|---|----|
| Head of<br>Corporation  | The compensation standard for the Head of Corporation is reasonable in consideration of the responsibility and difficulty of overseeing management, education and research of an internationally outstanding graduate university, as well as past achievements, and international standard. |    |
| Governor                | The compensation standard for the Governor is reasonable in<br>consideration of the responsibility and difficulty of overseeing management<br>education and research of an internationally outstanding graduate<br>university, as well as past achievements, and international standard.    | t, |
| Governor<br>(Part time) | The compensation standard for part-time Governor is reasonable in consideration of the responsibility of overseeing management, education and research of an internationally outstanding graduate university, as well as their insight as a Nobel Laureate, etc.                            |    |
| Auditor                 | The compensation for the Auditor is reasonable in consideration of the responsibility and difficulty of their duties to audit the management of an internationally outstanding graduate university .  |    |
| Auditor<br>(Part time)  | The compensation for the Auditor is reasonable in consideration of the responsibility and difficulty of their duties to audit the management of an internationally outstanding graduate university.   |    |
| <b>F</b>                |   |    |

## [Verification by Competent Minister]

.

The compensation for the Governors (including the Head of Corporation) is reasonable in accordance with the international standard and in consideration of their nobleness, academic expertise, and abilities to operate the Corporation effectively.

4 Payment Condition of Retirement Allowance for Officers (Condition of retiree subject to retirement allowance in FY2015

| Classification             | Payment Amount<br>(Total) | Period of Service | Retired Date | Performance<br>Evaluation<br>Rate | Former position |
|----------------------------|---------------------------|-------------------|--------------|-----------------------------------|-----------------|
| Head of<br>Corporatio<br>n | N/A                       |                   |              |                                   |                 |
| "A"<br>Governor            | к Yen<br>14,124           |                   | Dec 31       | 1.0                               | *               |
| Auditor                    | N/A                       |                   |              |                                   |                 |

\*1: In the colum of "Former Position", enter either of the following marks according to the type of the Officer's former job.

Retired public employee"\*", Seconded officer "\$", Retiree of IAI, etc. "X", Retired public employee, and then worked & retired from IAI, etc. "\* X", leave the column empty if none of the categories apply.

\*2: "B" Governor retired on March 31, 2022 is not listed in the table as no Retirement Allowance has not been paid yet.

5 Appropriateness of Retirement Allowance for Retiree [Reason for the determination by Competent Minister]

| Classification             | Reason for Determination  |
|----------------------------|---|
| Head of<br>Corporatio<br>n | N/A   |
| "A"<br>Governor            | Retirement allowance for Officers is reasonable as the calculation method is consistent with the "Retirement allowance for the Independent Administrative Institutions, Special Corporations, and Licensed Corporations Officers" decided by the Cabinet on Dec 19, 2003 (partialy revised on March 24, 2015), also the Performance Evaluation Rate is determind by the Board of Governors based on the performance of service period takenen into account. |
| Auditor                    | N/A   |

Note: In the "Reason for Decision" column, enter the specific reasons for the decision on the Performance Evaluation rate and the amount of retirement allowance, including the results of the review of the the corporation performance, the performance of work in charge, and the individual performance.

## 6 How performance-based salary works and is adopted

As another system similar to performance-based salary, the special adjustment allowance scheme has been introduced, which may be paid to a full-time officer if it is deemed necessary in consideration of the officer's experiences in internationally excellent scientific research and education, difficulty of duties, and past achievements. This scheme will continue to be applied.

#### II Salary of Employees

1 Items concerning the Basic Policy of Employee Salary

 $(\ensuremath{\mathbb{D}}$  How the employee salary level is determined

Refering to factors such as salary levels of national government employees and those of academic institutions in and outside of Japan, the salary level for each type of work is determined based on individual job performance etc. within the respective range. At the Research department, it is indispensable to have staff who can conduct research management and evaluation of OIST's internationally highest level researchers, and who need to be treated commensurate with internationally competitive standards.

② How the efficiency presented by the employee or work performance of the employee is reflected in the salary (How the performance-based salary works and is adopted)

Adopt a performance evaluation system appropriate to the characters of each job category such as faculty, administrative staff, etc. and implement the system while ensuring fairness and transparency, then determine individual salary amounts based on their evaluation results.

③ Details of the salary system and major revisions made in FY2019

1. Details of the salary system

Type of salary: annual salary, overwork allowance, other allowances (commuting

allowance, housing allowance, etc.)

Pay system: annual salary system (type of job (faculty, researcher, administrative staff, etc.) and the salary range are set based on job levels).

2. Major revisions in FY2021

The following measures have been continued to be taken.

(1) Optimize the salary level

We will conduct thorough performance reviews and tighten a pay raise. When we recruit Permanent Employee in the future, we will actively employ young people over their older counterparts if candidates are competent.

(2) Control of the salary level of employees of the entire institution

In addition to (1), we will also control the salary level of entire institution by promoting recruitment of young people for fixed-term employees.

#### 2. Payment Condition of Employee Salary

#### (1) Payment Condition by Type of Work

| Γ              |                    |                      |             | FY2021 Annual Salary (Average) |            |                     |            |  |  |  |
|----------------|--------------------|----------------------|-------------|--------------------------------|------------|---------------------|------------|--|--|--|
| Classification |                    | Number               | Average age | Total amount                   | Prescribed |                     | Bonus      |  |  |  |
|                |                    |                      |             | Total amount                   | amount     | Commuting allowance | within the |  |  |  |
|                |                    | No. of staff members | Age         | K Yen                          | K Yen      | K Yen               | K Yen      |  |  |  |
| ľ              | Permanent Employee | 12                   | 51.1        | 9,713                          | 9,713      | 149                 | 0          |  |  |  |
|                | Administrative &   | No. of staff members | Age         | K Yen                          | K Yen      | K Yen               | K Yen      |  |  |  |
|                | Technical Staff    | 12                   | 51.1        | 9,713                          | 9,713      | 149                 | 0          |  |  |  |
|                | Research Staff     | No. of staff members | Age         | K Yen                          | K Yen      | K Yen               | K Yen      |  |  |  |

| _  |                     |                      |      |        |        |       |       |
|----|---------------------|----------------------|------|--------|--------|-------|-------|
| Γ. |                     | No. of staff members | Age  | K Yen  | K Yen  | K Yen | K Yen |
| ľ  | ixed I erm Employee | 657                  | 43.3 | 7,480  | 7,480  | 89    | 0     |
|    |                     | No. of staff members | Age  | K Yen  | K Yen  | K Yen | K Yen |
|    | Faculty             | 67                   | 50.9 | 16,661 | 16,661 | 32    | 0     |
|    | Administrative &    | No. of staff members | Age  | K Yen  | K Yen  | K Yen | K Yen |
|    | Technical Staff     | 354                  | 44.2 | 6,254  | 6,254  | 116   | 0     |
|    |                     | No. of staff members | Age  | K Yen  | K Yen  | K Yen | K Yen |
|    | Research Staff      | 236                  | 39.7 | 6,711  | 6,711  | 65    | 0     |
| _  |                     |                      |      |        |        |       |       |
| 6  | Overseas Employee   | No. of staff members | Age  | K Yen  | K Yen  | K Yen | K Yen |

Note 1: "Permanent employee" exclude staff working abroad.

Note 2: Postions which have no applicable permanet or fixed term employee are omitted.

Note 3: Re-employeed or part-time staff are not listed as there is no applicable staff.

Note 4: All permanent employees and fixed term employees are based on annual salary.

Note 5: Overseas employees are employee based overseas and on annual salary.

Note 6: If there are only two or fewer applicable persons, information other than the classification is omitted as individuals information may be identified. Also excluded from the overall number of staff members.





Note 1: Commuting allowance is deducted from the annual salary shown in  $\mathbb{O}$ . This condition applies down to  $\mathbb{O}$ . Note 2: The number of the employees for the age 20-23 range is only 4 so the 1st and 3rd quartiles are not shown

## ③ Annual Salary Distribution by Job Classification

(Administrative/technical staff)

| Groups Representing             | No. of stoff | Average age | Annual Salary |              |  |  |  |
|---------------------------------|--------------|-------------|---------------|--------------|--|--|--|
| Distribution                    | NO. OF STAT  | Average age | Average       | Max-Min      |  |  |  |
|                                 | People       | Age         | K Yen         | K Yen        |  |  |  |
| Equivalent to director          | 23           | 55.5        | 17,271        | 46,200~9,410 |  |  |  |
| Equivalent to manager           | 33           | 49.8        | 9,333         | 12,349~6,977 |  |  |  |
| Equivalent to assistant manager | 50           | 44.7        | 6,716         | 9,543~5,131  |  |  |  |
| Equivalent to section chief     | 126          | 45.1        | 5,467         | 9,480~4,121  |  |  |  |
| Staff                           | 134          | 40.4        | 4,164         | 8,980~2,469  |  |  |  |

## ④ Bonus (Not Applicable)

|                      | Division  | Summer | Winter | Total  |
|----------------------|---|--------|--------|--------|
|                      | Uniform payment(Each term)                                  | %      | %      | %      |
| Manageria<br>I level | Assessed Payment<br>(performance<br>basis)(average)<br>(平均) | %      | %      | %      |
|                      | Max~Min   | ~      | %      | ~      |
|                      | Uniform payment(Each term)                                  | %      | %      | %      |
|                      |   |        |        |        |
| General<br>staff     | Assessed Payment<br>(performance<br>basis)(average)         | %      | %      | %      |
|                      |   | %      | %      | %      |
|                      | Max $\sim$ Min  | $\sim$ | $\sim$ | $\sim$ |

## 3 Verification of the Appropriateness of Salary Level

| Item  | Contents  |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|
|   | Age basis     Bogion/Academic Career basis  |  |  |  |  |  |  |
| Compared with   | Are/Academic Career basis 95.0  |  |  |  |  |  |  |
| Oovernment Omeiais  | • Age/Academic Career basis 82.7  |  |  |  |  |  |  |
| Reason why the salary<br>level is higher than that<br>of the Nation | •Age/Region/Academic Career Bas 92.9  |  |  |  |  |  |  |
|   | (Corporate Verification)<br>Compared with government officials, Age Basis Index has been less<br>than 100, 86.2.9 in 2020 and 84.0 in 2021 respectively. Adjustment of<br>the salary level has been addressed through various measures for<br>lowering it.  |  |  |  |  |  |  |
| Verification of the<br>Appropriateness of<br>Salary Level           | [Verification by Competent Minister]<br>OIST is conducting world-class research and education activities in an<br>international environment where such activities are carried out in<br>English, and more than half of the faculty and students are non-<br>Japanese. Since administrative staffs also must have high expertise to<br>support the researchers, we understand the necessity of having<br>excellent human resources at OIST. OIST has been taking measures<br>to ensure an appropriate salary level under such condition, and as a<br>result, the index compared with government officials showed<br>improvement. We will continue to provide proper instruction and<br>supervision to ensure that such measures will be implemented<br>steadily. |  |  |  |  |  |  |
| Measures to be Taken  | As measures for the future,<br>1)Hire mid-age and younger generation employees over their older<br>counterparts if the candidates are equal in ability.<br>2)Thoroughly carry out the (personnel) performance evaluation and tighten a<br>pay raise.<br>Through carrying out these measures, appropriate levels of salary is expected<br>to be retained.  |  |  |  |  |  |  |

#### OAdministrative and Technical Staff

#### 4 Salary Model

Note: The description is omitted due to the consistent annual salary system.

## 5 Consideration on Achievement Allowance Scheme and its Introduction

Achievement allowance scheme is to be introduced for faculty and administrative staff in accordance with the characteristics of their job classification. The result of each individual's achievement is to be reflected on their payment through appropriate implementation, considering fairness and transparency. The scheme is continued to be implemented in the future.

## III Comprehensive Personnel Expenses

| Classification                | Previous FY<br>(FY 2020) | Current FY<br>(FY 2021) |
|-------------------------------|--------------------------|-------------------------|
| Total Salary and Compensation | K Yen                    | K Yen                   |
| Payment Amount<br>(A)         | 6,866,693                | 7,347,490               |
| Retirement Allowance Payment  | K Yen                    | K Yen                   |
| Amount<br>(B)                 | 4,159                    | 15,693                  |
| Salary of Part-time Officers  | K Yen                    | K Yen                   |
| (C)                           | 74,000                   | 64,078                  |
| Benefit Package Expenses      | K Yen                    | K Yen                   |
| (D)                           | 759,126                  | 816,427                 |
| Personnel Expense in the      | K Yen                    | K Yen                   |
| most broad sense<br>(A+B+C+D) | 7,703,978                | 8,243,588               |

## Matters that serve as reference for the Comprehensive Personnel Cost

• In promoting world-class education and research in science and technology at the OIST, researchers with the highest level of international research capabilities are necessary, and it is necessary to treat them appropriately in line with international standards.

 $\cdot$  The total personnel cost increased due to the addition of 46 employees compared to the previous year.

• In accordance with "Reduction on Government Public Officials' Retirement Allowance," (decided by the Cabinet on August 7, 2012), the retirement allowance of managerial level personnel has been reduced since April 1, 2013, by multiplying the adjustment ratios, (92/100 from October 2013 to June 2014 and 87/100 from July 2014). In accordance with the Cabinet decision of November 17, 2017, the amount was further reduced to 83.7/100 in January 2018 and thereafter.

## IV Others

None

#### Attachment 2.4-1 FY2022 Number of Employees Taking Training Program

#### FY2022 Seminar/Meeting/Course

| Seminar/Meeting/Course   | Participants (e.g. admin<br>staff, researchers, venders<br>etc. | # of participants      | Speaker (language)  | Date      |
|--|---|------------------------|---|-----------|
| KAKENHI International Leading Research<br>Meeting                | Researchers   | 8                      | Nicholas Luscombe, Keshav Dani, Kenji<br>Doya, Tomoki Fukai, Vincent Laudet,<br>Timothy Ravasi, Yao (English) | 2022/4/1  |
| JST FOREST information session                                   | Researchers   | 10                     | Yukiko Goda, Yao (English)  | 2022/6/10 |
| KAKENHI clinics (1)  | Researchers   | 23                     | Nick Luscombe, Kathy Takayama<br>Support & Q&A: Sugihara, Fujimatsu,<br>Tengan, Yao (English)                 | 2022/9/8  |
| KAKENHI clinics (2)  | Researchers   | 22                     | Nick Luscombe, Kathy Takayama<br>Support & Q&A: Sugihara, Fujimatsu,<br>Tengan, Yao (English)                 | 2022/9/21 |
| Explanatory session for first time KAKENHI<br>awardees (English) | Researchers   | 20<br>(Researcher: 17) | OIST<br>Suzuna TENGAN(English)  | 2023/3/30 |
| KAKENHI Support Session  |   |                        |   |           |
| Content  | Participants  | # of participants      | Person in Charge  | Date      |

| Johnan                                       | 1 di tioipunto | " of participants | 1 croon in charge               | Duto        |  |
|--|----------------|-------------------|---------------------------------|-------------|--|
| Support sessions for KAKENHI payment request | Researchers    | 7                 | Tengan, Fujimatsu, Uehara       | 2022/4/4    |  |
| Support sessions for KAKENHI payment request | Researchers    | 13                | Tengan, Fujimatsu, Uehara       | 2022/4/5    |  |
| Support sessions for KAKENHI annual report   | Researchers    | 8                 | Tengan, Fujimatsu, Uehara       | 2022/5/16   |  |
| Support sessions for KAKENHI annual report   | Researchers    | 9                 | Tengan, Fujimatsu, Uehara       | 2022/5/18   |  |
| Support sessions for KAKENHI online          | Pagaarahara    | 15                | Van Vamana Eulimateu Tangan     | 2022/0/14   |  |
| application(1)                               | Researchers    | 15                | rao, ramano, rujimatsu, rengan  | 2022/ 5/ 14 |  |
| Support sessions for KAKENHI online          | Pagaarahara    | 15                | Vac Vamana Eulimatau            | 2022/0/15   |  |
| application(2)                               | Researchers    | 15                | rao, ramano, rujimatsu          | 2022/9/19   |  |
| Support sessions for KAKENHI online          | Pagaarahara    | 15                | Vac Vamana Eulimatau Tangan     | 2022/0/16   |  |
| application(3)                               | Nesearchers    | 10                | rao, ramano, rujinatsu, religan | 2022/ 9/ 10 |  |

## Attachment2.4-3 添付資料2.4-3 令和4年度(FY2022) 研修実績・HR 2023.3.31時点

| 区分<br>Training Category                   | コース名<br>Course Name   | 実施方法<br>Method | 実施回数<br># of times | 参加人数<br># of participation |
|---|---|----------------|--------------------|----------------------------|
|   | 新入職員オリエンテーション<br>New Employee Orientation                   | Zoom           | 12                 | 254                        |
| 新入職員<br>New Employee                      | 実務者研修(新入職員向け<br>Accounting and Document Management Training | 対面             | 12                 | 68                         |
|   | 新卒研修<br>New Grads Business Training                         | 対面             | 2                  | 2                          |
| 管理職研修                                     | アンコンシャスバイアス<br>Unconsious Bias                              | 対面             | 3                  | 37                         |
| (組織力強化)                                   | コンフリクトマネジメント<br>Conflict Management                         | 対面             | 3                  | 42                         |
|   | アサーティブコミュニケーション(Follow-up<br>Assertive Communication        | 対面             | 2                  | 14                         |
| 職員研修<br>Staff Development<br>(組織力強化)      | アンコンシャスバイアス<br>Unconsious Bias                              | 対面             | 3                  | 57                         |
|   | コンフリクトマネジメント<br>Conflict Management                         | 対面             | 4                  | 72                         |
|   |   | 41             | 546                |                            |
|   |   |                |                    |                            |
| For-T (Forty-Hour Learning Target) FY2022 | 在籍者数(休職中、年度途中の退職者を除く  | 平均学習時間         | 学習時間達成率            | 目標達成者数                     |
| 1   | 100   |                | 010/               |                            |

|                   | 423  | 30.6  | 81%    | 206       |
|-------------------|------|-------|--------|-----------|
|                   |      |       | -      |           |
| LinkedIn Learning | 登録者数 | ログイン数 | ログイン率  | 平均視聴時間(分) |
| エンゲージメント          | 644  | 347   | 53.90% | 338       |
| 前年度比              | 7%   | -20%  | -26%   | -30%      |

# Attachment 2.4-3 添付資料2.4-3

## 研究支援ディビジョンがコアファシリティを使って行った共同研究の数 Number of joint researches hosted at Core Facilities of Research Support Division

| No | Section<br>Name/ セクション名    | Collaboration with/共同研究機関名   | Number/<br>件数 | Visitors/<br>人数 |
|----|----------------------------|--|---------------|-----------------|
| 1  | Engneering/エンジニアリング        | RIKEN/国立研究開発法人理化学研究所   | 1             | 0               |
| 2  | Engneering/エンジニアリング        | Yamaguchi University/国立大学法人山口大学                                      | 1             | 0               |
| 3  | Imaging/イメージング             | Korea Research Institute of Bioscience and Biotechnology             | 1             | 0               |
| 4  | Imaging/イメージング             | University of the Ryukyus/国立大学法人琉球大学                                 | 3             | 2               |
| 5  | Imaging/イメージング             | Osaka University/国立大学法人大阪大学  | 2             | 0               |
| 6  | Imaging/イメージング             | Mie University/国立大学法人三重大学  | 1             | 1               |
| 7  | Imaging/イメージング             | The Univerity of Tokyo/国立大学法人東京大学                                    | 1             | 1               |
| 8  | Instrumental Analysis/機器分析 | National Institute for Environmental Studies/国立環境研究所                 | 1             | 0               |
| 9  | Instrumental Analysis/機器分析 | University of the Ryukyus/国立大学法人琉球大学                                 | 1             | 0               |
| 10 | ESI*/環境科学・インフォマティックス       | Obihiro University of Agriculture and Veterinary Medicine/带広<br>畜産大学 | 1             | 1               |
| 11 | ARS**/実験動物セクション            | Private company/民間企業   | 1             | 0               |
|    |                            | Total  | 14            | 5               |

\*ESI: Environmental Science and Informatics Section

\*\*ARS: Animal Resources Section

#### Attachment 3.1-1 添付資料3.1-1 Acquisition of External Funding (as of Mar 31, 2023)/外部資金獲得状況

| 分類   | FY2014        |           | FY2015        |           | FY2016        |              | FY2017        |           | FY2018        |           | FY2019        |           | FY2020        |           | FY2021           |     | FY2022           |           |
|--|---------------|-----------|---------------|-----------|---------------|--------------|---------------|-----------|---------------|-----------|---------------|-----------|---------------|-----------|------------------|-----|------------------|-----------|
| Category   | Amount        | #         | Amount        | #         | Amount        | #            | Amount        | #         | Amount        | #         | Amount        | #         | Amount        | #         | Amount           | #   | Amount           | #         |
| 科研費(学術系)/Kakenhi (Kakenhi<br>Academic Grants)                | 165, 266, 341 | 54        | 137, 160, 016 | 47        | 158, 517, 697 | 56           | 245, 254, 159 | 86        | 276, 201, 309 | 109       | 270, 013, 444 | 122       | 279, 366, 196 | 126       | 266, 250, 000    | 127 | 308, 720, 377    | 136       |
| 受託研究等(産学連携系)<br>Grants for collaborations<br>industries etc. | 69, 994, 690  | 10        | 124, 337, 784 | 10        | 227, 151, 400 | 18           | 210, 513, 791 | 20        | 140, 701, 256 | 21        | 173, 956, 670 | 17        | 113, 827, 496 | 14        | 110, 444, 030    | 17  | 197, 708, 273    | 23        |
| その他国内助成金(学術系&産学連携<br>系) ※ (注)<br>Other domestic grants       | 22, 635, 500  | 11        | 20, 989, 419  | 6         | 7, 116, 234   | 10           | 87, 587, 000  | 37        | 87, 523, 000  | 48        | 105, 620, 345 | 55        | 100, 231, 726 | 40        | 137, 844, 000    | 54  | 165, 102, 058    | 79        |
| 受託研究等(学術系)<br>Academic grants                                | 104, 967, 000 | 5         | 120, 758, 500 | 7         | 149, 131, 000 | 6            | 302, 781, 001 | 10        | 217, 504, 200 | 10        | 258, 767, 900 | 13        | 169, 562, 500 | 21        | 379, 884, 880    | 27  | 1, 031, 706, 842 | 26        |
| 海外助成金等(学術系)※(注)<br>Overseas grants                           | 27, 166, 059  | 3         | 16, 015, 264  | 3         | 11, 916, 945  | 1            | 13, 335, 884  | 2         | 18, 395, 330  | 4         | 30, 798, 406  | 4         | 33, 552, 811  | 6         | 39, 242, 711     | 11  | 18, 926, 962     | 7         |
| 寄附金<br>Donation  | 1, 075, 960   | 7         | 36, 417, 498  | 13        | 10, 644, 779  | 13           | 34, 747, 672  | 27        | 28, 227, 199  | 24        | 15, 975, 729  | 39        | 18, 407, 587  | 54        | 18, 048, 052     | 102 | 24, 433, 551     | 62        |
| その他の収入<br>Other Revenues                                     |               | $\square$ |               | $\bigvee$ |               | $\checkmark$ | $\nearrow$    | $\bigvee$ |               | $\bigvee$ |               | $\square$ | 149, 222, 149 | 8         | 691, 980, 599    | 6   | 1, 448, 700, 027 | 16        |
|  | 391, 105, 550 | $\square$ | 455, 678, 481 |           | 564, 478, 055 |              | 894, 219, 507 |           | 768, 552, 294 |           | 855, 132, 494 | $\square$ | 864, 170, 465 | $\square$ | 1, 643, 694, 272 |     | 3, 195, 298, 090 | $\square$ |

百万円 mio Yen



#### Attachment 4. 1-1 Patent Status

| Fiscal Year    | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 |
|----------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
| Filed Patents  | 0    | 2    | 1    | 6    | 5    | 0    | 7    | 1    | 19   | 15   | 53   | 68   | 94   | 75   | 69   | 44   | 40   | 32   | 40   |
| Issued Patents | 0    | 0    | 0    | 1    | 0    | 1    | 4    | 2    | 2    | 2    | 1    | 6    | 25   | 27   | 40   | 35   | 35   | 34   | 26   |

\* FY2022 is estimated numbers

\*\* The figures include other categories of intellectual property than patents (e.g. trademark)



#### Attachment 4. 1-2 FY2022 POC Projects

#### POC PROJECTS

| No | Project Title   | New/<br>Continuing | Principal Investigator | Type<br>(ITR, Phase I/II, TPF) |
|----|---|--------------------|------------------------|--------------------------------|
| 1  | All-solid-state Li-ion batteries with improved stability  | Continuing         | Yabing Qi              | ITR                            |
| 2  | ADHD Parenting program  | Continuing         | Gail Tripp             | ITR                            |
| 3  | Development of human aging detection through measuring abandance of age-<br>related metabolic biomarkers  | Continuing         | Mitsuhiro Yanagida     | ITR                            |
| 4  | The neuromuscular junction as a new target for treatment of Hereditary Motor and Sensory Neuropathy   | Continuing         | Tadashi Yamamoto       | ITR                            |
| 5  | Bio-Practical AppliCation Treatment Systems   | Continuing         | David Simpson          | TPF                            |
| 6  | Feasibility study for commercialization of OIST Rice  | Continuing         | Hidetoshi Saze         | Phase II                       |
| 7  | Laser-driven Microplasma Extreme-UV source  | Continuing         | Keshav Dani            | ITR                            |
| 8  | Development of Methods for determining the structures of small compounds usin   | Continuing         | Hiroshi Watanabe       | Phase II                       |
| 9  | Development of air-stable organic glow-in-the-dark materials  | Continuing         | Ryota Kabe             | ITR                            |
| 10 | Early detection of risk for mental disorders by computer mediated real-time social interaction.   | Continuing         | Tom Froese             | ITR                            |
| 11 | Fiber endcap device for enabling atherectomy with a femtosecond laser   | Continuing         | Viktoras Lisicovas     | TPF                            |
| 12 | Development of protein micro/nanoarrays for high-throughput proteomics  | Continuing         | Shivani Sathish        | TPF                            |
| 13 | Verification of therapeutic approach for frailty based on metabolomic findings  | Continuing         | Mitsuhiro Yanagida     | Phase I                        |
| 14 | Enhanced production of astaxanthin specialized entrapped yeast  | Continuing         | Eugene Kroll           | Phase I                        |
| 15 | Development of a drug test platform by unraveling the molecular mechanisms of<br>alpha-synuclein aggregation using an in vitro human brain organoid model | New                | Bernd Kuhn             | Phase I                        |
| 16 | Mechanoresponsive materials to detect mechanical stress and damage in polymers  | New                | Julia Khusnutdinova    | Phase I                        |
| 17 | Personalized Synapse Proteomics of living psychiatric patients  | New                | Tomoyuki Takahashi     | Phase II                       |
| 18 | Cost-effective and low maintenance scale-up advanced wastewater treatment<br>and nutrient recovery for swine farms  | New                | Igor Goryanin          | Phase II                       |
| 19 | Development of a hybrid, grid-based, multi-nozzle, 3D nanofabrication system for  | New                | Marco Terenzio         | Phase I                        |
| 20 | Development of urinalysis service to support physical function management for a   | New                | Takayuki Teruya        | TPF                            |

#### Startups and companies

| No | Company Name                         | New/<br>Continuing | Type<br>(OIST technology, Accelerator, External) | Location<br>(Lab 3, Incubator, etc) |
|----|--------------------------------------|--------------------|--|-------------------------------------|
| 1  | Okinawa Protein Tomography           | Continuing         | OIST Technology                                  | Lab 3                               |
| 2  | BioAlchemy                           | Continuing         | OIST Technology                                  | Incubator                           |
| 3  | Watasumi                             | New                | OIST Technology                                  | Incubator                           |
| 4  | ACI Research                         | New                | OIST Technology                                  | Incubator                           |
| 5  | REPS Japan (formerly Shoreditch-son) | Continuing         | Startup Accelerator Program                      | Incubator                           |
| 6  | EF Polymer                           | Continuing         | Startup Accelerator Program                      | Incubator                           |
| 7  | Sage Sentinel                        | Continuing         | Startup Accelerator Program                      | Incubator                           |
| 8  | Genome Miner                         | Continuing         | Startup Accelerator Program                      | Incubator                           |
| 9  | HerLifeLab(Menopause and Beyond)     | Continuing         | Startup Accelerator Program                      | Incubator                           |
| 10 | Tree Oceans(ANDA)                    | New                | Startup Accelerator Program                      | Incubator                           |
| 11 | Osaka Heat Cool                      | New                | Startup Accelerator Program                      | Incubator                           |
| 12 | Savory                               | Continuing         | External   | Incubator                           |
| 13 | Hanahana Works Okinawa               | Continuing         | External   | Incubator                           |
| 14 | SPEC                                 | Continuing         | External   | Incubator                           |
| 15 | Beans Labo                           | Continuing         | External   | Incubator                           |
| 16 | Miratsuku                            | Continuing         | External   | Incubator                           |
| 17 | Southern Knights Laboratory          | Continuing         | External   | Incubator                           |
| 18 | Maneria                              | Continuing         | External   | Incubator                           |
| 19 | Hayato Information                   | Continuing         | External   | Incubator                           |
| 20 | Portbridge                           | Continuing         | External   | Incubator                           |
| 21 | Haitai(Kenko Leaf)                   | Continuing         | External   | Incubator                           |
| 22 | Cgreen(Miwa Mega)                    | Continuing         | External   | Incubator                           |
| 23 | Cultivera                            | Continuing         | External   | Incubator                           |
| 24 | Ess-sense                            | Continuing         | External   | Incubator                           |
| 25 | H.KLAB.                              | Continuing         | External   | Incubator                           |
| 26 | Ryukyu Asteeda                       | Continuing         | External   | Incubator                           |
| 27 | MTG Ventures                         | Continuing         | External   | Incubator                           |
| 28 | Happy Earth                          | Continuing         | External   | Incubator                           |
| 29 | Shima & Co.                          | Continuing         | External   | Incubator                           |
| 30 | linioi                               | Continuing         | External   | Incubator                           |
| 31 | Relief Assist(Clybio)                | Continuing         | External   | Incubator                           |

| 32 | Lequison      | New | External | Incubator |
|----|---------------|-----|----------|-----------|
| 33 | Biomass Resin | New | External | Incubator |

Industry-related Grants, Collaborations, and Agreements

| No | Title   | New/<br>Continuing | External Collaborator  | Keyword(s)                | OIST Partner                  |
|----|---|--------------------|--|---------------------------|-------------------------------|
| 1  | Assignment Agreement  | Continuing         | Confidential   | Sensor                    | Sile Nic Chormaic             |
| 2  | Assignment Agreement  | New                | Confidential   | Drug Development          | Yohei Yokobayashi             |
| 3  | Program on Open Innovation Platforms for Industry-Academia Co-creation (COI-NEXT)-University of the Ryukyus | New                | University of the Ryukyus                                      | Entrepreneurship          | TDIC                          |
| 4  | Okinawa Innovation Ecosystem Collaborative Research Project   | New                | Okinawa Science and Technology<br>Promotion Center             | Environment               | Igo Goryanin                  |
| 5  | Okinawa Innovation Ecosystem Collaborative Research Project   | New                | Okinawa Science and Technology<br>Promotion Center             | Medical                   | Tadashi Yamamoto              |
| 6  | Okinawa Innovation Ecosystem Collaborative Research Project   | New                | Okinawa Science and Technology<br>Promotion Center             | Environment               | Noriyuki Satoh                |
| 7  | OPG Alien Species Countermeasure Project  | New                | Okinawa Prefecture Environment<br>Science Center               | Environment               | Environmental Science Section |
| 8  | OPG Science and Technology Projects to Solve SDGs   | New                | Okinawa Prefecture   | Environment               | Izumi Fukunaga                |
| 9  | Framework Agreement   | New                | Development Bank of Japan                                      | Entrepreneurship          | TDIC                          |
| 10 | Framework Agreement   | New                | Inspire Corporation  | Entrepreneurship          | TDIC                          |
| 11 | Sponsored Research Agreement  | Continuing         | Confidential   | Environment               | Noriyuki Satoh                |
| 12 | Sponsored Research Agreement  | New                | Hyatt Regency Seragaki Island<br>Okinawa                       | Environment               | Timothy Ravasi                |
| 13 | Sponsored Research Agreement  | New                | Okinawa Prefectural Fisheries<br>Research and Extension Center | Environment               | Noriyuki Satoh                |
| 14 | Collaborative Research Agreement  | Continuing         | Confidential   | AI                        | Kenji Doya                    |
| 15 | Collaborative Research Agreement  | Continuing         | Confidential   | Environment               | Satoshi Mitarai               |
| 16 | Collaborative Research Agreement  | Continuing         | Confidential   | Microfluidics<br>Foodtech | Amy Shen                      |
| 17 | Collaborative Research Agreement  | Continuing         | Confidential   | Health                    | Tadashi Yamamoto              |
| 18 | Collaborative Research Agreement  | Continuing         | Confidential   | AI                        | Kenji Doya                    |
| 19 | Collaborative Research Agreement  | Continuing         | Confidential   | Health                    | Keiko Kono                    |
| 20 | Collaborative Research Agreement  | Continuing         | Confidential   | Health                    | Mitsuhiro Yanagida            |
| 21 | Collaborative Research Agreement  | Continuing         | Confidential   | Biomedical                | Yohei Yokobayashi             |
| 22 | Collaborative Research Agreement  | Continuing         | Confidential   | Biomedical                | Cathal Cassidy                |
| 23 | Collaborative Research Agreement  | Continuing         | Confidential   | Energy                    | Tsumoru Shintake              |
| 24 | Collaborative Research Agreement  | Continuing         | Confidential   | Research Resources        | Institutional                 |
| 25 | Collaborative Research Agreement  | New                | Corundum Systems Biology                                       | Health                    | Hiroaki Kitano                |
| 26 | Collaborative Research Agreement  | New                | NTT Docomo Kyushu  | Environment               | Noriyuki Satoh                |
| 27 | Collaborative Research Agreement  | New                | Confidential   | Biotechnology             | Eugene Kroll                  |
| 28 | Collaborative Research Agreement  | New                | Confidential   | Health                    | Tom Froese                    |
| 29 | Collaborative Research Agreement  | New                | Confidential   | Health                    | Hiroaki Kitano                |
| 30 | Collaborative Research Agreement  | New                | Confidential   | Chemistry                 | Julia Khusnutdinova           |
| 31 | Collaborative Research Agreement  | New                | Confidential   | Quantum                   | Kae Nemoto                    |
| 32 | Collaborative Research Agreement  | New                | Confidential   | Energy                    | Yabing Qi                     |
| 33 | Collaborative Research Agreement  | New                | Confidential   | AI                        | TDIC                          |
| 34 | License Agreement   | Continuing         | Okinawa Protein Tomography                                     | Biotechnology             | TDIC                          |
| 35 | License Agreement   | Continuing         | O-Force  | Drug Discovery            | ТЛС                           |
| 36 | License Agreement   | Continuing         | Confidential   | Environment               | ТЛС                           |
| 37 | License Agreement   | Continuing         | Confidential   | Environment               | ТЛС                           |
| 38 | License Agreement   | Continuing         | Confidential   | Chemistry                 | ТЛС                           |
| 39 | License Agreement   | New                | Confidential   | Health                    | ТЛС                           |
| 40 | Option Agreement  | New                | Confidential   | Health                    | ТДС                           |

| No | Title                           | New/<br>Continuing | External Collaborator                            | Keyword(s)         | OIST Partner                                      |
|----|---------------------------------|--------------------|--|--------------------|---|
| 41 | Patent Administration Agreement | New                | Confidential                                     | Health             | TDIC  |
| 42 | Material Transfer Agreement     | Continuing         | Confidential                                     | Chemistry          | Julia Khusnutdinova                               |
| 43 | Material Transfer Agreement     | Continuing         | Confidential                                     | Environment        | Noriyuki Satoh                                    |
| 44 | Material Transfer Agreement     | Continuing         | Confidential                                     | Health<br>Foodtech | Hidetoshi Saze                                    |
| 45 | Material Transfer Agreement     | Continuing         | Confidential                                     | Chemistry          | Fujie Tanaka                                      |
| 46 | Material Transfer Agreement     | Continuing         | Confidential                                     | Drug Development   | Yohei Yokobayashi                                 |
| 47 | Materials Transfer Agreement    | New                | Confidential                                     | Health             | Hidetoshi Saze                                    |
| 48 | MOU                             | Continuing         | Confidential                                     | Research Resources | Animal Resource Section                           |
| 49 | MOU                             | Continuing         | Confidential                                     | Mobility           | Institutional                                     |
| 50 | MOU                             | Continuing         | Confidential                                     | Energy             | Tsumoru Shintake                                  |
| 51 | MOU                             | Continuing         | Confidential                                     | Entrepreneurship   | ТДІС  |
| 52 | MOU                             | Continuing         | Confidential                                     | Entrepreneurship   | ТДІС  |
| 53 | MOU                             | Continuing         | Ryubo Corporation                                | Entrepreneurship   | ТДІС  |
| 54 | MOU                             | Continuing         | DeepCore   | Entrepreneurship   | TDIC  |
| 55 | MOU                             | Continuing         | The Okinawa Development Finance Corporation      | Entrepreneurship   | TDIC  |
| 56 | MOU                             | New                | Confidential                                     | Entrepreneurship   | ТДІС  |
| 57 | MOU                             | New                | AgVenture Lab                                    | Entrepreneurship   | ТДІС  |
| 58 | MOU                             | New                | Confidential                                     | Environment        | Environmental Science Section                     |
| 59 | MOU                             | New                | Confidential                                     | Neuroscience       | Tom Froese  |
| 60 | MOU                             | New                | FC Ryukyu  | Neuroscience       | Tom Froese  |
| 61 | MOU                             | New                | Okinawa Industry Promotion Public<br>Corporation | Entrepreneurship   | ТДІС  |
| 62 | MOU                             | New                | Srust  | Startup            | ТДІС  |
| 63 | MOU                             | New                | Confidential                                     | Startup            | TDIC  |
| 64 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Neuroscience       | Bernd Kuhn  |
| 65 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Health             | ТЛС   |
| 66 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Energy             | Tsumoru Shintake                                  |
| 67 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Drug Discovery     | TDIC  |
| 68 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Research Resources | Animal Resource Section                           |
| 69 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Health             | Mitsuhiro Yanagida                                |
| 70 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Drug Discovery     | Yohei Yokobayashi                                 |
| 71 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Measurement        | Hiroshi Watanabe                                  |
| 72 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Data Science       | Emile Touber                                      |
| 73 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Data Science       | Emile Touber                                      |
| 74 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Environment        | Okinawa Environmental Research<br>Support Section |
| 75 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Health             | Hidetoshi Saze                                    |
| 76 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Drug Development   | Yohei Yokobayashi                                 |
| 77 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Microbiomics       | Igor Goryanin                                     |
| 78 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Data Science       | Emile Touber                                      |
| 79 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Materials Science  | Yoko Nomura                                       |
| 80 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Biomedical         | Yoko Yazaki-Sugiyama                              |
| 81 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Entrepreneurship   | ТЛС   |
| 82 | Non-Disclosure Agreement        | Continuing         | Confidential                                     | Neuroscience       | Sile Nic Chormaic                                 |

| No  | Title                    | New/<br>Continuing | External Collaborator                          | Keyword(s)                | OIST Partner         |
|-----|--------------------------|--------------------|--|---------------------------|----------------------|
| 83  | Non-Disclosure Agreement | Continuing         | Confidential                                   | Physics                   | Keshav Dani          |
| 84  | Non-Disclosure Agreement | Continuing         | Confidential                                   | Microfluidics<br>Foodtech | Amy Shen             |
| 85  | Non-Disclosure Agreement | Continuing         | Confidential                                   | Data Science              | Jonathan Miller      |
| 86  | Non-Disclosure Agreement | New                | Confidential                                   | Health                    | TDIC                 |
| 87  | Non-Disclosure Agreement | New                | Confidential                                   | Environment               | TDIC                 |
| 88  | Non-Disclosure Agreement | New                | Confidential                                   | Entrepreneurship          | TDIC                 |
| 89  | Non-Disclosure Agreement | New                | Confidential                                   | Synthetic Biology         | TDIC                 |
| 90  | Non-Disclosure Agreement | New                | Confidential                                   | Sensors                   | Amy Shen             |
| 91  | Non-Disclosure Agreement | New                | Confidential                                   | Entrepreneurship          | ТЛС                  |
| 92  | Non-Disclosure Agreement | New                | Confidential                                   | Data Science              | Emile Touber         |
| 93  | Non-Disclosure Agreement | New                | Confidential                                   | Physics                   | Thomas Busch         |
| 94  | Non-Disclosure Agreement | New                | Confidential                                   | Data Science              | Emile Touber         |
| 95  | Non-Disclosure Agreement | New                | Confidential                                   | Neuroscience              | Tom Froese           |
| 96  | Non-Disclosure Agreement | New                | Confidential                                   | Neuroscience              | Tom Froese           |
| 97  | Non-Disclosure Agreement | New                | Confidential                                   | Environment               | Igor Goryanin        |
| 98  | Non-Disclosure Agreement | New                | Confidential                                   | Entrepreneurship          | ТЛС                  |
| 99  | Non-Disclosure Agreement | New                | Confidential                                   | Data Science              | Emile Touber         |
| 100 | Non-Disclosure Agreement | New                | Confidential                                   | Entrepreneurship          | ТЛС                  |
| 101 | Non-Disclosure Agreement | New                | Confidential                                   | Materials Science         | Yoko Nomura          |
| 102 | Non-Disclosure Agreement | New                | Confidential                                   | Quantum                   | Yuimaru Kubo         |
| 103 | Non-Disclosure Agreement | New                | Confidential                                   | Health                    | Keiko Kono           |
| 104 | Non-Disclosure Agreement | New                | Confidential                                   | Physics                   | Keshav Dani          |
| 105 | Non-Disclosure Agreement | New                | Confidential                                   | Neuroscience              | Tom Froese           |
| 106 | Non-Disclosure Agreement | New                | Confidential                                   | Chemistry                 | Fujie Tanaka         |
| 107 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | Jonathan Miller      |
| 108 | Non-Disclosure Agreement | New                | Confidential                                   | Entrepreneurship          | ТЛС                  |
| 109 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | Jonathan Miller      |
| 110 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | Jonathan Miller      |
| 111 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | Jonathan Miller      |
| 112 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | TDIC                 |
| 113 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | Jonathan Miller      |
| 114 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | Jonathan Miller      |
| 115 | Non-Disclosure Agreement | New                | Confidential                                   | Health                    | TDIC                 |
| 116 | Non-Disclosure Agreement | New                | Confidential                                   | Marine Science            | Jonathan Miller      |
| 117 | Non-Disclosure Agreement | New                | Confidential                                   | Sensors                   | Tsumoru Shintake     |
| 118 | Non-Disclosure Agreement | New                | Confidential                                   | Neuroscience              | Yoko Yazaki-Sugiyama |
| 119 | Non-Disclosure Agreement | New                | Confidential                                   | Imaging                   | TDIC                 |
| 120 | Services Agreement       | New                | Lifetime Ventures                              | Entrepreneurship          | TDIC                 |
| 121 | Other Agreement          | Continuing         | Novartis Institutes for BioMedical<br>Research | Drug Discovery            | TDIC                 |
| 122 | Other Agreement          | New                | Confidential                                   | AI                        | Kenji Doya           |
| 123 | Other Agreement          | New                | Confidential                                   | Physics                   | Mahesh Bandi         |
| 124 | Other Agreement          | New                | Confidential                                   | Biotechnology             | TDIC                 |

#### Exhibitions Promoting OIST Research and Technologies

| No | Program                 | Date          | Place    | Organizer                     | Contents                   | Notes |
|----|-------------------------|---------------|----------|-------------------------------|----------------------------|-------|
| 1  | JST Technology Showcase | July 2022     | Online   | JST                           | Presentaion                |       |
| 2  | BioJapan                | October 2022  | Yokohama | BioJapan Organizing Committee | Booth, Individual meetings |       |
| 3  | nano tech               | February 2023 | Tokyo    | nano tech Executive Committee | Booth                      |       |
| 3  | MedTech                 | October 2022  | Boston   |                               |                            |       |

# Seminars, Courses, and Events related to Innovation organized by the Technology Development & Innovation Center

| No | Title Date  |                       | Number of Participants |
|----|---|-----------------------|------------------------|
| 1  | Fireside Chat: "Career in Venture Capital for Ph.D" by UTEC   | Apr-22                | 30                     |
| 2  | OIST Innovators Society Meetup: Fireside chat with Lifetime Ventures                                      |                       | 14                     |
| 3  | OIST Innovators Society Meetup: Workshop #4 - Early-stage Recruiting and Compensation                     | May-22                | 9                      |
| 4  | TDIC Salon Event May 2022   | May-22                | 25                     |
| 5  | OIST Innovators Society Meetup: Workshop #5 - Early-stage Recruiting Role Play                            | May-22                | 10                     |
| 6  | OIST Innovators Society Meetup: Evaluating Startups   | Jun-22                | 12                     |
| 7  | Hitotsubashi MBA Internship Information Session   | Jun-22                | 30                     |
| 8  | AgVenture Lab-OIST Startup Event  | Jul-22                | 70                     |
| 9  | Zeiss Career Session  | Jul-22                | 16                     |
| 10 | Zeiss Imaging Seminar   | Jul-22                | 25                     |
| 11 | University IP Seminar   | Aug-22                | 11                     |
| 12 | OIST Innovators Society Meetup: Entrepreneur Talk-Fluigo  | Sep-22                | 22                     |
| 13 | Deep Tech Entrepreneurship Training: Founder's Dream & Deal   | Sep-22                | 10                     |
| 14 | OIST Innovators Society Meetup: Angel Investing   | Sep-22                | 22                     |
| 15 | Fall 2022 Lean Startup Entrepreneurial Training Program   | Sep-22                | 37                     |
| 16 | Kansai Keizai Doyukai Visit   | Oct-22                | 40                     |
| 17 | Kyoto Keizai Doyukai Visit  | Oct-22                | 25                     |
| 18 | Universiy IP Seminar  | Oct-22                | 13                     |
| 19 | Breakfast Session on OISTxLifetime Venture Fund in Tokyo  | Oct-22                | 25                     |
| 20 | Niigata Keizai Doyukai Visit  | Oct-22                | 12                     |
| 21 | BRAVE Global Startup Bootcamp   | Oct-22                | 30                     |
| 22 | Keidanren Innovation Crossing (KIX) - Startups Pitch  | Oct-22                | 110                    |
| 23 | OIST Innovators Society Meetup: Entrepreneur story - Estelle Ingenuity                                    | Oct-22                | 12                     |
| 24 | Deep Tech Entrepreneurship Training - Week Onsite - Module 1 -5   | Oct-22                | 15                     |
| 25 | OIST Innovators Society Meetup: Fireside chat - Ecoatoms  | Nov-22                | 25                     |
| 26 | Global Entrepreneurship Week: OIST Startup Pitch  | Nov-22                | 60                     |
| 27 | INO Member Event  | Nov-22                | 36                     |
| 28 | OIST Innovators Society Meetup: "Accelerating Biomedical Science<br>Innovation"                           | Nov-22                | 25                     |
| 29 | Global Entrepreneurship Week: TDIC Open House   | Nov-22                | 35                     |
| 30 | Global Entrepreneurship Week: Fireside Chat "Accelerating Biomedical Science Innovation: the SPARK model" | Nov-22                | 35                     |
| 31 | Deep Tech Entrepreneurship Training: Masterclass 1  | Nov-22                | 10                     |
| 32 | Introduction to Intellectual Property   | Dec-22                | 37                     |
| 33 | Okinawa Konwakai Poster Session   | Jan-23                | 100                    |
| 34 | OIST Innovators Society Meetup: Bringing medical devices to the market                                    | Jan-23                | 26                     |
| 35 | Design Seminar (DBIC & Danish Design Center)  | Jan-23                | 25                     |
| 36 | DBIC Closing Dinner Session   | Jan-23                | 40                     |
| 37 | Stanford GSB Alumni Visit   | Jan-23                | 9                      |
| 38 | Deep Tech Entrepreneurship Training - Masterclass 2   | Feb-23                | 10                     |
| 39 | DNX Ventures Visit  | Feb-23                | 14                     |
| 40 | Sanjo Kogyokai Visit  | Feb-23                | 15                     |
| 41 | Keidanren Bio-Industry Delegation Visit   | Feb-23                | 28                     |
| 42 | Deep Tech Entrepreneurship Training: Masterclass 3  | Mar-23                | 10                     |
| 43 | Bioconvergence COI Kick-off: Stream 4-5   | Mar-23                | 10                     |
| 44 | OIST Innovators Society Meetup: Global Hands-on Venture Capital   | Mar-23                | 15                     |
| 45 | OIST Innovators Society Meetup: Sustainable Clothing Seminar  | Mar-23                | 35                     |
| L  | <u> </u>  | Total<br>Participants | 1225                   |